The Two-Stage Solution to the Problem of Free Will

How Behavioral Freedom in Lower Animals Has Evolved to Become Free Will in Humans and Higher Animals

Dr. Robert O. ("Bob") Doyle

Astronomy Department, Harvard University

Abstract. Random noise in the neurobiology of animals allows for the generation of alternative possibilities for action. In lower animals, this shows up as behavioral freedom. Animals are not causally pre-determined by prior events going back in a causal chain to the origin of the universe. In higher animals, randomness can be consciously invoked to generate surprising new behaviors. In humans, creative new ideas can be critically evaluated and deliberated. On reflection, options can be rejected and sent back for "second thoughts" before a final responsible decision and action.

We present new cosmological and microphysical reasons for doubting the deterministic picture of the world that was popular before quantum mechanics, one which still dominates philosophical discussions of free will. David Hume's compatibilism reconciled free actions with that classical determinism. We attempt to reconcile free will with quantum indeterminism.

When the indeterminism is limited to the early stage of a mental decision, the decision itself can be described as adequately determined. This is called a two-stage model, first "free" generation of ideas, then an adequately determined "will." We propose our Cogito model as the most plausible current explanation for human free will. We compare this model to past suggestions and situate it in the taxonomy of current free will positions.

A credible free will model may restore some balance to a disturbing social trend that considers moral responsibility impossible on the basis of philosophical reasoning, psychological studies, and advances in neuroscience.

Introduction.

In the 14 May 2009 issue of Nature Magazine, ¹ Martin Heisenberg challenged the idea, popular in the recent psychology and philosophy literature, that human free will is an illusion. ² Heisenberg suggested that a lot could be learned by looking at animals, to see how they initiate behavior. The behaviorist idea that actions are only initiated in response to external stimuli has been discredited. For decades, Watson-Skinner behaviorism ignored the existence of internal states in the mind to focus on stimulus and response, but today such internal mental states are accepted as the causes of actions, in animals and humans.

In my own correspondence with Nature in their 25 June issue,³ I connected Heisenberg's thinking with William James's 1884 two-stage model of free will,⁴ first chance generation of alternative possibilities, followed by a willed decision.

Long before twentieth-century behaviorism and logical empiricism had limited the study of the mind to externally observable phenomena, James had argued in *The Dilemma of Determinism*, that random chance played a role in generating alternative possibilities.

"The stronghold of the determinist argument is the antipathy to the idea of chance...This notion of <u>alternative possibility</u>, this admission that any one of several things may come to pass is, after all, only a roundabout name for chance."⁵

And James explicitly connected spontaneous variations in the Darwinian gene pool with random images and thoughts in the human brain.

"[In mental evolution], if anywhere, it would seem at first sight as if that school must be right which makes the mind passively plastic, and the environment actively productive of the form and order of its conceptions; which, in a word, thinks that all mental progress must result from a series of adaptive changes, in the sense already defined of that word...It might, accordingly, seem as if there were no room for any agency other than this; as if the distinction we have found so useful between "spontaneous variation," as the producer of changed forms, and the environment, as their preserver and destroyer, did not hold in the case of mental progress; as if, in a word, the parallel with Darwinism might no longer obtain... And I can easily show...that as a matter of fact the new conceptions, emotions, and active tendencies which evolve are originally produced in the shape of random images, fancies, accidental out-births of spontaneous variation in the functional activity of the excessively instable human brain." 6

Martin Heisenberg thus became the latest in a long list of philosophers and scientists⁷ who sought a "two-stage" model, a temporal sequence of first randomness, then law-like selection, as the basis for human freedom. Before Heisenberg, the question always was how to free the *human* brain from deterministic worries. Now that Heisenberg has extended the concept of randomly generated alternative possibilities for action throughout the animal kingdom, he has liberated all life from the complete pre-determinism implied by the Newtonian and Laplacian world view of William James's time.

Antipathy to chance.

What William James called the "antipathy to chance" goes back 2300 years to the Stoic and Academic philosophers' attack on Epicurus' notion of an atomic "swerve." Epicurus said such a random swerve was needed to break the bonds of his materialist colleague Democritus, whose strict causal physical determinism denied human freedom. Stoics and Academics attacked Epicurus for suggesting that human freedom was directly caused by chance. That would deny human responsibility and make our actions random, they said. For the Stoics, Nature was identical to God and Reason. To suggest that chance was real invited the atheistic thought that God was either irrational or ignorant of future events.

The standard argument *against* free will is the logical claim that either determinism or indeterminism is true. If the first, we are not free, if the latter, we are not responsible.¹¹

The conceptualization of two stages in a temporal sequence is motivated by the need to answer the two objections to free will in the standard argument against it. The "adequate determinism" of the second stage defeats the problem of *predeterminism* from the BIg Bang that threatens our freedom. The limiting indeterminism of the first stage is prevented from making our decisions *random*, which would threaten our responsibility.

We can see why so many philosophers accept the idea that determinism is "compatible" with free will. It is because given the forced choice between the determinism and indeterminism in the standard argument, determinism at least makes our actions responsive to reasons. They can be caused by our motives, feelings, and desires. They result from a non-random deliberation that evaluates our options.

What Heisenberg and many other thinkers have established is that randomness at some level or stage (the generation of alternative possibilities) need not jeopardize adequate lawlike behavior at another level or stage (the adequately determined evaluation of those possibilities).

As long ago as 1690, John Locke insisted on the separation of "free" and "will." He hoped

"to put an end to that long agitated, and, I think, unreasonable, because unintelligible, question, viz. Whether man's will be free or no? For if I mistake not, it follows from what I have said, that the question itself is altogether improper....This way of talking, nevertheless, has prevailed, and, as I guess, produced great confusion....I think the question is not proper, whether the will be free, but whether a man be free." [Locke's emphasis.]

A century later, David Hume "reconciled" man's freedom with determinism in the notion we now call "compatibilism." He properly insisted that our will is determined by our motives and inclinations.

to proceed in this reconciling project with regard to the question of liberty and necessity; the most contentious question of metaphysics, the most contentious science; it will not require many words to prove, that all mankind have ever agreed in the doctrine of liberty as well as in that of necessity, and that the whole dispute, in this respect also, has been hitherto merely verbal.

"By liberty, then, we can only mean a power of acting or not acting, according to the determinations of the will; this is, if we choose to remain at rest, we may; if we choose to move, we also may. Now this hypothetical liberty is universally allowed to belong to every one who is not a prisoner and in chains. Here, then, is no subject of dispute." ¹³

But Hume denied that liberty depended on chance. For Hume and the great mathematicians who developed the calculus of probabilities - <u>Abraham de Moivre</u> before Hume and <u>Pierre-Simon Laplace</u> after him, chance was merely human ignorance.

"liberty, when opposed to necessity, not to constraint, is the same thing with chance; which is universally allowed to have no existence." ¹⁴

"Though there be no such thing as *Chance* in the world; our ignorance of the real cause of any event has the same influence on the understanding, and begets a like species of belief or opinion."¹⁵

Nevertheless, Hume recognized a serious objection to his theory, that everything might be predetermined. Most compatibilists and determinists since Hobbes and Hume never mention the fact that a causal chain of events going back before our birth would not provide the kind of liberty they are looking for. But Hume frankly admits that such a causal chain would be a serious objection to his theory.

I pretend not to have obviated or removed all objections to this theory, with regard to necessity and liberty. I can foresee other objections, derived from topics which have not here been treated of. It may be said, for instance, that, if voluntary actions be subjected to the same laws of necessity with the operations of matter, there is a continued chain of necessary causes, pre-ordained and pre-determined, reaching from the original cause of all to every single volition, of every human creature. No contingency anywhere in the universe; no indifference; no liberty. While we act, we are, at the same time, acted upon. ¹⁶

Today we can finally reconcile free will with chance, randomness, and indeterminism, which alone can break this "continued chain of necessary causes."

