

Use of Coherent X-ray Diffraction to Image Surface Structure

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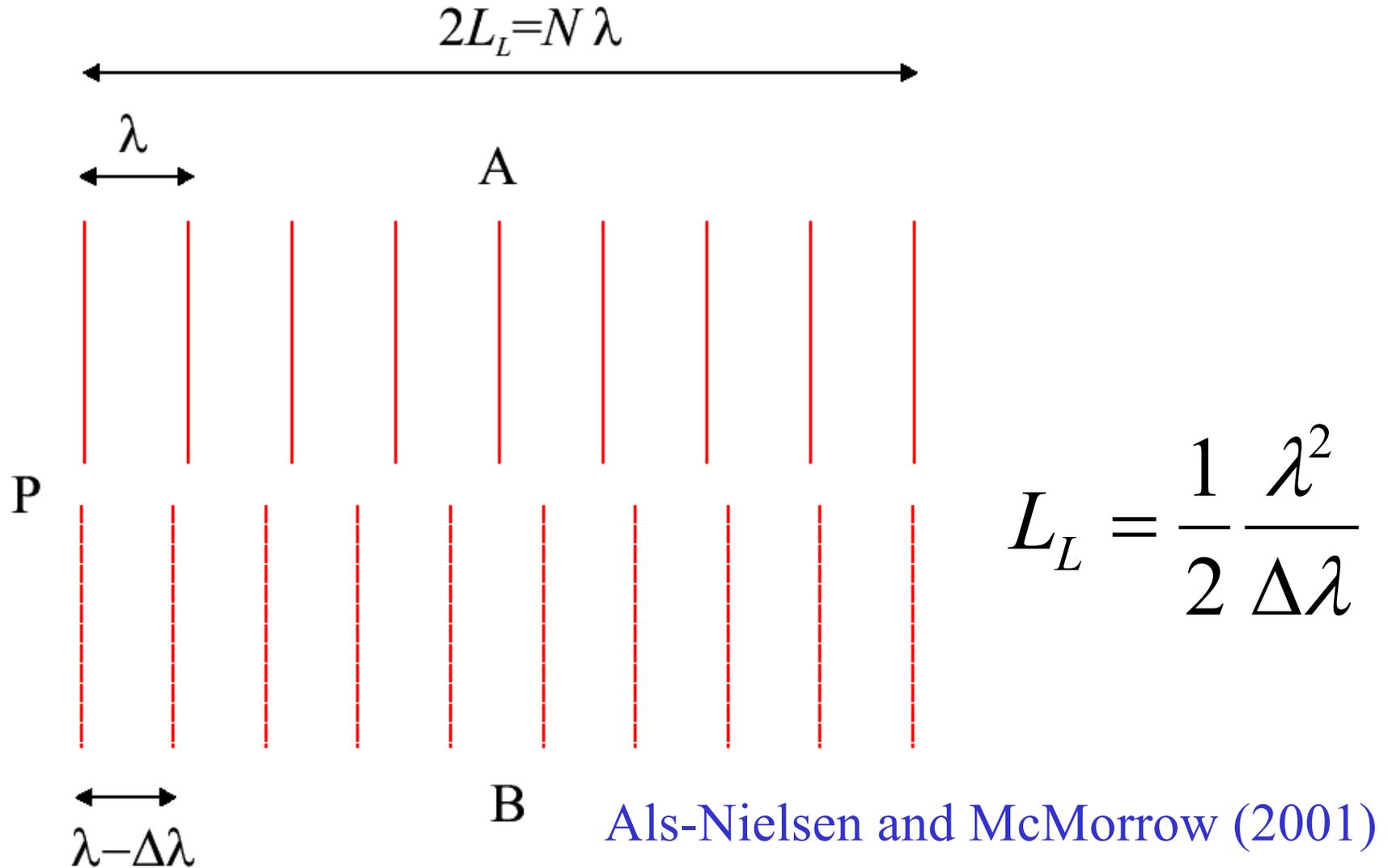
Outline

- Coherence in Diffraction
- The **Phase** Problem
- Coherent Diffraction from Surfaces
- Nanocrystal Facets
- Applications

Goals of Coherent Diffraction

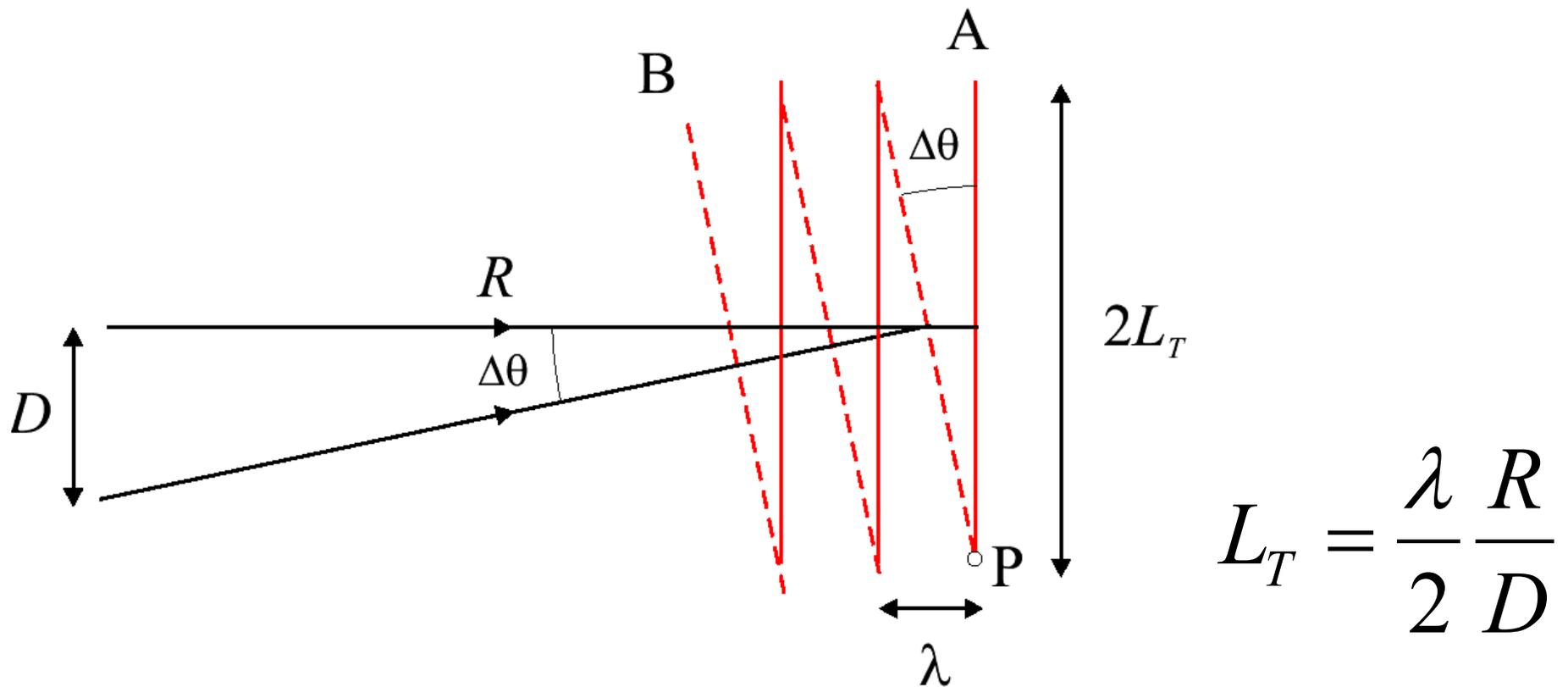
- Thermodynamic fluctuations
 - No ensemble average in CXD
- Probe of structure on **nm** scale
 - 1D, 2D and 3D
 - non-periodic object gives **continuous** $F(\mathbf{q})$
- **Oversampling** (in reciprocal space) permits solution of the **phase** problem

Longitudinal Coherence



Als-Nielsen and McMorro (2001)

Lateral (Transverse) Coherence



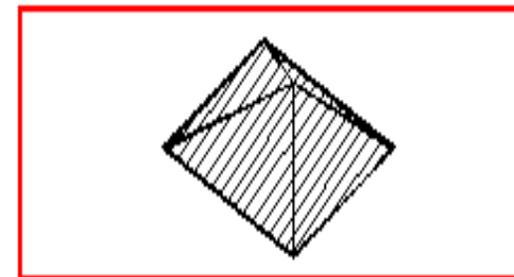
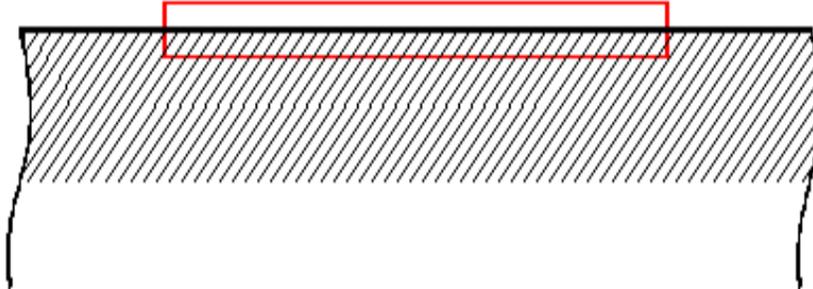
Als-Nielsen and McMorrow (2001)