Randomness in cosmology and biology.

Randomness has been present in cosmology since the origin of the universe, a state of total chaos (minimal information) nearly 14 billion years ago. But mathematicians and physicists

sought deterministic explanations that avoid randomness. The most famous was Pierre-Simon Laplace, who in 1815 postulated a super-intelligence that could know the positions, velocities, and forces on all the particles in the universe at one time, and thus know the universe for all times. This implies that information is a constant of nature. Some mathematicians think that information is a conserved quantity.

information a constant of nature

Laplace's Demon (1814)

nformation

A Laplace Demon has all the information - forces, positions, velocities - for all the particles in the universe.

All times, past and future, are present to the Laplace Demon, as to the eyes of God. In a deterministic universe, information is constant.

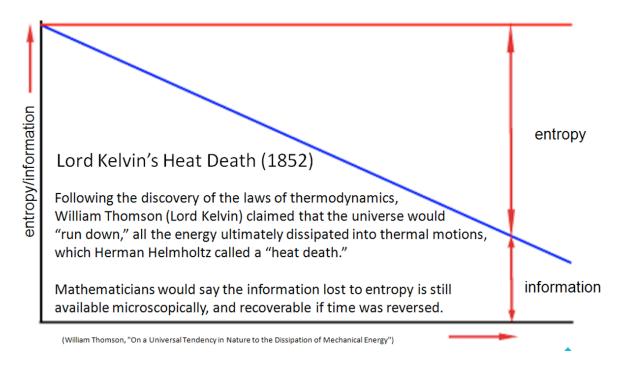
Mathematical physicists, like Laplace, believe that the conservation of information is as much a conservation law as those of matter and energy.

There is no chance. The randomness we see is simply epistemic, a consequence of human ignorance about physical details that a demon and God can know.

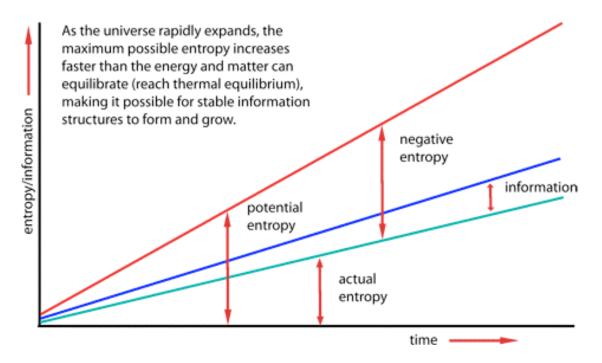
(Pierre Simon Laplace, A Philosophical Essay on Probabilities, 1814)

time

But midway through the 19th century, Lord Kelvin (William Thomson) realized that the newly discovered second law of thermodynamics required that information could not be constant, but would be destroyed as the entropy (disorder) increased. Hermann Helmholtz described this as the heat death of the unifverse.



Kelvin's claim would be correct if the universe were a closed system. But in our open and expanding universe, David Layzer showed that the maximum possible entropy is increasing faster than the actual entropy. ¹⁷ The difference between maximum possible entropy and the current entropy is called negative entropy, opening the possibility for complex and stable information structures.



Despite the second law of thermodynamics, stable and lawlike information structures evolved out of the chaos, first, in the form of microscopic particulate matter – quarks, baryons, nuclei,

and electrons, then later, under the influence of gravitation – macroscopic galaxies, stars, and planets. Every new information structure reduces the entropy locally, so the second law requires an equal (or generally much greater) amount of entropy to be carried away. Without the expansion of the universe, this would be impossible.

Whether the newly formed stable structure is a baryon or a planet, the new "bits" of information can be regarded as physical "measurements" that involve the collapse of quantum mechanical wave functions. Gunter Ludwig¹⁸ and Rolf Landauer¹⁹ showed that any such measurement that increases the number of information bits must involve a compensating increase in the entropy or randomness elsewhere. For Ludwig, it was in the measurement apparatus. For Landauer, it was the energy dissipated by a computer's power supplies.

Because of the "law of large numbers" in statistics, and the correspondence principle of quantum mechanics (which says that quntum physics approaches classical physics for large quantum numbers), the Newtonian laws of classical mechanics, discovered in the stable and regular motions of the planetary orbits, are "adequately determined." This is despite the residue of real originary chaos in many parts of the universe, especially in the quantum-mechanical microcosmos. The effects of quantum indeterminacy can thus normally be ignored in the macroscopic world.

Randomness in biology, on the other hand, plays a central role, in the evolution of species and in the life strategies of many organisms, not only animals. (Darwin was circumspect and cautious about "mere chance," because in his time chance still evoked strong atheistic sentiments.)

For example, plants distribute their seeds to remote locations taking advantage of random winds. Immobile fruit trees drop their fruit where it can be eaten by mobile animals, which carry the indigestible seeds to new locations and deposit them along with nourishing manure as fertilizer.

independent amoeba-like cellular *slime molds* can gather into plasmoidal multi-cellular bodies that move their edge membrane randomly in search of bacteria. When they find food, the internal cytoplasm streams information about the food supply, strategically connecting to nearby concentrations of food in an efficient transport network.²⁰ When the environment turns too dry or the food supply disappears, the plasmoidal *slime molds* can grow a tall column reaching up to passing winds. The top of the column releases spores that winds carry to more favorable environments where they can hatch into amoebae and survive.

In animals, Heisenberg cites the bacterium *Escherichia coli*.²¹ These tiny organisms are equipped with sensors and motion capability that let them make two-stage decisions about which way to go. They can move in the direction of nutrients and away from toxic chemicals.

They do this with tiny flagella in their tails that rotate in two directions. Flagella rotating clockwise cause the bacterium to tumble and face random new directions. When the flagella rotate counter-clockwise, the bacterium moves forward and sensory receptors on the bacterium surface detect gradients of chemicals and temperatures. If the gradient indicates "food ahead," or perhaps "danger behind," the bacterium continues straight ahead. The lawlike decision to go forward is an adequately determined evaluation of sensors along the bacterium's body. If the sensed gradients are unsuitable, the flagella reverse and the bacterium again tumbles.

Inside animals, *immune systems* recruit randomness to discover antibodies for invading antigens. The white blood cells have evolved a powerful strategy to discover unique information in the antigen. What they have done is evolve a "re-sequencing" capability. Using the same gene splicing techniques that biologists have now developed to insert characteristics from one organism into another, the white blood cells have a very-high-speed process that shuffles genes around at random.²² They cut genes out of one location and splice them at random in other locations. This combinatorial diversity provides a variation in the gene pool like the Darwinian mutations that drive species evolution. The immune system gets even more random. It has a lower-level diversity generator that randomly scrambles the individual nucleotides at the junctions between genes. The splicing of genes is randomly done with errors that add or subtract nucleotides, creating what is called junctional diversity. Once antibodies are found bind to the antigen successfully, the immune system then generates large numbers of them in an adequately determined production process.

We see that even the lowest forms of animal can recruit randomness to serve their teleonomic purposes. Now Ernst Mayr has shown that evolution is conservative, reusing existing mechanisms rather than inventing new ones. So what Mayr calls the "two-step" process²³ of Darwinian evolution itself may have become a feature of living organisms up to higher animals and humans.

The mind's "two-stage" ability to be creative and free is likely evolved *indirectly* from Mayr's "two-step" process and then *directly* from the combination of random and lawlike behavior in the lower animals. Free will is therefore not an *ad hoc* development in humans, as many philosophers (especially theologians) have thought. It is a normal biological property, not a gift of God or inexplicable mystery.

Evolving Levels of Selection

Getting from behavioral freedom in the lower animals to free will in humans has primarily involved significant changes in the complexity of the second stage – the selection process.

Although randomness may at all levels have the same source in chaotic thermal and quantal noise, we can note that the selection process itself has significantly evolved. So we can suggest different levels of selection (but note that each level organisms all using the earlier levels as well).

Natural selection - for biological evolution, selection is reproductive success for a population.

Instinctive selection - by animals with little or no learning capability. Selection criteria are transmitted genetically.

Learned selection - for animals whose past experiences guide current choices. Selection criteria are acquired environmentally, including instruction by parents and peers.

Predictive selection - using imagination and foresight to evaluate the future consequences of choices.

Reflective and normative selection – in which conscious deliberation about cultural values influences the choice of behaviors.

We can see evolution adding more and more selection features over time that eventually become the many factors at work in the fully conscious human will.

Randomness in psychology and philosophy.

Although real chance was welcomed by at least one philosopher and psychologist of the nineteenth century (William James), since the twentieth-century discovery of real chance in the form of quantum indeterminacy by Werner Heisenberg (Martin's father), randomness has not fared well in psychology or philosophy.

In his Gifford Lecture of 1927, <u>Arthur Stanley Eddington</u> had described himself as unable "to form a satisfactory conception of any kind of law or causal sequence which shall be other than <u>deterministic</u>."²⁴ Yet just a year later, in response to <u>Heisenberg</u>'s indeterminacy principle, Eddington revised his lectures for publication as *The Nature of the Physical World*. There he dramatically announced "It is a consequence of the advent of the quantum theory that *physics is no longer pledged to a scheme of deterministic law*," ²⁵ He went even farther and enthusiastically identified <u>indeterminism</u> with <u>freedom of the will</u>.

But the critical reaction of philosophers was swift.²⁶ A "free electron" has nothing to do with "free will," they complained. A quantum event in the brain, amplified to affect our reasoning,

can only make our decisions random. Quantum events simply happen to us. They are not "up to us." We are not responsible for them.

A number of prominent philosophers and scientists struggled to include quantum indeterminacy in a model of free will, including Arthur Holly Compton, ²⁸ Karl Popper, ²⁹ and Henry Margenau. ³⁰ But their efforts were not convincing to the philosophical community and are rarely referenced in the free will debates.

The one living philosopher who has spent his adult career trying to explain free will as involving quantum events is Robert Kane. Kane has had some significant success showing that we can be *responsible* for an event even if it happens indeterministically. He considers the case of a businesswoman on the way to an important meeting when she observes an assault in an alley. She has excellent (moral and humanitarian) reasons to help the victim. She has equally important (practical and self-interested) reasons to continue on and advance her career.

Kane argues that whichever way the businesswoman decides, and even if the "torn decision," as he calls it, is undetermined as a result of neural noise, she has excellent reasons to take responsibility either way. But Kane himself has not found two-stage free will models satisfying, and other prominent libertarians like Peter van Inwagen have said that "free will remains a mystery." 33

Some philosophers have been critical of Kane and argue that the agent cannot claim responsibility if the decision was at all random and thus a matter of "luck." The idea of "moral luck" is the source of many moral paradoxes and dilemmas. 34, 35

In 1995, Alfred Mele considered a two-stage model of free will in which indeterminism (he called it incompatibilism) is confined to the early stage.³⁶ The latter stage he describes as "compatibilist" (effectively and adequately determined). Mele's model is similar to one proposed in 1978 by Daniel Dennett.³⁷ Dennett's work incorporated the earlier ideas of David Wiggins,³⁸ Karl Popper, and Arthur Holly Compton.

Dennett could not endorse his own two-stage decision model because he could not imagine a plausible location for quantum events in the brain, one exquisitely timed to be of help in the decision process. How could a randomly timed event be of any help? He settled instead for computer-generated random number sequences as all that is needed in his decision-making model.

In a recent book, Mele considered the problem of free will and luck,³⁹ comparing the indeterministic early stage of his model to a neural roulette wheel in the head, with a tiny neural ball whose probabilities may be high for deciding to A, but it is still luck that it did not land in the wheel segment for B. In the end Mele, like Dennett, could not endorse the two-

stage model. Note that both Kane and Mele describe a choice between two given options and then look to randomness (quantum noise or a roulette wheel ball) to help with the decision.

The basic requirements for human freedom

Freedom requires the randomness of absolute <u>chance</u> to break the causal chain of <u>determinism</u> (actually <u>predeterminism</u>), yet it must provide the conscious knowledge that we are <u>adequately determined</u> to be <u>responsible</u> for our choices, that our decisions and actions are "<u>up to us</u>."

Freedom requires some <u>events that are not causally determined</u> by immediately preceding events, events that are unpredictable by any agency, events involving quantum <u>indeterminacy</u>.

These random events can generate <u>alternative possibilities</u> for action. They are the source of the <u>creativity</u> that adds new <u>information</u> to the universe. Randomness is the "free" in free will.

Freedom also requires an adequately determined will that chooses or selects from those alternative possibilities. There is effectively nothing uncertain about this choice. <u>Adequate determinism</u> is the determination, the "will" in free will.

Adequate determinism means that randomness in our thoughts about alternative possibilities does not directly cause our actions.

Random thoughts can therefore lead to intentions, evaluations, and decisions that are adequately determined to produce actions, for which we can take moral responsibility.

Thoughts *come to us* freely. Actions *go from us* willfully.

We must *admit indeterminism*, but not *permit it* to produce random actions as Determinists mistakenly fear.

We must also *limit determinism*, but not *eliminate it* as Libertarians mistakenly think necessary.

Evaluation and careful deliberation of all the available possibilities, both ingrained habits and creative new ideas, must help us to "determine" and thus "cause" our actions.

But <u>event acausality</u> somewhere is a prerequisite for any kind of <u>agent causality</u> that is not *predetermined*.

We thus define "free will" as a two-stage creative process in which a human or higher animal freely generates alternative possibilities, some caused by prior events, some uncaused, following which the possibilities are evaluated and one is "willed," i.e., selected or chosen for adequately determined reasons, motives, or desires.

How quantum noise can help free will and not compromise responsibility.

In my Cogito model⁴⁰ of free will and creativity, randomness is not (normally) the direct cause of our actions, but rather simply the free generator of alternative possibilities for the adequately determined will to evaluate and select. (I call this noisy generator of creative ideas the "Micro Mind.")

An important additional requirement is that the adequately determined will (which I call the "Macro Mind") must have the power to invoke the Alternative Possibilities Generator (turn it on when needed and off when it is simply interfering with thought processes). For example, the bacterium in Heisenberg's example can turn on randomness by reversing the direction of flagella rotation. This is sometimes called "downward causation." It is not that the mind is controlling quantum events, but turning them off and on again when they are needed to produce new ideas.

The Micro Mind is different from the early stage in previous two-stage models because it does not depend on a single quantum event in the brain that gets amplified to the Macro Mind. The insoluble problem for previous two-stage models has been to explain how a random event in the brain can be timed and located - perfectly synchronized! - so as to be relevant to a specific decision. The answer is it cannot be, for the simple reason that quantum events are totally unpredictable. The mind, like all biological systems, has evolved in the presence of constant noise and is able to ignore that noise, unless the noise provides a significant competitive advantage, which it clearly does as the basis for <u>freedom</u> and <u>creativity</u>.