Coherence at the APS

Typical 3rd Generation (undulator) Synchrotron Source

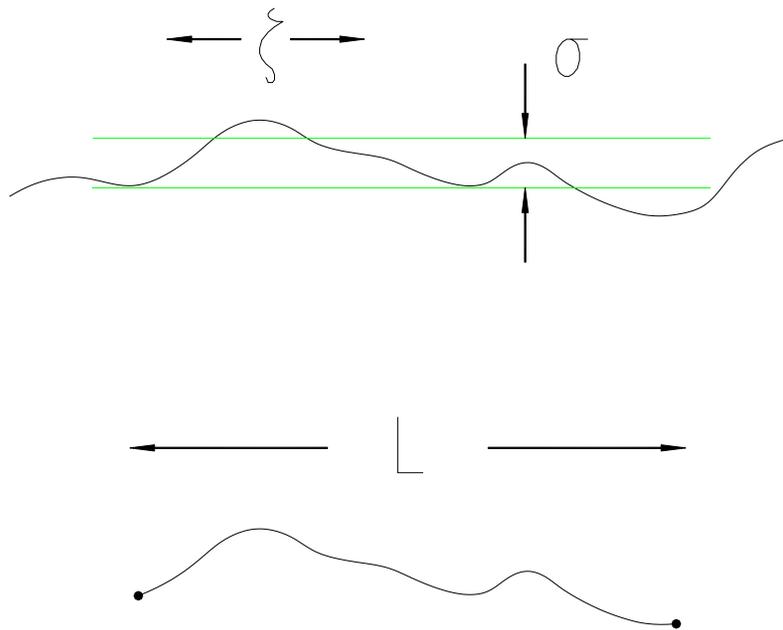
Coherence of	ξ_{VER}	ξ_{HORIZ}	ξ_{LONG}	Flux
Raw Undulator	35 μm	9 μm	0.004 μm	2×10^{12}
Si(111) Monochromator	35 μm	9 μm	1 μm	1×10^{10}
C(111) Monochromator	35 μm	9 μm	3 μm	3×10^9

Coherent region defined by slits

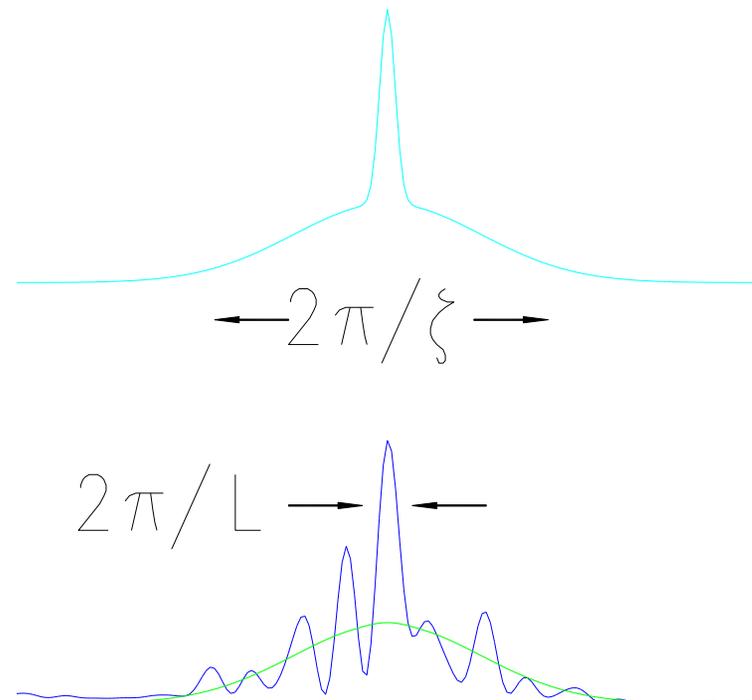


Diffuse Scattering acquires Structure using CXD

Real Space

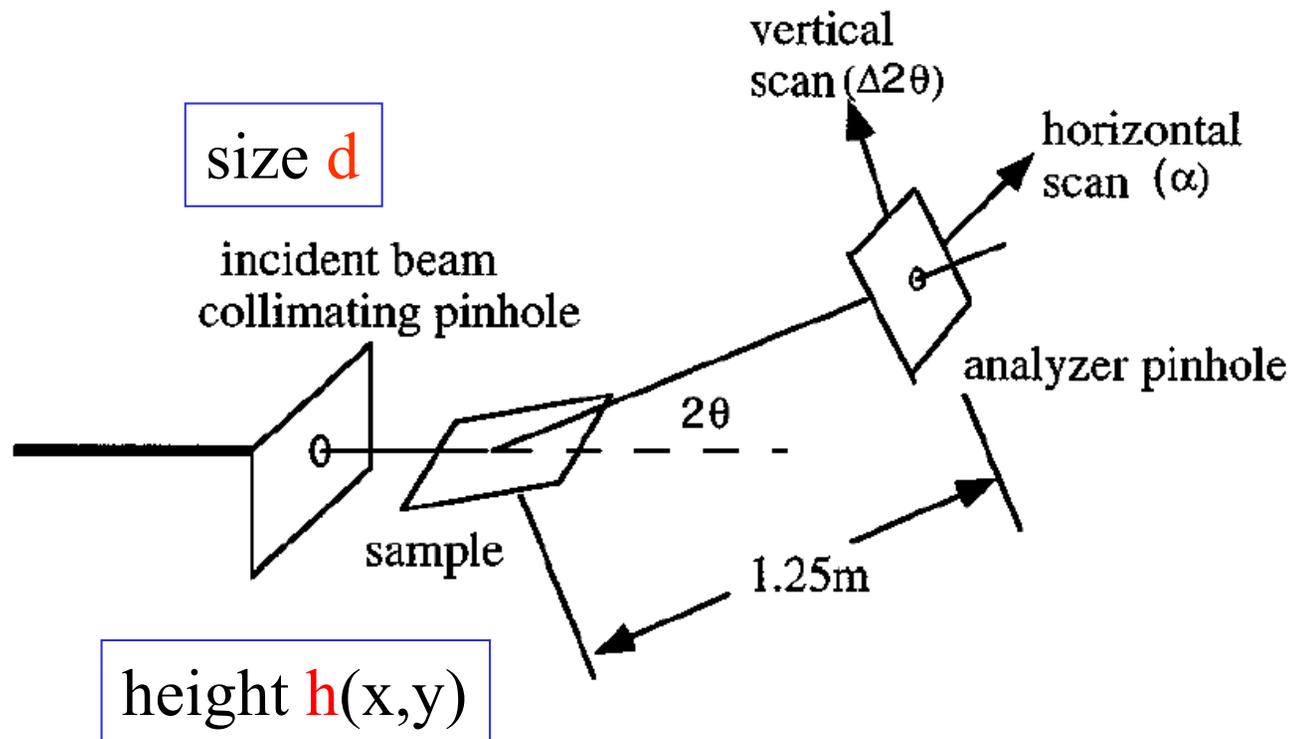


Reciprocal Space



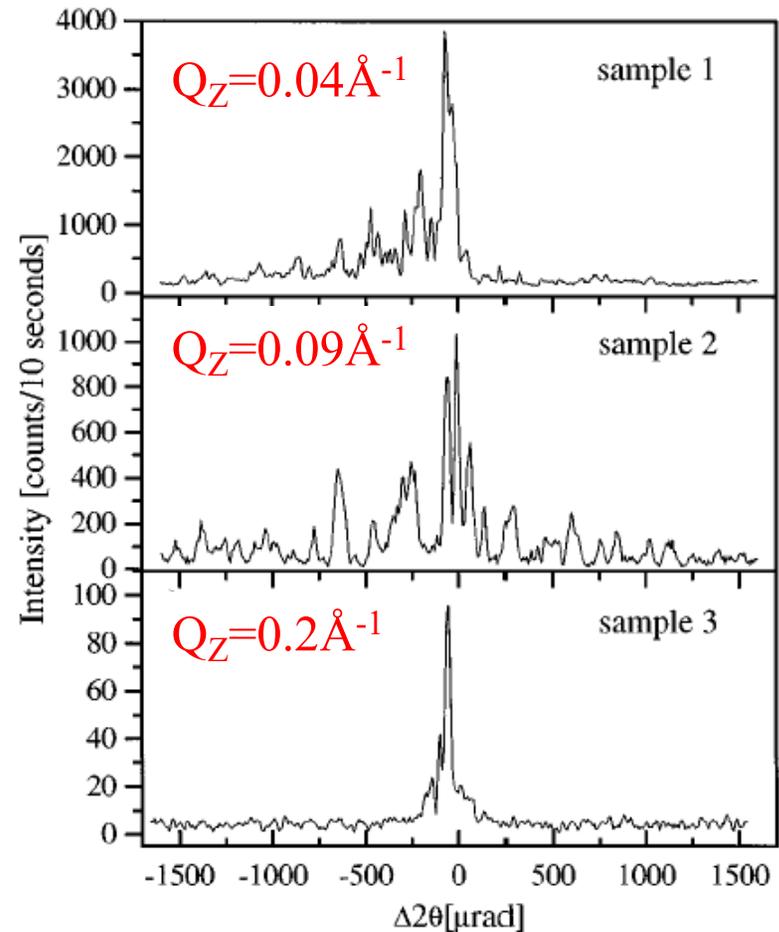
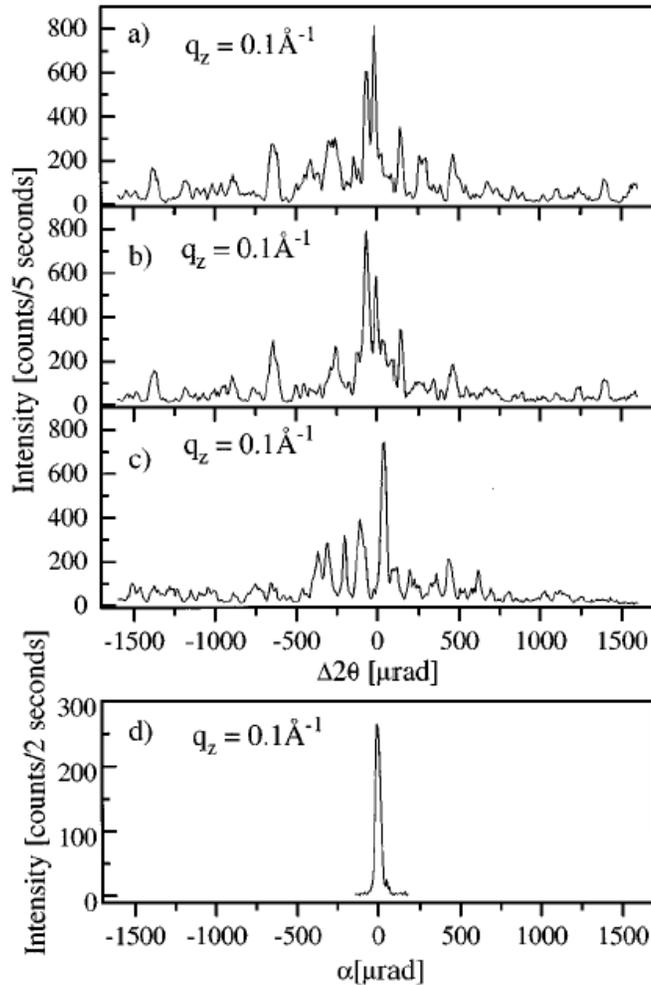
Surface Coherent X-ray Diffraction