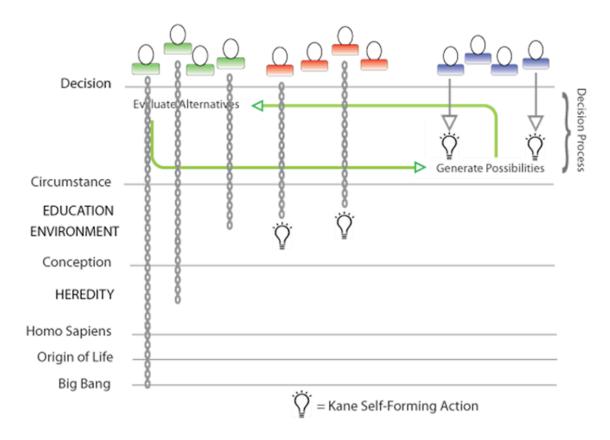
Rather than search for a single cause behind a decision, we assume that there are always <u>many</u> <u>contributing causes for any event</u>, and in particular for a mental decision. The Cogito model does not depend on single random events, one per decision. It recruits <u>many random events</u> in the brain as a result of ever-present <u>noise</u>, both quantum and thermal noise, that is inherent in any information storage and communication system.

In the <u>Newell-Simon</u> "Blackboard" mind model⁴² and <u>Bernard Baars</u>' "Theater of Consciousness" and "Global Workspace" models,⁴³ there are always many competing <u>possibilities</u> for our next thought or action. Some of these possibilities may be traceable to causal chains that we ourselves did not initiate. Many possibilities are the result of genetic inheritance or environmental conditioning, for example. Some are well-established habits that are the result of what Robert Kane calls "self-forming actions (SFAs)" that happened long ago.

Each of these possibilities is the result of a sequence of events that goes back in an assumed causal chain until its beginning in an uncaused event.

If we could trace any particular sequence of events back in time it would come to one event whose major contributing cause (or causes) was itself uncaused (a *causa sui*).

For Aristotle, every series of causes "goes back to some starting-point ($\mathring{\alpha}\rho\chi\mathring{\eta}$), which does not go back to something else. This, therefore, will be the starting-point of the fortuitous, and nothing else is the cause of its generation."



We can thus in principle assign times, or ages, to the starting points of the contributing causes of a decision. Some of these (the left-hand group in the figure) may in fact go back before the birth of an agent, hereditary causes for example. To the extent that such causes adequately determine an action, we can understand why hard determinists think that the agent has no control over such actions. (Of course, if we can opt out of a habitual action at the last moment, we retain a kind of control. We can always just say no!)

Other contributing causes may be traceable back to environmental and developmental events, perhaps education, perhaps simply life experiences, that were "character-forming" events (the middle group of events in the figure). These and hereditary causes would be present in the mind of the agent as fixed habits, with a very high probability of "adequately determining" the agent's actions in many well-understood situations.

But other contributing causes of a specific action may have been undetermined up to the very near past, even fractions of a second before an important decision and moments after the "cirumstances" mistakenly thought by some compatibilists to *determine* the action. The causal chains for these contributing causes originate in the noisy brain (the right-hand group in the figure). They include the free generation of new alternative possibilities for thought or action during the agent's deliberations. They fit Aristotle's criteria for causes that "depend on us" (ἐφ' ἡμίν) and originate "within us" (ἐν ἡμίν).

Causes with these most recent starting points are the fundamental reason why an agent can *do otherwise* in what are essentially the *same circumstances* (up to the starting point of considering options).

These alternatives are likely generated from our internal knowledge of practical possibilities based on our past experience. Those that are handed up for consideration to Baars' "executive function" may be filtered to some extent by unconscious processes to be "within reason." They likely consist of random variations of past actions we have willed many times in the past.

Note that the random events that generate a new possibility need not be located in the brain itself, nor even be contemporaneous with the immediate decision. It could have been an idea first generated years ago and only now acted upon. And it could have had its origin external to the brain, in the ideas of other persons or in environmental accidents. It need only "come to mind" during deliberations, which itself is partly a matter of luck.

Note also that the evaluation and selection of one of these possibilities by the will is as deterministic and causal a process as anything that a determinist or compatibilist could ask for, consistent with our current knowledge of the physical world.

But remember that instead of strict causal determinism, the second stage offers only *adequate* determinism. The random origins of possibilities in the first stage provide freedom of thought and action. As long as the Micro Mind can create new alternative possibilities, we can be free.

A more detailed look at the Micro Mind

Imagine a Micro Mind with a randomly assembled "agenda" of possible things to say or to do. These are drawn from our memory of past thoughts and actions, but randomly varied by unpredictable negations, associations of a part of one idea with a part or all of another, and by substitutions of words, images, feelings, and actions drawn from our experience. In information communication terms, there is cross-talk and noise in our neural circuitry.

In a "content-addressable" information model, memories are stored based on their content - typically bundles of simultaneous images, sounds, smells, feelings, etc. So a new experience is

likely to be stored in neural pathways alongside closely related past experiences. A fresh experience, or active thinking about an experience that presents a decision problem, is likely to activate nearby brain circuits, ones that have strong associations with our current circumstances. These are likely to begin firing randomly, to provide unpredictable raw material for actionable possibilities.

The strong feeling that sometimes "we don't know what we think until we hear what we say" reflects our capability for original and creative thoughts, different from anything we have consciously learned or thought before. A new idea may be something as simple as substituting a synonymous word, or more complex replacements with associated words (metonyms) or wild leaps of fancy (metaphor) are examples of building unpredictable thoughts. Picturing ourselves doing something we have seen others do, from "monkey see, monkey do" childhood mimicry to adult imitations, is a source for action items on the agenda, with the random element as simple as if and when we choose to do them.

But how exactly is the required randomness recruited to build these alternative possible thoughts and actions?

Some critics argue that brain structures are too large to be affected at all by quantum events. But there is little doubt that the brain has evolved to the point where it can access quantum phenomena. The evolutionary advantage for the mind is freedom and creativity. Biophysics tells us the eye can detect a single quantum of light (a photon), and the nose can smell a single molecule. It seems clear that the brain has evolved to the quantum limit.

If the Micro Mind is a random generator of frequently outlandish and absurd possibilities, the complementary Macro Mind is a macroscopic structure so large that quantum effects are negligible. It is the critical apparatus that makes adequately determined decisions based on our character and values.

Note that information about our character and values is probably stored in the same noise-susceptible neural circuits of our brain cortex. Macro Mind and Micro Mind are not necessarily in different locations in the brain. Instead, their difference is probably the consequence of different information processing methods. The Macro Mind must suppress the noise when it makes an adequately determined decision. But it also can turn on the sensitivity to noise in the Micro Mind when new possibilities are needed.

Normally noise is the enemy of information, but it can be the friend of freedom and creativity.

The Macro Mind has very likely evolved to add enough redundancy, perhaps even error detection and correction, to reduce the noise to levels required for an adequate determinism. This means that our decisions are in principle predictable, given knowledge of all our past

actions and given the randomly generated possibilities in the instant before decision. However, only we know the contents of our minds. New possibilities exist only within our minds. So other persons could not predict our actions, and until neuroscientists can resolve the finest details of information storage in our brains, they too could not predict our thoughts and decisions.

The Cogito model accounts not just for freedom but for creativity, original thoughts and ideas never before expressed. Unique and new information may come into the world with each new thought and action. We are the originators of the new information, the authors of our lives, and in this respect we are co-creators of our universe.

Biologists will note that the Micro Mind corresponds to random variation in the gene pool (often the direct result of quantum accidents). The Macro Mind corresponds to natural selection by highly determined organisms. Karl Popper⁴⁷ may have been the first to point this out.

Psychologists will see the resemblance of Micro Mind and Macro Mind to the Freudian id and super-ego (das Ess und das Über-ich).

The Cogito model accounts quantitatively for the concept of wisdom. The greater the amount of knowledge and experience, the more likely that the random Agenda will contain more useful and "intelligent" thoughts and actions as alternative possibilities. It also implies that an educated mind is "more free" because it can generate a wider Agenda and options for action. It suggests that "narrow" and "closed" minds may simply be lacking the capabilities of the Micro Mind. And if the Macro Mind were weak, it might point to the high correlation between creativity and madness suggested by a Micro Mind out of control, or it might be an indicator for Aristotle's "weakness of will" (akrasia).