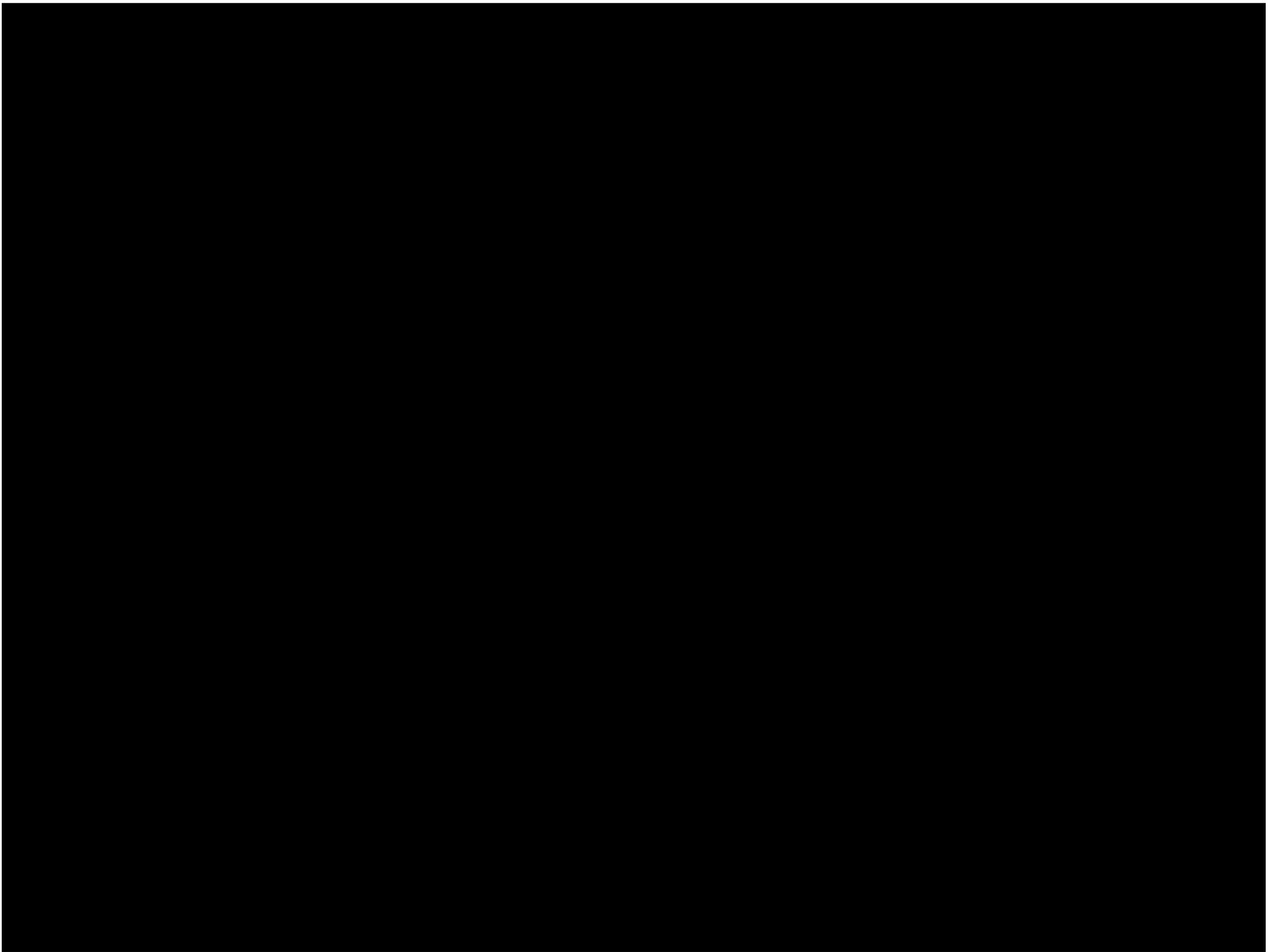
$$A(q_x, q_y) = \int_{-d/2}^{d/2} dx dy e^{iq_z h(x,y)} e^{iq_x x} e^{iq_y y}.$$