Philosophers of mind, whether determinist or compatibilist, should recognize this Macro Mind as everything they say is needed to make a carefully reasoned and responsible free choice. But now our choices include self-generated random possibilities for thought and action that no external agent can predict. Thus the choice of the will and the resulting willed action are unpredictable. The origin of the chosen causal chain is entirely within the agent, a condition noted first by Aristotle for voluntary action, the causes are "in us" ($\dot{\epsilon}$ v $\dot{\eta}$ µív).

[The combination of microscopic randomness and macroscopic determinism in our Cogito model for human freedom means it is both unpredictable and yet fully responsible for its willed actions. Chance never leads directly to - never directly "causes" - an action.

Chance only provides the variety of alternative possibilities, each the possible start of a new causal chain, from which the deterministic judgment can choose an alternative that is

consistent with our character and values. Our will is adequately determined and in control of our actions.]

Decisions are not single mental events, but a multi-step, even continuous, process.

The Cogito Model is not limited to a single stage of generating alternative possibilities followed by a single stage of determination by the will.

It is better understood as a continuous process of possibilities generation by the Micro Mind (parts of the brain that leave themselves open to noise) and adequately determined choices made from time to time by the Macro Mind (the same brain parts, perhaps, but now averaging over and filtering out the noisiness that might otherwise make the determination random).

In particular, note that a special kind of decision might occur when the Macro Mind finds that none of the current options are good enough for the agent's character and values to approve. The Macro Mind then might figuratively say to the Micro Mind, "Think again!"

Many philosophers have puzzled how an agent could do otherwise in exactly the same circumstances. Since humans are intelligent organisms, and given the myriad of possible circumstances, it is impossible that an agent is ever in exactly the same circumstances. The agent's memory (stored information) of earlier similar circumstances guarantees that.

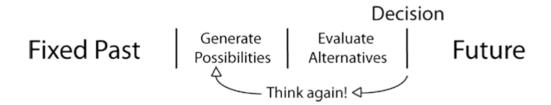
But given the "laws of nature" and the "fixed past" just before a decision, philosophers wonder how a free agent can have any possible alternatives. This is partly because they imagine a timeline for the decision that shrinks the decision process to a single moment.



Collapsing the decision to a single moment between the closed fixed past and the open ambiguous future makes it difficult to see the free thoughts of the mind followed by the willed and adequately determined action of the body.

		Decision	
Fixed Past	Generate Possibilities	Evaluate Alternatives	Future

This view still makes an artificial separation between Micro Mind creative randomness and Macro Mind deliberative evaluation. These two capabilities of the mind can be going on at the same time. That can be visualized by the occasional decision to go back and think again, when the available alternatives are not good enough to satisfy the demands of the agent's character and values.



Our thoughts are free and often appear to come to us. Our actions are adequately determined for moral responsibility and appear to come from us. They are up to us (Aristotle's $\dot{\epsilon}\varphi'$ $\dot{\eta}\mu$ (v).

What then are the sources of alternative possibilities? To what extent are they our creations? We can distinguish three important sources, all of them capable of producing indeterministic options for thoughts and actions. Two come in from outside the mind, the third is internal.

The first source is the external world that arrives through our perceptions. It is perhaps the major driving force in our lives, constantly requiring our conscious attention. Indeed, consciousness can be understood in large part as the exchange of actionable information between organism and environment. Although the indeterministic origin of such ideas is outside us, we can take full responsibility for them if they influence our adequately determined willed actions.

The second source of options is other persons. The unique human ability to communicate information means that alternative possibilities for our actions are being generated by our reactions to other minds.

Finally, and most importantly, the Micro Mind generates possibilities internally. These are the possibilities that truly originate within us (Aristotle's $\dot{\epsilon}v$ $\dot{\eta}\mu$ ív). In the Cogito model, the agent is a creative source, the author and originator of her ideas.

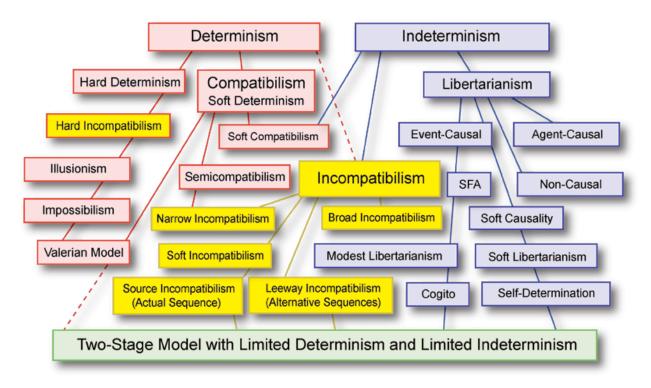
Where does the Cogito Model fit in current Free Will debates?

Recent debate on the free will problem uses a taxonomy of positions that has caused a great deal of confusion, partly logical but mostly linguistic. Let's take a quick look at the terminology.

At the top level, there are two mutually exclusive positions, Determinism and Indeterminism.

Under Determinism, two more positions conflict, <u>Compatibilism</u> and <u>Incompatibilism</u>.

And under Indeterminism, Robert Kane in his *Oxford Handbook of Free Will* ⁴⁸ distinguishes three positions recently taken by Libertarians - Non-Causal, Agent-Causal, and Event-Causal.



Instead of directly discussing models for free will, the debate is conducted indirectly.

Is determinism true? This is perhaps the most frequently asked question. The answer, at least in the physical world, is now well known. Determinism is not "true." The physical world contains quantum randomness - absolute chance.

Is free will compatible with determinism? This is also a frequently asked question. Most philosophers answer yes and describe themselves as compatibilists. Many compatibilists accept quantum mechanical indeterminism, but they argue that if determinism were true, we would still have the traditional compatibilist freedom of action that Hume argued for, as long as our actions were not coerced.

Why do most philosophers say they are compatibilists? Given the stark, but false, choice between determinism and indeterminism, reasonable thinkers choose determinism so that our decisions are causally connected to our reasons and values.

But chance does not mean that *every event is completely undetermined and uncaused.* And it does not mean that chance is the direct cause of our actions, that our actions must be random.

Nevertheless, the typical argument of determinists and compatibilists is that *if our actions had random causes* (anywhere earlier in the causal chain), we could not be <u>morally responsible</u> (excepting Robert Kane's "torn decisions").

To avoid the obvious difficulty for their position, some compatibilist philosophers simply deny the reality of chance. They hope that something will be found wrong with quantum mechanical indeterminism (like David Bohm's "hidden variables"). Chance is unintelligible, they say, and thus there is no intelligible account of libertarian free will. Some dismiss free will (as many philosophers have denied chance) as an <u>illusion</u>.

Back in 1884, William James distinguished between Hard Determinist and Soft Determinists. The latter were what we now call Compatibilists.

Recently, professional philosophers specializing in free will and moral responsibility have staked out nuanced versions of the familiar positions with new jargon, like <u>broad and narrow</u> incompatibilism, semicompatibilism, hard incompatibilism, impossibilism, and illusionism.

Awkwardly, the incompatibilist position includes both "hard" determinists, who deny free will, and libertarians, who deny determinism, making the taxonomy very messy.

<u>Broad incompatibilists</u> think both free will and moral responsibility are incompatible with determinism. Narrow incompatibilists think free will is not compatible, but moral responsibility is compatible with determinism.

<u>Semicompatibilists</u> (cf. John Martin Fischer⁴⁹) are narrow compatibilists who are agnostic about free will and determinism but claim that moral responsibility is compatible with determinism.