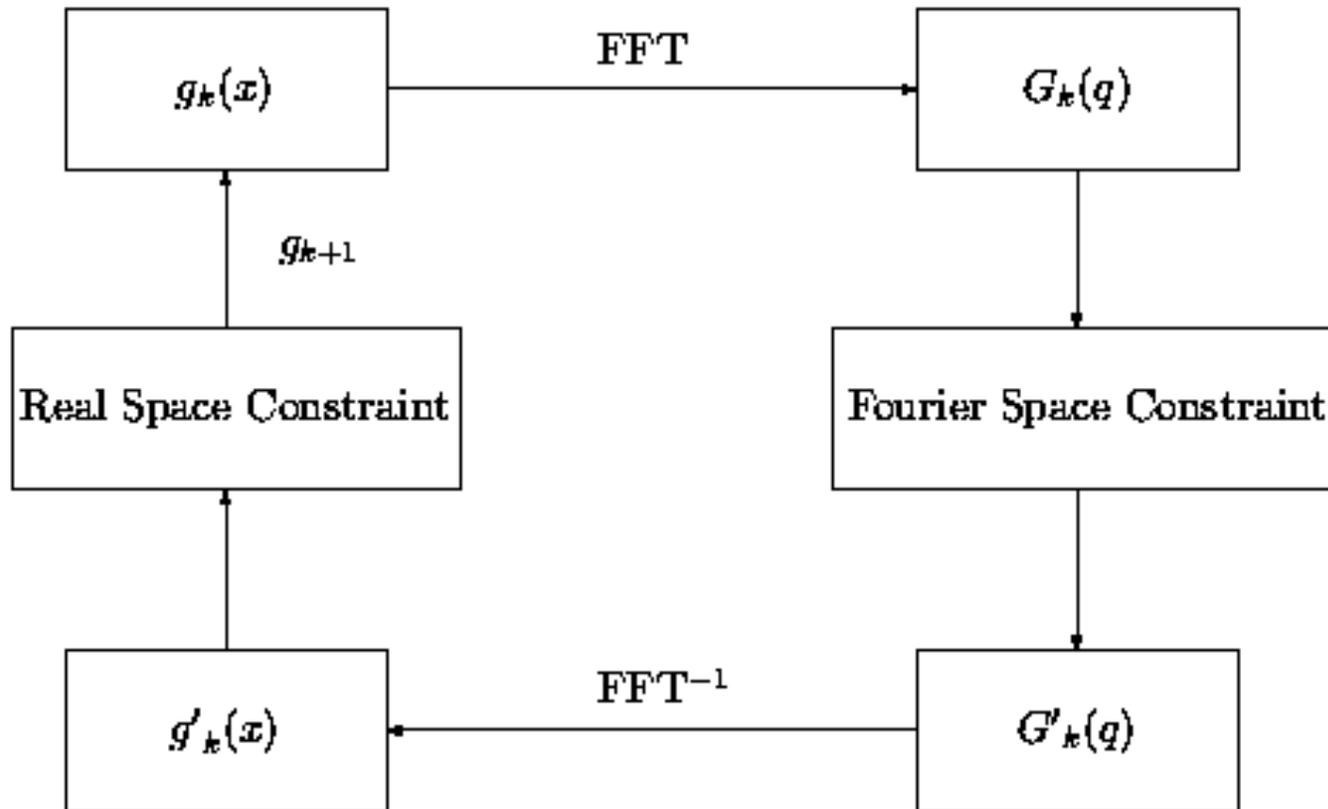
Si Samples of Different Roughness

J. L. Libbert *et al* Phys. Rev. B **56** 6454 (1997)





Generic “Error Reduction” method



J. R. Fienup *Appl. Opt.* 21 2758 (1982)

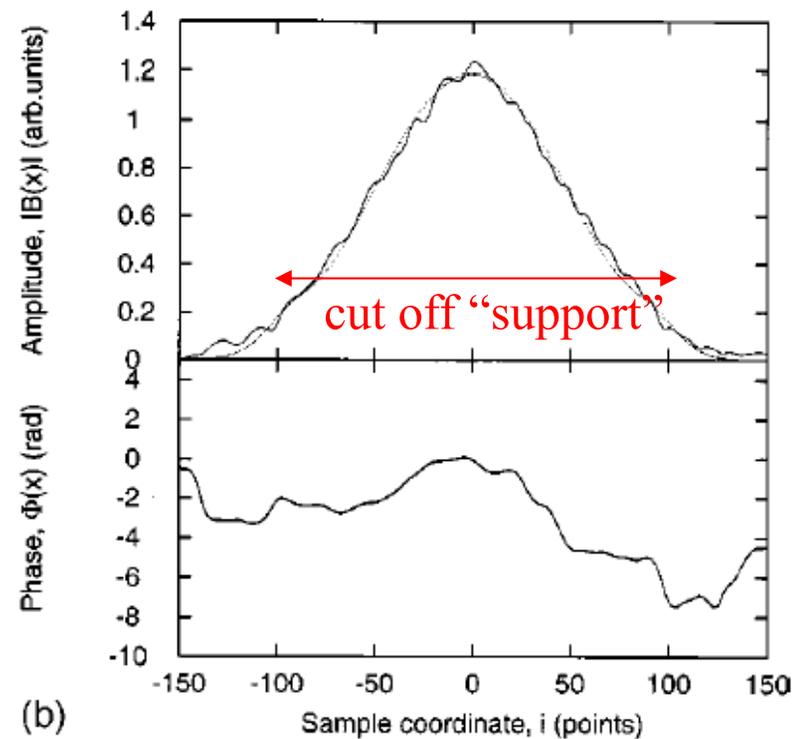
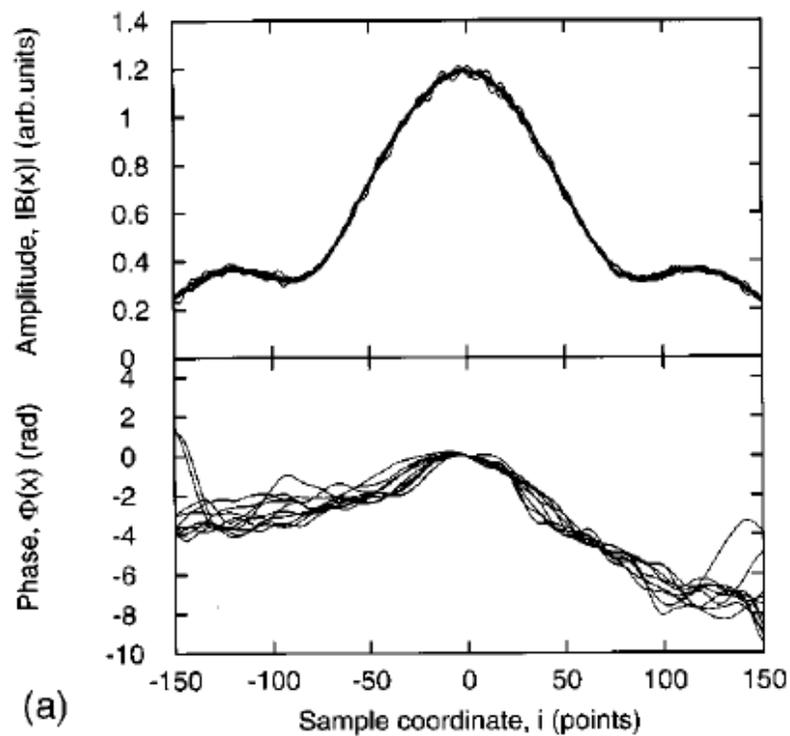
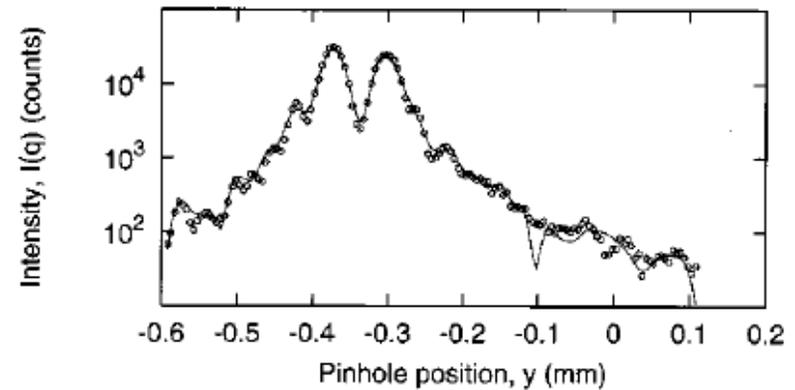
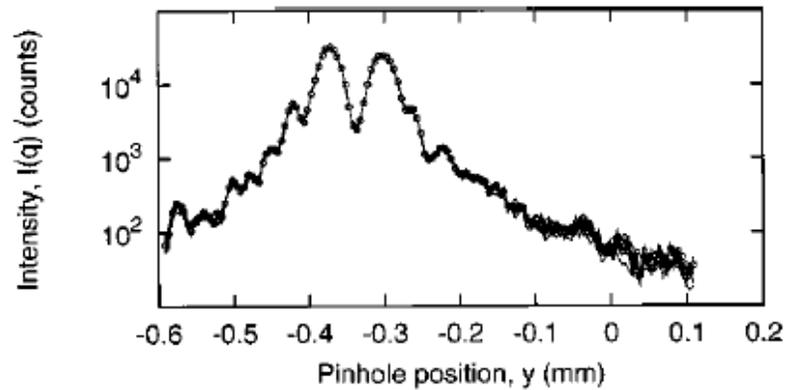
R. W. Gerchberg and W. O. Saxton *Optik* 35 237 (1972)

“ER” Methods in Crystallography

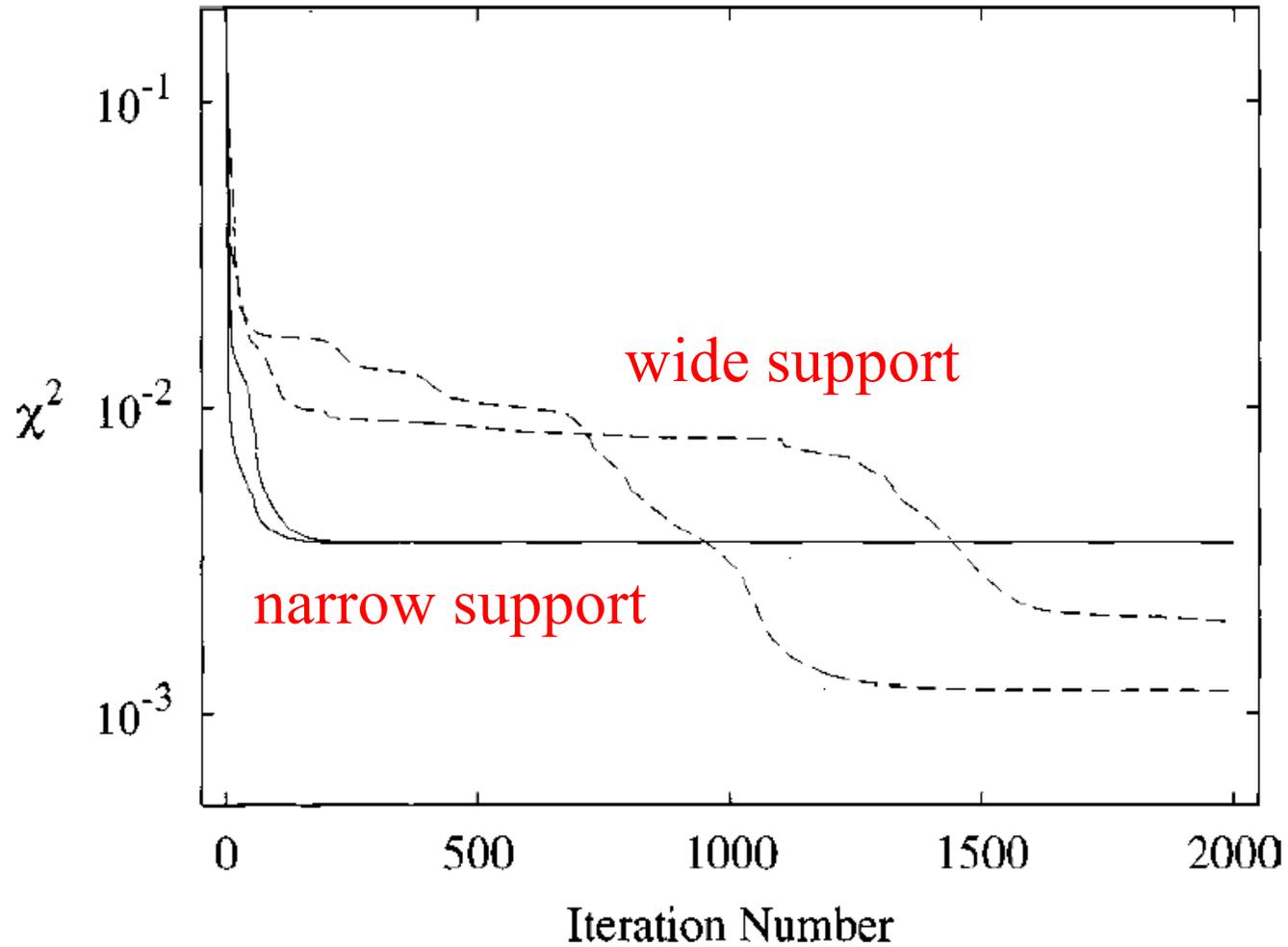
R. P. Millane, J. Opt. Soc Am. A **13** 725 (1996)