<u>Hard incompatibilists</u> (cf. Derk Pereboom⁵⁰) think both free will and moral responsibility are not compatible with determinism.

<u>Illusionists</u> (cf. Saul Smilansky⁵¹) are incompatibilists who say free will is an illusion. Some call themselves Impossibilists (cf. Galen Strawson⁵²).

<u>Soft Incompatibilists</u> (cf. Bob Doyle) think both free will and moral responsibility are incompatible with strict pre-determinism, but both are compatible with an <u>adequate</u> <u>determinism</u>.

<u>Soft Causalists</u> are event-causalists who accept causality but admit some unpredictable events that are *causa sui* and which start new causal chains.

<u>Soft Libertarians</u> (and Daring Soft Libertarians) admit some indeterminism, but only in what Fischer calls the Actual Sequence. It does not create Alternative Possibilities.

<u>Modest Libertarianism</u> (Al Mele) and Dan Dennett's Valerian Model are two-stage models, simialr to our Cogito Model.

Some of those who accept that indeterminism is the case, at least in the microphysical world, nevertheless deny that chance and quantum randomness can be important for free will. Oddly, this includes <u>agent-causalists</u>, who postulate a non-physical origin for causes (like reasons in the agent's mind), and <u>non-causalists</u>, who claim volitions and intentions are simply uncaused.

For the "event-causal" theorists of free will, we can distinguish six increasingly sophisticated attitudes toward the role of chance and indeterminism. "Event-causal" theorists embrace the first two, but very few thinkers, if any, appear to have considered all six essential requirements for chance to contribute to libertarian free will.

- 1. Chance exists in the universe. Quantum mechanics is correct. Indeterminism is true, etc.
- 2. Chance is necessary for free will. It breaks the causal chain of pre-determinism.
- 3. Chance does not <u>directly cause our actions</u>. We can only be <u>responsible</u> for random actions if we flip a coin and claim responsibility "either way."
- 4. Chance can only generate random (unpredictable) <u>alternative possibilities</u> for action or thought. The choice or selection of one action must be <u>adequately determined</u>, so that we can take <u>responsibility</u>. And once we choose, the connection between mind/brain and motor control must be adequately determined to see that "our will be done."
- 5. Chance, in the form of noise, both quantum and thermal, must be ever present. The naive model of a single random microscopic quantum event, amplified to affect the macroscopic brain, never made sense. Under what *ad hoc* circumstances, at <u>what time</u>, at <u>what place</u> in the brain, would it occur to influence a decision?
- 6. Chance must be *overcome* or *suppressed* by the <u>adequately determined</u> will when it decides to act, <u>de-liberating</u> the prior free options that "<u>one could have done</u>."

Where then does the Cogito model fit in this complex taxonomy of positions? The Cogito Model is Indeterminist, Libertarian, Incompatibilist, Event-Causal, and Soft-Causal. Despite this position, we hope it might appeal to Compatibilists and even Agent Causalists.

How does the Cogito Model improve on other recent free-will views?

The two-stage Cogito model lies between the work of Libertarians and Compatibilists.

The leading Libertarian model is that of <u>Robert Kane</u> and his followers <u>Laura Waddell Ekstrom</u>⁵³ and <u>Mark Balaguer</u>. ⁵⁴ They and Kane's critic <u>Richard Double</u>⁵⁵ have all reached for the dream of genuine <u>indeterminacy</u> "centered" in the "moment of choice," while nevertheless achieving agential control over actions.

Kane calls it "dual voluntary control" when an agent has good reasons for deciding either way in a "torn" decision. So the choice can be random and yet the agent still can feel responsible. We accept Kane's clever argument for responsibility "either way." But it seems confusing to describe this as "control" at the moment of choice when the final choice is avowedly random, and Kane's critics have strongly objected.

Double started out trying to justify three Kane conditions for free will - <u>control</u>, <u>rationality</u>, and <u>dual/plural alternative possibilities</u> that allow the agent to <u>choose otherwise</u> in <u>exactly the</u> same circumstances.

But in the end Double concluded that these three conditions could not be met simultaneously by Kane's model and said so in his 1990 book *The Non-Reality of Free Will*. To be sure, Double may simply share the goal of Impossibilists like Galen Strawson, ⁵⁶ or Hard Incompatibilists like Derk Pereboom, ⁵⁷ or Illusionists like Saul Smilansky. ⁵⁸ All these share a goal to deny moral responsibility in order to eliminate moral "desert" and retributive punishment.

Let's see how the Cogito model can improve on Kane's example of the businesswoman mentioned above. Recall that she is "torn" between helping the victim in the alley and continuing to her important business meeting. Before she decides (randomly) between the given choices, she can activate her alternative possibilities generator and the Micro Mind might come up with additional alternative possibilities. She might for example continue on to her meeting but get out her cell phone to report the crime and call for assistance. On her way she might tell any passersby to go to the victim's aid. Note that these creative new options can "come to her" up to and even beyond the moment of choice in this case (she is on her way to the office).

So the Cogito model with the alternative possibilities generator appears to provide real freedom beyond earlier two-stage models that Kane properly found unacceptable.

The leading thinkers to have proposed but not endorsed a two-stage model are the compatibilist <u>Daniel Dennett</u>⁵⁹ and the agnostic <u>Albert Mele</u>.⁶⁰ Neither of them could see how quantum events could provide an intelligible explanation. But they both saw benefits. Dennett said his decision model could "give libertarians what they say they want." He was right, and it is surprising that more libertarians did not adopt Dennett's model and try to improve upon it, perhaps finding the proper role for quantum events, as the Cogito model has now done.

Mele's "agnostic autonomism" and "modest libertarianism" were designed to take the best parts of libertarian and compatibilist positions, and make them defensible whether determinism or indeterminism was "true."

Like Mele's models, the Cogito model is less "free" than extreme libertarian views, but more <u>responsible</u>. As Mele has said, in the second stage, the will is as adequately determined as any compatibilist could desire.

The Cogito model is also less "determined" than some extreme Compatibilist views, because it is not *pre*determined in the sense of a causal chain back to the universe origin. But it is more <u>creative</u> than standard compatibilist views.

It provides for *determination* of the will by the agent's reasons, motives, feelings, and desires. But it allows the limited indeterminism needed for the generation of new ideas that allow the agent to be the originator and author of her life.

David Hume reconciled freedom with determinism. Can the Cogito model reconcile free will with indeterminism?

Might compatibilists find this a satisfactory model for a more comprehensive compatibilism, one compatible both with adequate determinism *and* with indeterminism that is limited to the generation of alternative possibilities?

Of course the model is still *incompatible* with pre-determinism, and with indeterminism after or centered at the moment of choice (again excepting Kane's cases of "torn decisions").

The Cogito model is perhaps less "event-causal" and more "agent causal," because the agent has creative powers during the extended "moment of choice." These are the kind of powers sought by agent-causalist libertarians like Roderick Chisholm, 61 Richard Taylor, 62 and Keith Lehrer. 63 These philosophers called for an absolute freedom, even from causes like reasons, motives, feelings, and desires. This shocked compatibilists at the time. Could such agent causalists be satisfied with the agent's ability to generate totally unconstrained new ideas right up to and including the "moment of choice," ideas that are not caused by anything prior to their generation?

Nothing in the events of the "fixed past" (and the laws of nature, as compatibilists like to say) up to the "moment of choice" *pre*determines the agent's decision. Because it generates new alternative possibilities, the Cogito model lets the agent <u>choose otherwise</u> in <u>exactly the same circumstances</u> that obtained before the "moment of choice." Kane calls this the "Indeterminist Condition," he says "the agent should be able to act and act otherwise (choose different possible futures), given the same past circumstances and laws of nature. ⁶⁴

This ability to do otherwise is often considered the most extreme requirement for libertarianism. The Cogito model now provides a credible explanation for this very important ability to do otherwise in exactly the same circumstances before the decision process began.

The Problem of Luck

Since the Cogito model embraces indeterminism as the source of alternative possibilities for action, it recognizes the irreducible nature of luck in the world. The existence of real chance

makes luck a major source of moral paradoxes and dilemmas, as developed by Thomas Nagel in his 1979 essay "Moral Luck" and the 1981 book of the same name by Bernard Williams. Alfred Mele's 2006 book Free Will and Luck explores the connection with free will. He says

"Agents' *control* is the yardstick by which the bearing of luck on their freedom and moral responsibility is measured. When luck (good or bad) is problematic, that is because it seems significantly to impede agents' control over themselves or to highlight important gaps or shortcomings in such control." (p.8)

We agree with Mele. It is essential that the second stage of a willed decision is adequately determined and proof of agential control. This establishes the agent's responsibility or accountability. But *moral* responsibility is a more difficult question, one for moral philosophers to answer. Randomness or luck in the earlier stage of possibilities generation may raise valid questions of moral responsibility and the appropriateness of praise or blame, reward or punishment. But such luck does not invalidate free will, as chance is essential to the generation of alternative possibilities.

Information and Free Will

The original and novel contribution to the solution of the free will problem that is central to the Cogito model is the result of an informational analysis of the problem.

Abstract information is neither matter nor energy, yet it needs matter for its concrete embodiment and energy for its communication. Information is the modern *spirit*, the *ghost in the machine*.

Every human experience is recorded in the brain as an information structure. Elements of the original experience can be reproduced when the brain retrieves the stored information and plays it back.

But, as with any case of the creation storage, recall, and communication of information, errors are introduced (Ludwig-Landauer Principle).

Modern digital computers and digital communication systems can detect and correct those errors, but they have not been eliminated. For this reason, digital computers and their algorithms are not well suited for models of the mind. They are ideal deterministic machines.

Errors in information storage and retrieval are the result of *noise*, both thermal and quantal noise. This noise is the way quantum indeterminacy enters into the generation of alternative possibilities for the will to evaluate and select.

Normally noise is the enemy of information, but it can be the friend of freedom and creativity.

Can our solution to the free will problem reverse a negative social trend?

Ever since Hume, libertarian philosophers have expressed concerns that determinism implies a lack of moral responsibility and might, like a form of fatalism, even encourage irresponsibility.

In the past few decades, the exhaustive determinism-indeterminism standard argument against free will has been used by some philosophers to deny the existence of *moral responsibility*. Others have expressed the ancient concern that people who are told they have no free will may behave less responsibly. Some psychological studies have confirmed such a laxity in moral behavior. Other philosophers and psychologists have openly called for our legal and judicial systems to recognize that advances in neuroscience will show ultimately that all human action is causally predetermined, and that no one should be held responsible for crimes.

One would hope that philosophers who are skeptical about the truth of modern physics, and claim to be agnostic about the truth of determinism or indeterminism, would be more circumspect and cautious about recommending drastic and unjustifiable changes in social policies based on little or no empirical evidence.

Establishing a credible model of human freedom based on our best understanding of physics and biology, neuroscience and psychology, after millennia of fruitless philosophical debates, promises to alter this destructive social trend.

Indeed, because the higher animals share our ability to use immaterial information structures in our minds to arrive at free decisions for action, we might extend the rights and responsibilities we grant to humans to some of these animals.

Conclusion

Although the problem of free will is nearly twenty-three centuries old, it is time to acknowledge that today we have an acceptable scientific two-stage solution. About 125 years ago, William James said that we must accept absolute chance as a part of that solution, comparing the role of chance explicitly to its role in evolution that Darwin had announced a quarter century earlier.

In this hundredth anniversary year of James's death, it is time for recognition of his great achievement, bravely proclaimed to an audience of Harvard Divinity School students in an age when chance was still considered atheistic and an affront to God's foreknowledge.

Seventy-five years ago, James's most important student, Dickinson Miller, writing under the pseudonym R.E.Hobart and just a few years after quantum indeterminacy was discovered, reminded us that *determination* by the will was also required.⁶⁷ Unfortunately, his work was misread by many compatibilist philosophers as requiring *determinism*.

Fifty years ago, A.J.Ayer and J.J.C.Smart perfected the standard logical argument against free will, that either determinism or indeterminism must be true, and that free will was impossible either way. If we are determined, we are not free. If we are undetermined, our will is random.

Just over a quarter century ago, Karl Popper, Henry Margenau, and Daniel Dennett discussed two-stage models for free will that connected quantum events to our decisions, but the general philosophical community remained determinist and compatibilist. This was despite Peter van Inwagen's *Consequence Argument*⁶⁸ that denied free will if all our actions are traceable in a causal chain to events back long before we were born. And it was despite Robert Kane's book *Free Will and Values*⁶⁹ which launched his campaign to find some intelligible way to make quantum indeterminacy the key to free will.

Now Martin Heisenberg has identified chance as generating alternative possibilities for action in the lowest animals. Evolution has no doubt conserved this ability to recruit chance, since it provides the significant biological advantage of creativity. Behavioral freedom in lower animals has evolved to become free will in higher animals and humans.

The two-stage solution of first "free" and then "will" is simple, intuitive, and the common sense view of the layperson. Our thoughts *come to us* freely. Our actions *go from us* willfully.

We can see as clearly as William James long ago that humans have a free will that can not be denied by the sophisticated logical and linguistic debates of the "soft determinist" or "hard determinist" philosophers.

Where philosophers prefer problems that hone their students' logical and linguistic debating skills, scientists seek solutions that can advance knowledge and educate their students about new information coming into the universe. In his finest moments, William James was both a great philosopher and a great scientist.

Notes:

¹ Martin Heisenberg, "Is Free Will an Illusion?," *Nature*, 459, (May 2009): 164-165.

² Daniel Wegner, *The Illusion of Conscious Will* (Cambridge, MA: MIT Press, 2002).

³ Robert O. Doyle, "Free Will: it's a normal biological property, not a gift or a mystery," *Nature*, 459 (June 2009): 1052.

⁴ William James, "The Dilemma of Determinism," *The Will to Believe* (New York, Dover, 1956), p. 145.

⁵ James, "Dilemma," p.153.

⁶ William James, "Great Men, Great Thoughts, and the Environment," *Atlantic Monthly* 46 (October 1880): 441-459.

⁷ Others include, <u>Henri Poincaré</u>, <u>Arthur Holly Compton</u>, <u>Karl Popper</u>, <u>Daniel Dennett</u>, <u>Henry Margenau</u>, <u>Robert Kane</u>, <u>Alfred Mele</u>, and the author. For a full listing see http://www.informationphilosopher.com/freedom/two-stage_models.html (accessed November 15, 2010).

⁸ Lucretius, *De Rerum Natura*, Book 2, lines 251-62 (Cambridge, MA, Harvard, 1982). Loeb Library, W.H.D. Rouse, trans.

⁹ Cicero, *De Natura Deorum*, Book I, XXV, 69-70 (Cambridge, MA, Harvard, 1951). Loeb Library, H. Rackham, trans.

¹⁰ A. A. Long, *Hellenistic Philosophy* (Berkeley, U. California Press, 1986) p.149.

¹¹ A. J. Ayer, *Philosophical Essays*, (New York, St. Martin's Press, 1954) p.275; J. J. C. Smart, "Free-Will, Praise and Blame," *Mind*, LXX, 279 (1961) pp.291-306. For several more examples, see http://www.informationphilosopher.com/freedom/standard_argument.html, (accessed November 18, 2010).