- ‘Positivity’ and ‘Atomicity’ constraints
- Finite **support**, molecular envelope
- Solvent flattening
- Molecular replacement
- Non-crystallographic symmetry
- Basis of ‘direct methods’ (Sayre, Brice)
- Non-uniqueness is ‘pathologically rare’ ($d > 1$)

Phasing using G-S Algorithm



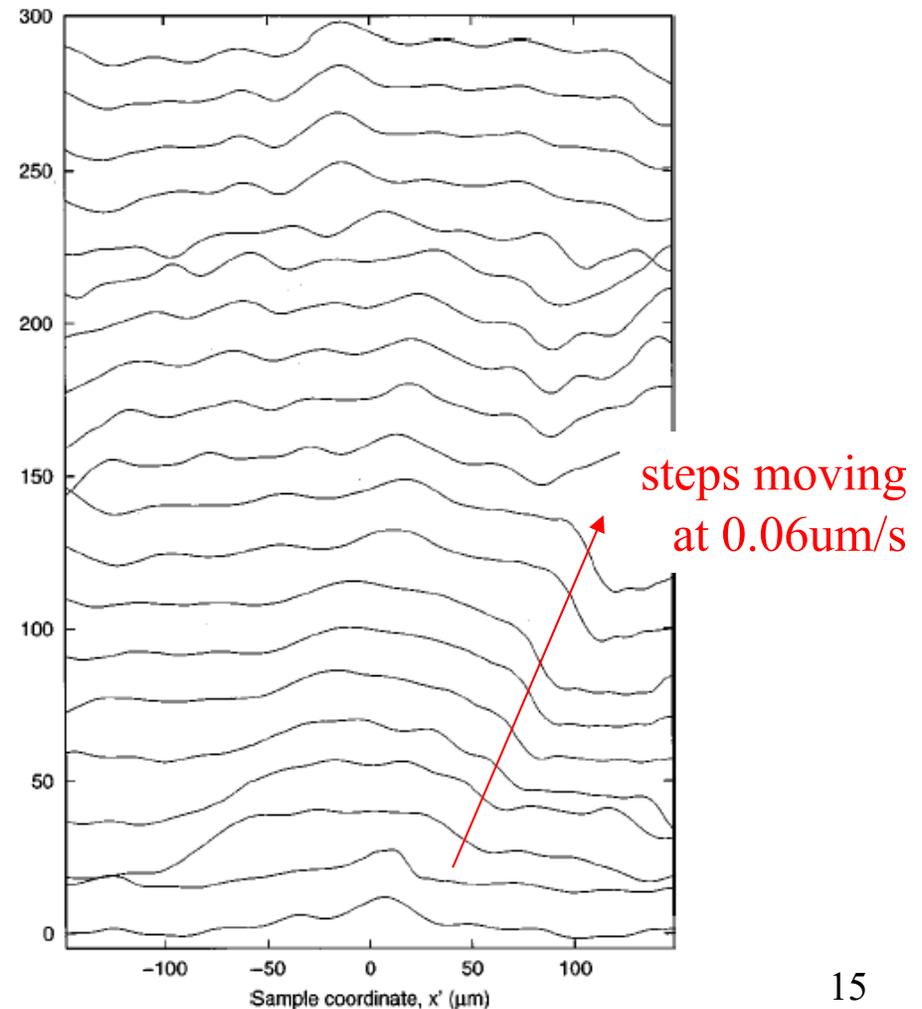
Convergence Trajectory



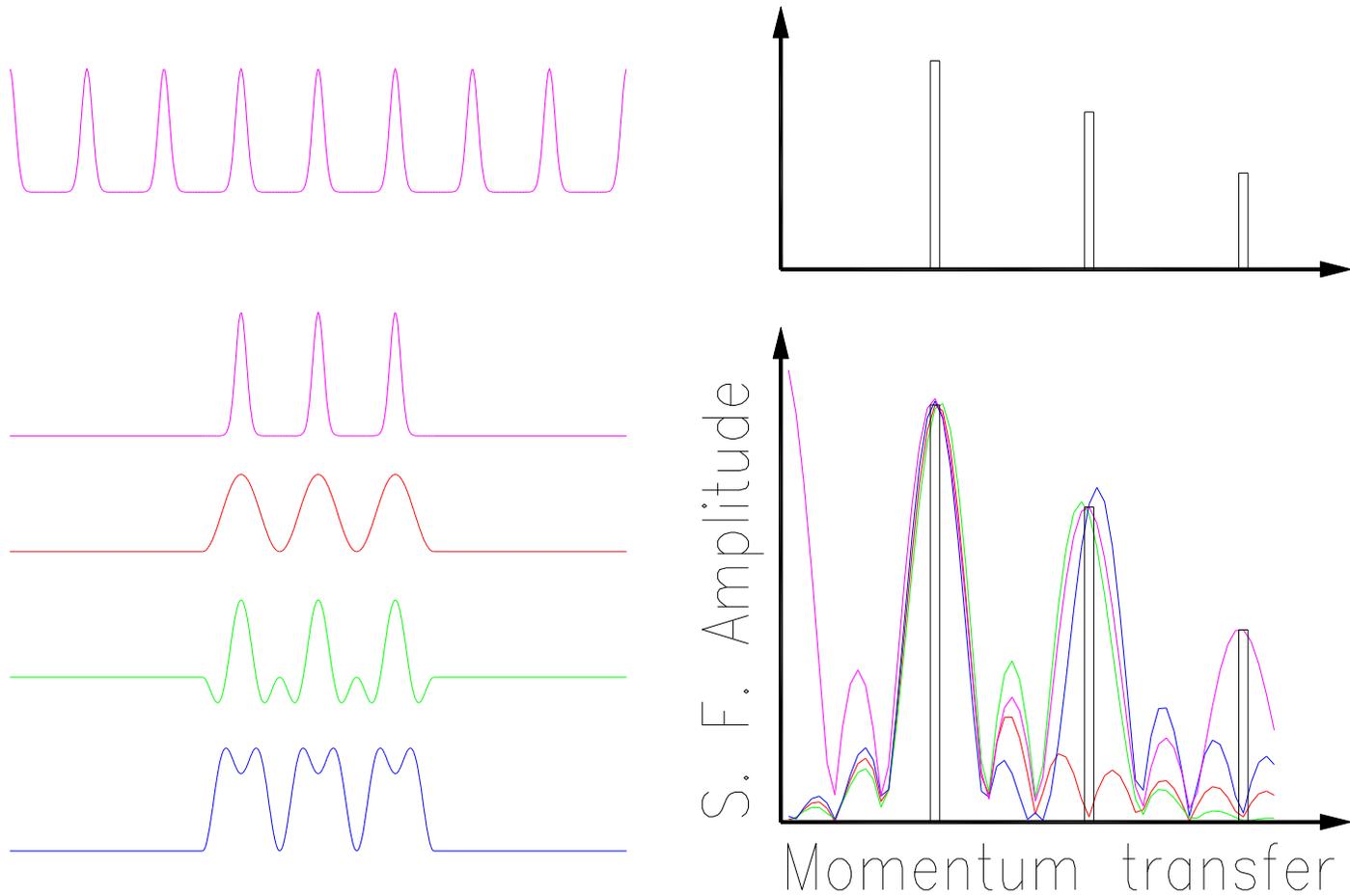
In-situ Regrowth of Oxide on Si

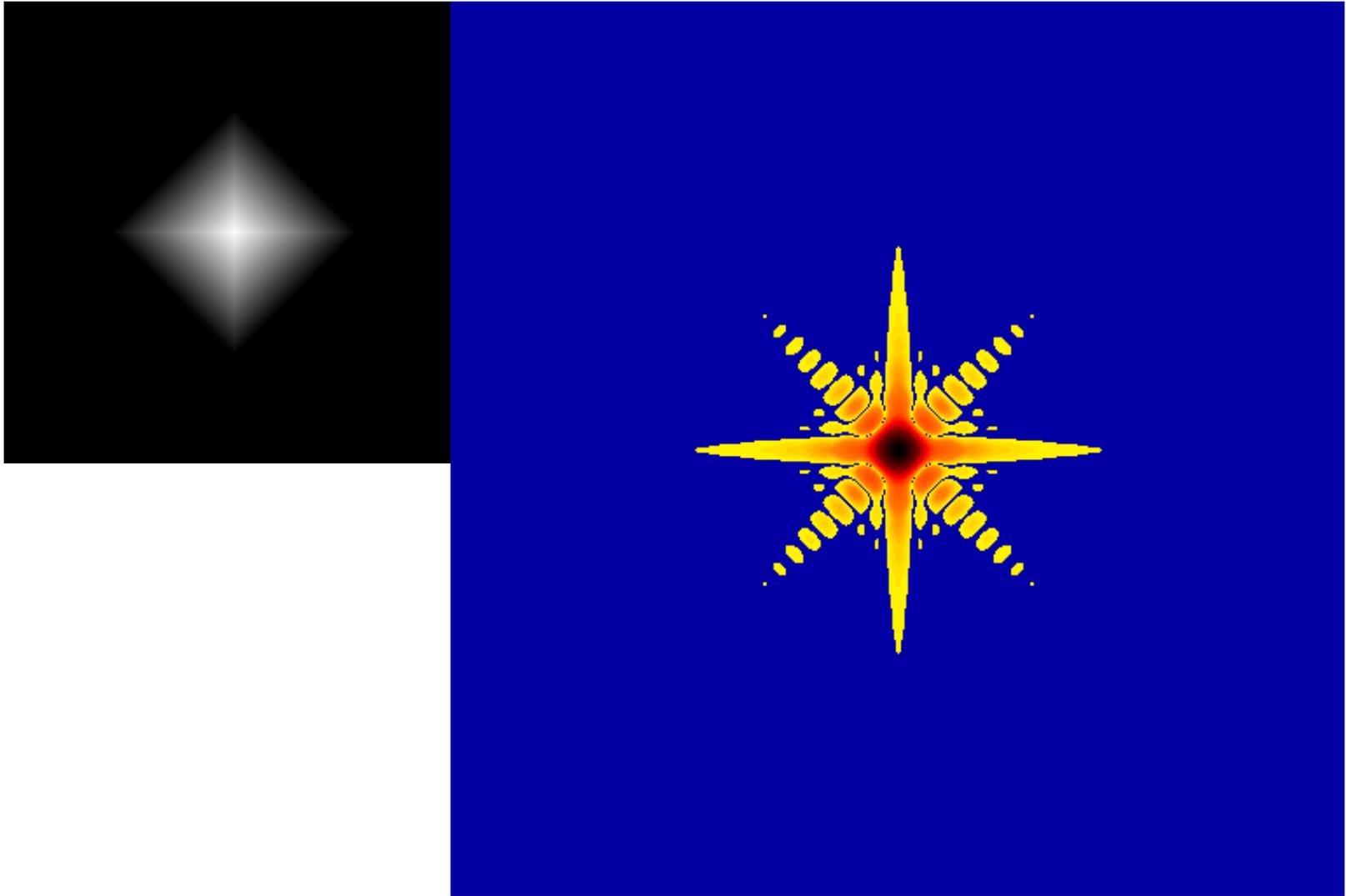
Phys. Rev. B **60** 9965 (1999)

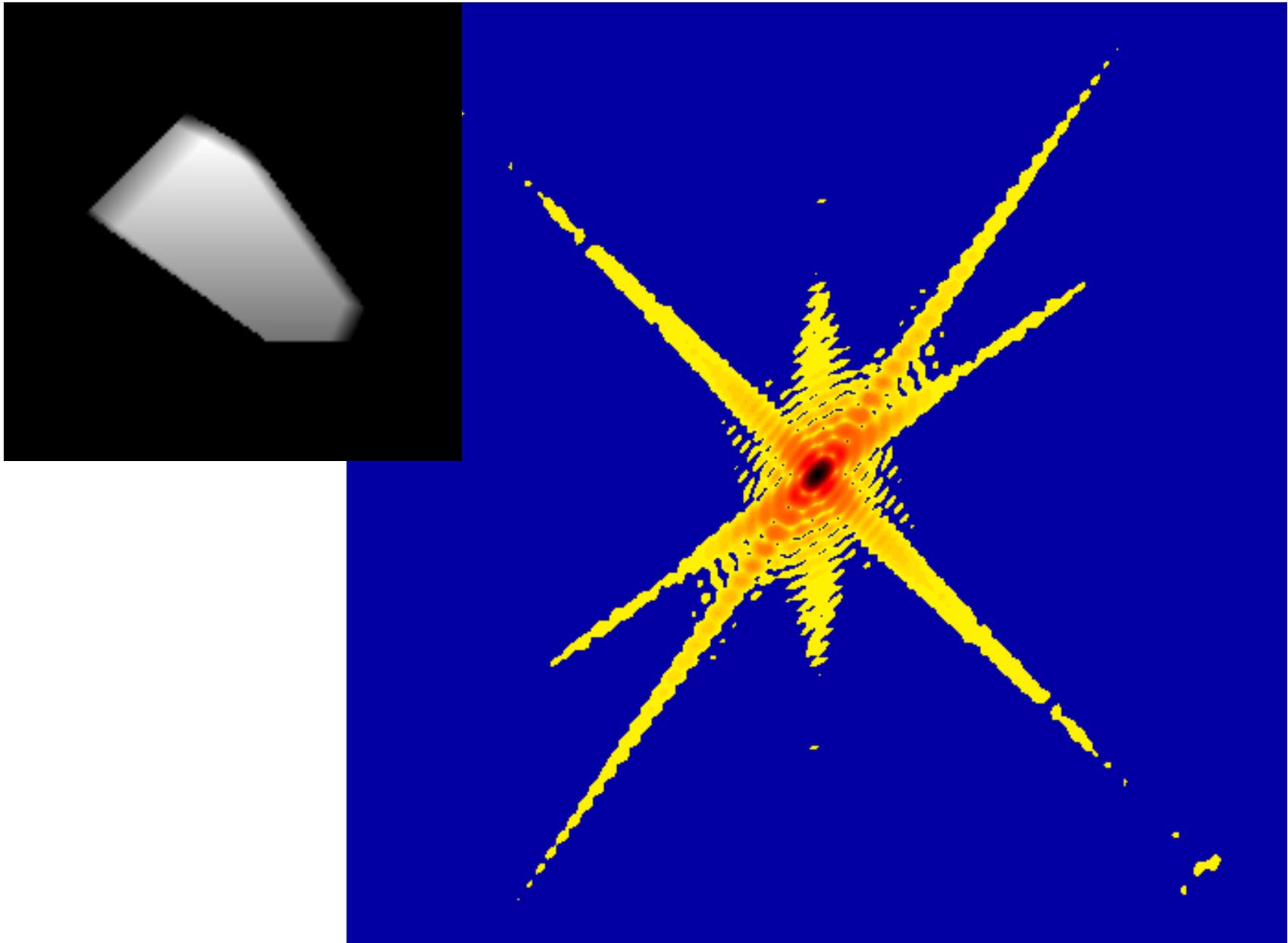
- Wafer stripped with HF at $t=0$
- CXD pattern measured every 180 sec
- Each profile reconstructed independently
- Random starting phase each time