¹² John Locke, *Essay Concerning Human Understanding*, Book II, Chapter XXI, Of Power, sections 14-21 (New York, Dover, 1959), pp.319-324

¹³ David Hume, *Enquiries concerning Human Understanding*, Section VII, "Of Liberty and Necessity" (Oxford, Clarendon Press, 1975), p.95

¹⁴ Hume, Enquiries, Section VI. Of Probability, p.56

¹⁵ Hume, *Enquiries*, Section VII, p.96.

¹⁶ Hume, *Enquiries*, Section VIII, Of Liberty and Necessity, p. 99

¹⁷ David Layzer, "The Arrow of Time," *Scientific American* 233, 6 (1975) pp.56-69.

¹⁸ Gunter Ludwig, "Der Messprozess (The Problem of Measurement)." Zeitschrift für Physik 135 (1953), p.483.

¹⁹ Rolf Landauer: "<u>Irreversibility and heat generation in the computing process</u>," IBM Journal of Research and Development, 5 (1961) pp. 183-191.

²⁰ "A life of slime:Network-engineering problems can be solved by surprisingly simple creature," *Economist*, 394, 8666 (2010) p.77.

²¹ Heisenberg, *Nature*, p.165.

²² Bruce Alberts et al., *Molecular Biology of the Cell*, 4th ed. (New York, Garland Science, 2003), pp.1385-1392.

²³ Ernst Mayr, *Toward a New Philosophy of Biology*, (Cambridge, MA, Harvard Belknap Press, 1988), p.150.

²⁴ Arthur Stanley Eddington, *The Nature of the Physical World* (Ann Arbor, U. Michigan Press, 1958), p. 294.

²⁵ Eddington, *Nature*, p.295.

L. Susan Stebbings, <i>Philosophy and the Physicists</i> , Chapter IX (New York, Dover, 1958) p.185.
"Up to us" or "depends on us" ($\Box \varphi \Box \mu \Box v$) was for the Greeks, and particularly for Aristotle, the term closest to the modern complex idea of free will, (which combines freedom and determination in an apparent internal contradiction). Aristotle and Epicurus both said it was a third thing that was neither chance nor necessity. The idea was a kind of "agent causality" that provides accountability or moral responsibility. Because our actions originate "within ourselves" ($\Box v \Box \mu \Box v$), they say that as "agents" we are "causes."
²⁸ Arthur Holly Compton, Science, 74, (1931) p.1911.
²⁹ Karl Popper, <i>Natural Selection and the Emergence of Mind</i> (Cambridge, Darwin College, 1977)
³⁰ Henry Margenau, <i>Scientific Indeterminism and Human Freedom</i> (Latrobe, PA, Archabbey Press, 1968)
³¹ Robert Kane, "Responsibility, Luck, and Chance," <i>Journal of Philosophy</i> , 96, 5 (1999) p.225.
³² , A Contemporary Introduction to Free Will (New York, Oxford, 2005) p.65.
Peter van Inwagen, "Free Will Remains a Mystery," <i>Philosophical Perspectives</i> , 14 (2000) p.14.
³⁴ Thomas Nagel, "Moral Luck," in <i>Mortal Questions</i> , (Cambridge, Cambridge U. Press, 1979) p.24.
³⁵ Bernard Williams, <i>Moral Luck</i> (Cambridge, Cambridge U. Press, 1981) p.20.
³⁶ Alfred Mele, <i>Autonomous Agents</i> (New York, Oxford, 1995) pp.212-13.
³⁷ Daniel Dennett, "On Giving Libertarians What They Say They Want," <i>Brainstorms</i> (Montgomery, VT, Bradford Books, 1978) p.295
³⁸ David Wiggins, "Towards a Reasonable Libertarianism," <i>Essays on Freedom of Action,</i> ed. Ted Honderich (London, Routledge & Kegan Paul, 1973) p. 33.
³⁹ Alfred Mele, "A Modest Libertarian Proposal," <i>Free Will and Luck</i> (Oxford, Oxford U. Press, 2006) p.9.
⁴⁰ See http://www.informationphilosopher.com/freedom/cogito (accessed March 15, 2010)
⁴¹ Nancey Murphy, George F.R. Ellis, and Timothy O'Connor, <i>Downward Causation and the Neurobiology of Free Will</i> (Springer, New York, 2009)
⁴² Allen Newell and Herbert A. Simon, <i>Human Problem Solving</i> (Englewood Cliffs, NJ, Prentice Hall, 1972)
⁴³ Bernard Baars, <i>In The Theater of Consciousness</i> (New York, Oxford, 1997)
⁴⁴ Robert Kane, <i>Free Will and Values</i> (Albany, SUNY Press, 1984)
⁴⁵ Aristotle, <i>Metaphysics</i> , Book VI 1027b12-14 (Cambridge, MA, Harvard, 1933) Loeb Library, H. Tredennick, trans.
⁴⁶ , <i>Nichomachean Ethics</i> , III.v.6, 1113b19-22(Cambridge, MA, Harvard, 1933) Loeb Library, H. Rackham, trans.
⁴⁷ Karl Popper, <i>Natural Selection and the Emergence of Mind</i> (Cambridge, Darwin College, 1977)

⁴⁸ Robert Kane, *Oxford Handbook of Free Will* (Oxford, Oxford U. Press) p.23.

⁴⁹ John Martin Fischer, "Introduction:Responsibility and Freedom," In J. Fischer, ed., *Moral Responsibility*. (Ithaca, NY: Cornell, 1986)

⁵⁰ Derk Pereboom, "Determinism al Dente," *Noûs* 29 (1995), reprinted in *Free Will*, ed. D. Pereboom (Indianapolis, IN, Hackett, 1997), p.242

⁵¹ Saul Smilansky, *Free Will and Illusion* (Oxford, Clarendon Press, 2002)

⁵² Galen Strawson, *Freedom and Belief* (Oxford, Clarendon Press, 1986)

⁵³ Laura Waddell Ekstrom, *Free Will* (Boulder, CO, Westview Press, 2000)

⁵⁴ Mark Balaguer, Free Will as an Open Scientific Problem (Cambridge, MA, MIT Press, 2010)

⁵⁵ Richard Double, *The Non-Reality of Free Will* (New York, Oxford, 1991)

⁵⁶ Galen Strawson, "The Impossibility of Moral Responsibility," *Philosophical Studies* 75/1-2, (1994) pp.5-24.

⁵⁷ Derk Pereboom, *Living Without Free Will* (Cambridge, Cambridge U. Press))

⁵⁸ Saul Smilansky, *Free Will and Illusion* (Oxford, Clarendon Press, 2000)

⁵⁹ Dennett, *Brainstorms*, p.286.

⁶⁰ Mele. Autonomous Agents, p.212.

⁶¹ Roderick Chisholm, "Agents, Causes, and Events: The Problem of Free Will," in *Agents, Causes, and Events: Essays on Indeterminism and Free Will.*, ed. T. O'Connor, (Oxford, Oxford U. Press, 1995) p.95.

⁶² Richard Taylor, Action and Purpose (Englewood Cliffs, NJ, Prentice-Hall, 1966)

⁶³ Keith Lehrer, "An Empirical Disproof of Determinism," *Freedom and Determinism*, ed. K. Lehrer (New York, Random House, 1966) p. 175.

⁶⁴ Kane, A Contemporary Introduction to Free Will, p.38.

⁶⁵ Mele, Free Will and Luck, p.8.

⁶⁶ Kathleen Vohs and Jonathan Schooler, "The Value of Believing in Free Will", *Psychological Science*, 19, 1 (January 2008).

⁶⁷ R. E. Hobart, "Free Will as Requiring Determination, and Inconceivable Without It," *Mind*, Vol XLIII, 169 (1934) p.2

⁶⁸ Peter van Inwagen, *An Essay on Free Will* (Oxford, Clarendon Press, 1983) p.16.

⁶⁹ Kane. Free Will and Values, p.36.