Phase Problem: Finite-size Effect

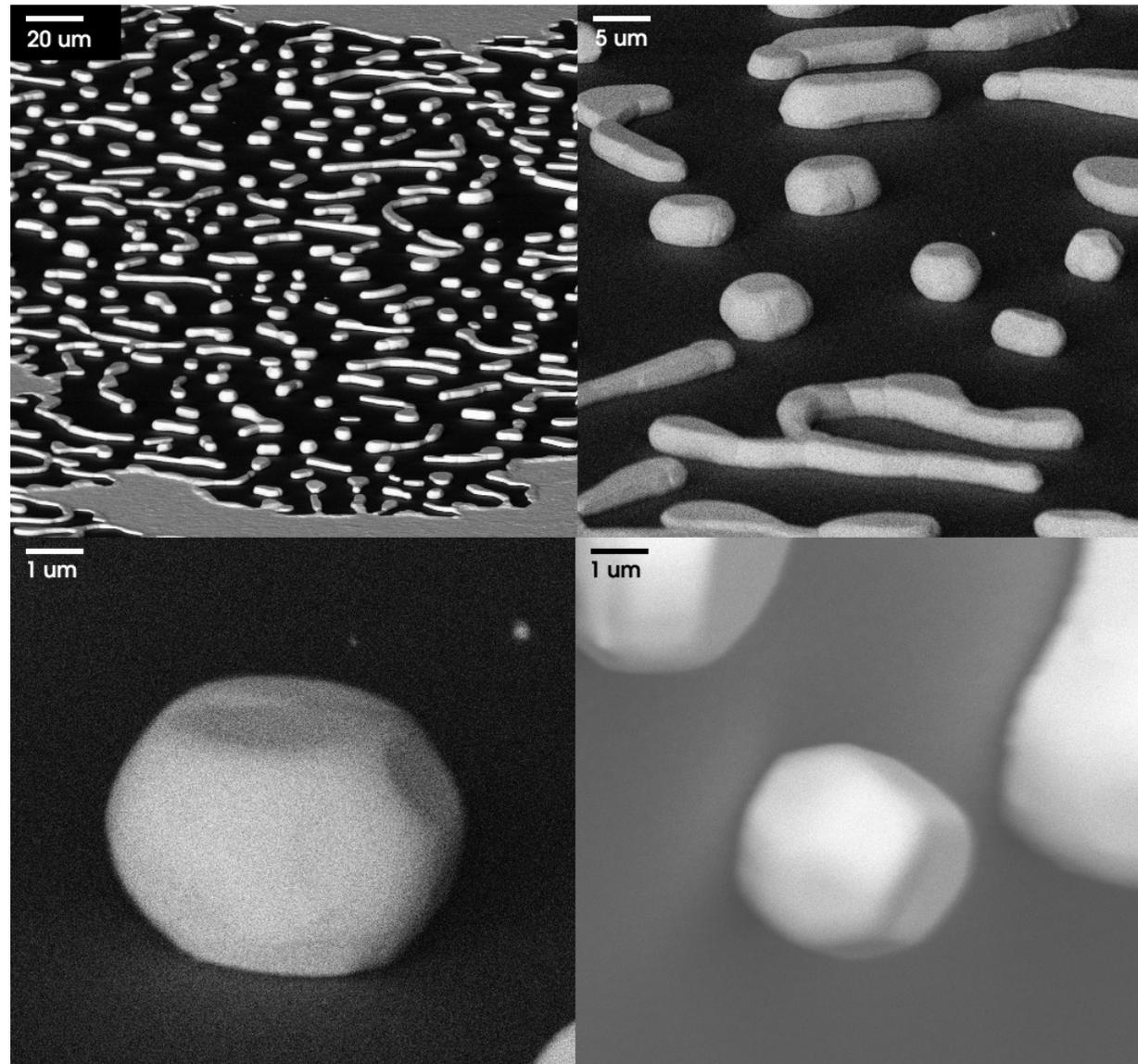




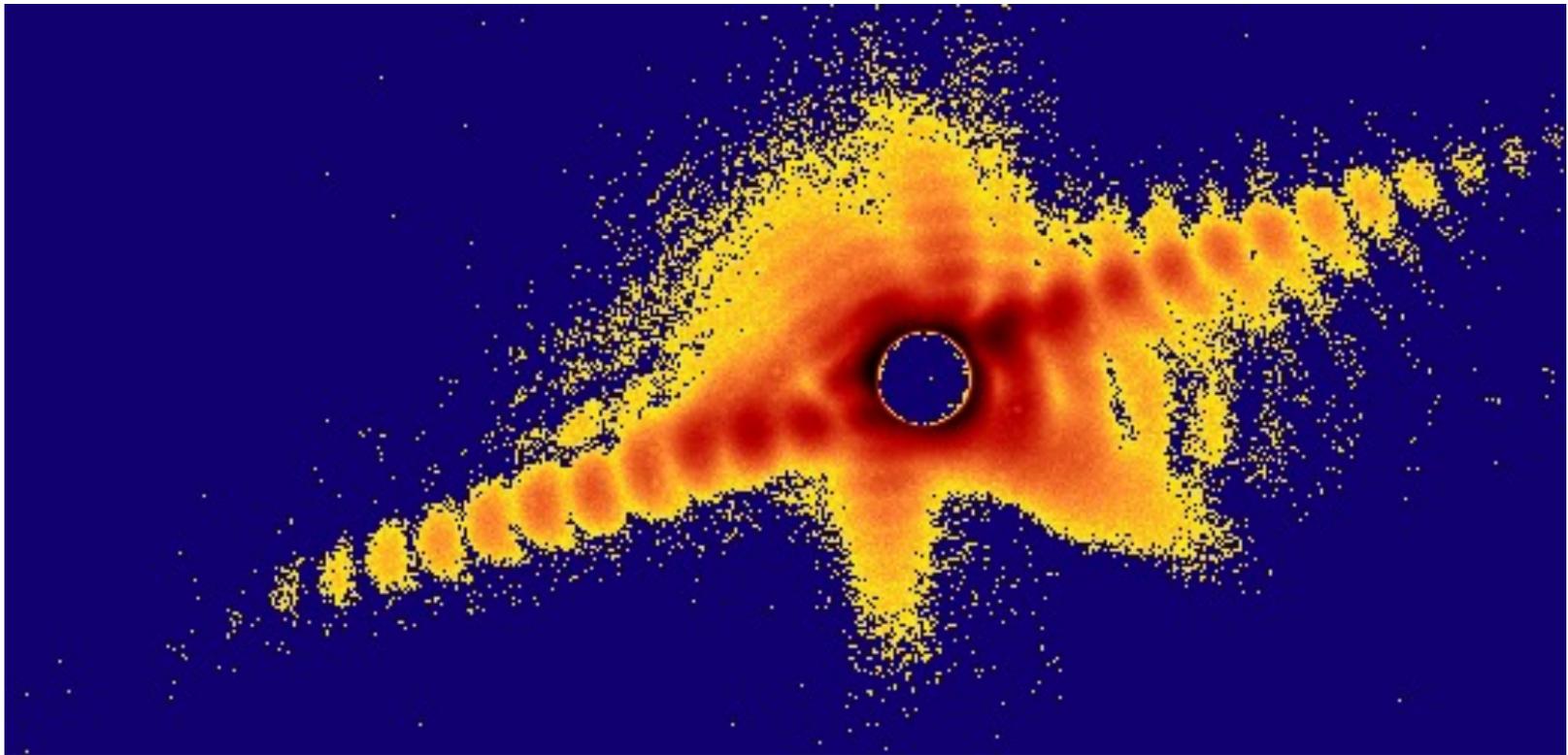


SEMS

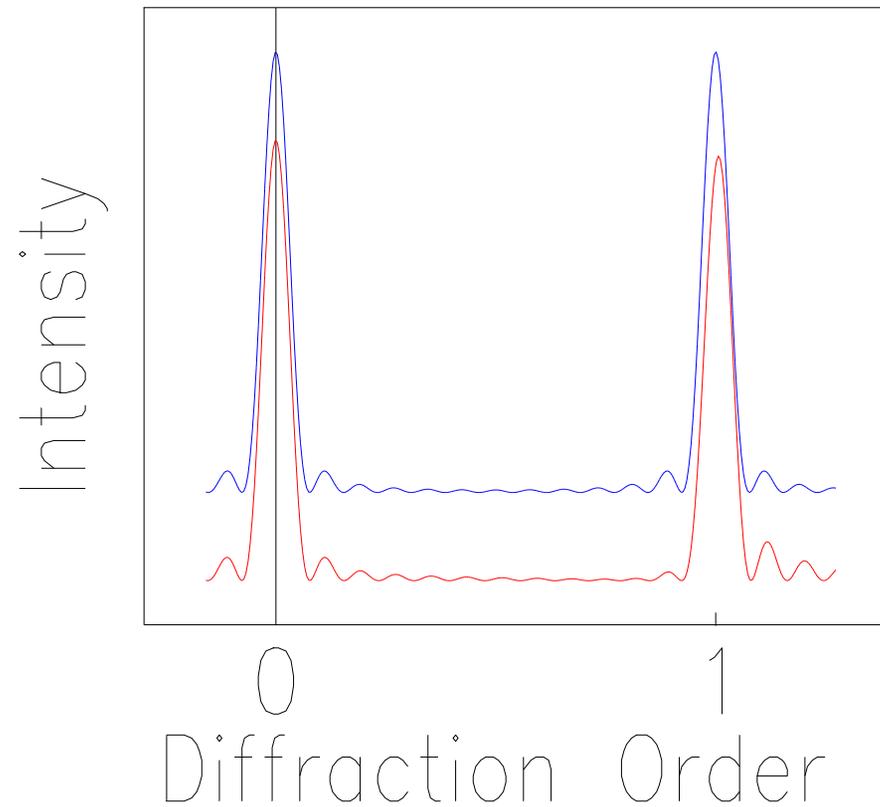
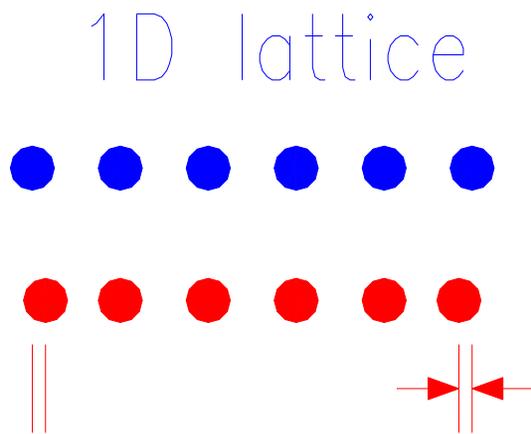
- Au blanket film
- Quartz substrate
- Annealed at 950°C for 70 hrs.



Micron-sized gold crystal: (111) Bragg reflection

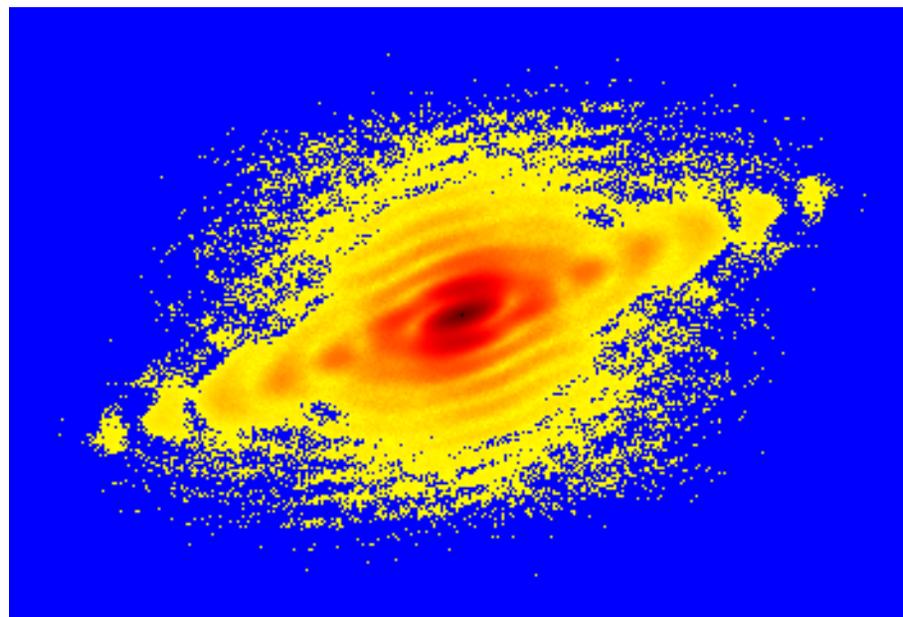
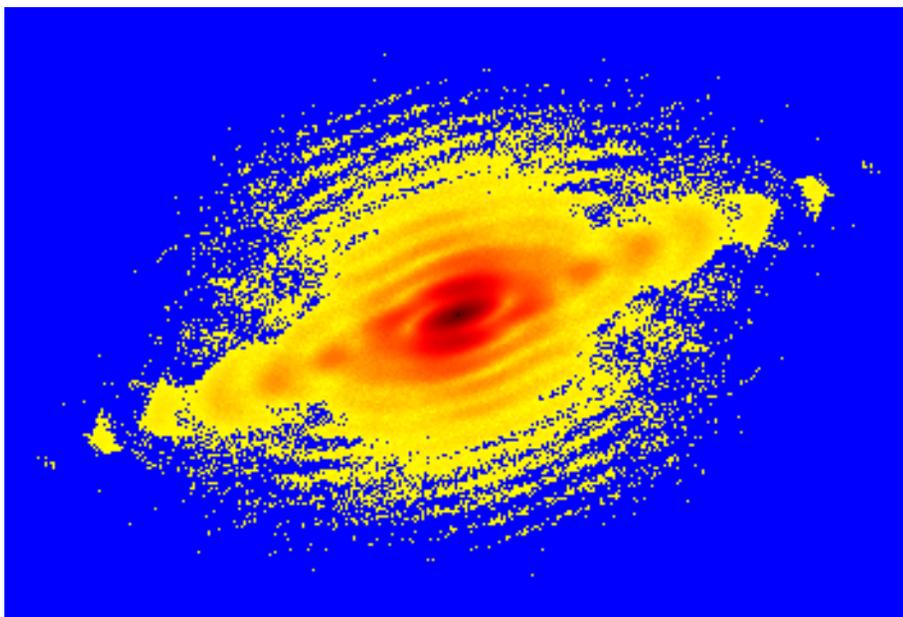
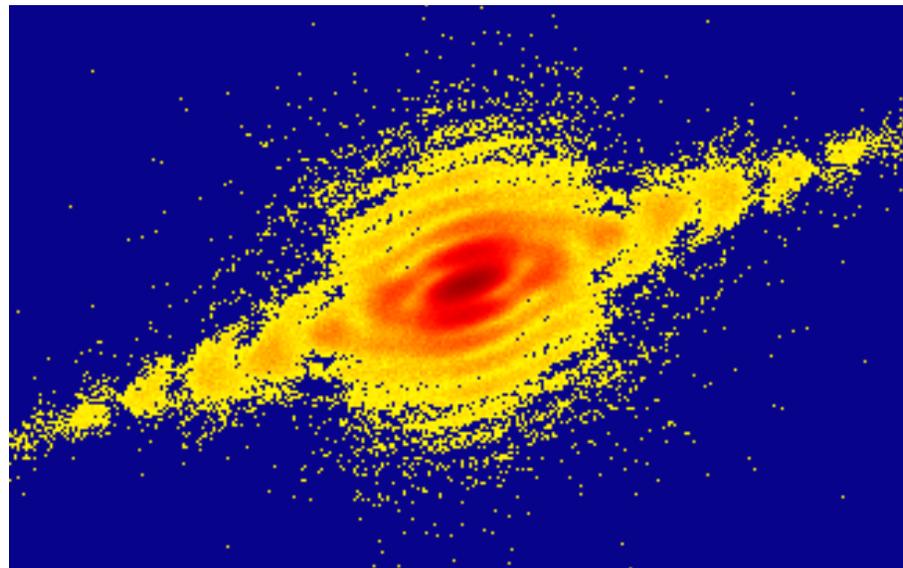


Imaging of Lattice Strains



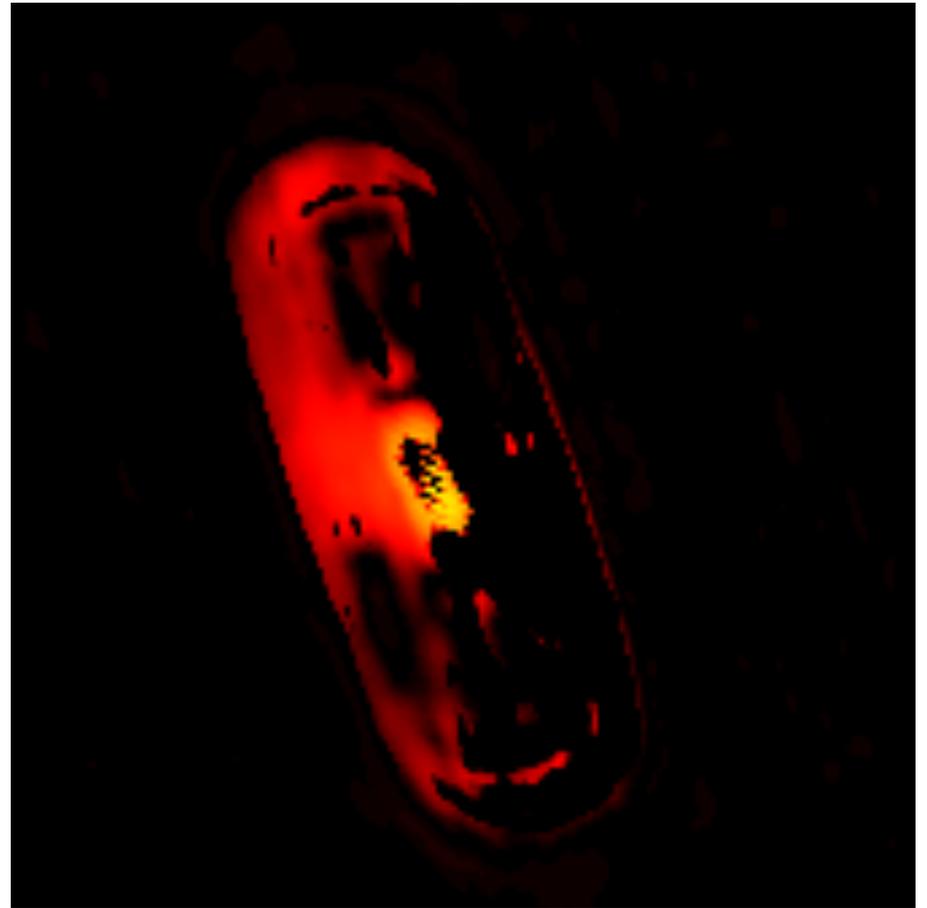
Symmetrized Data and two best fits

$\text{Chisq}=0.0005$

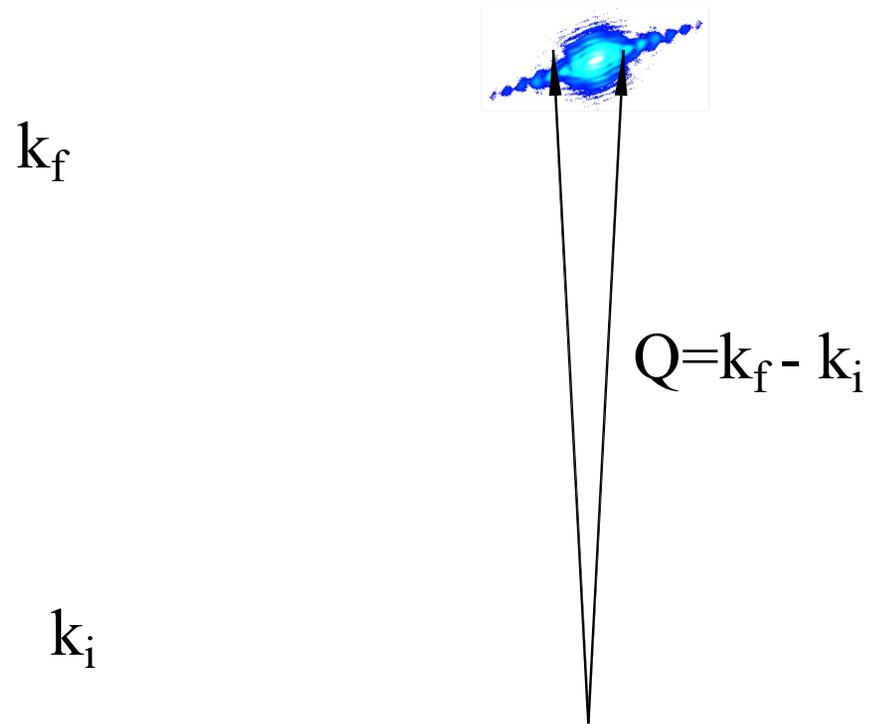


Best 2D Reconstructions

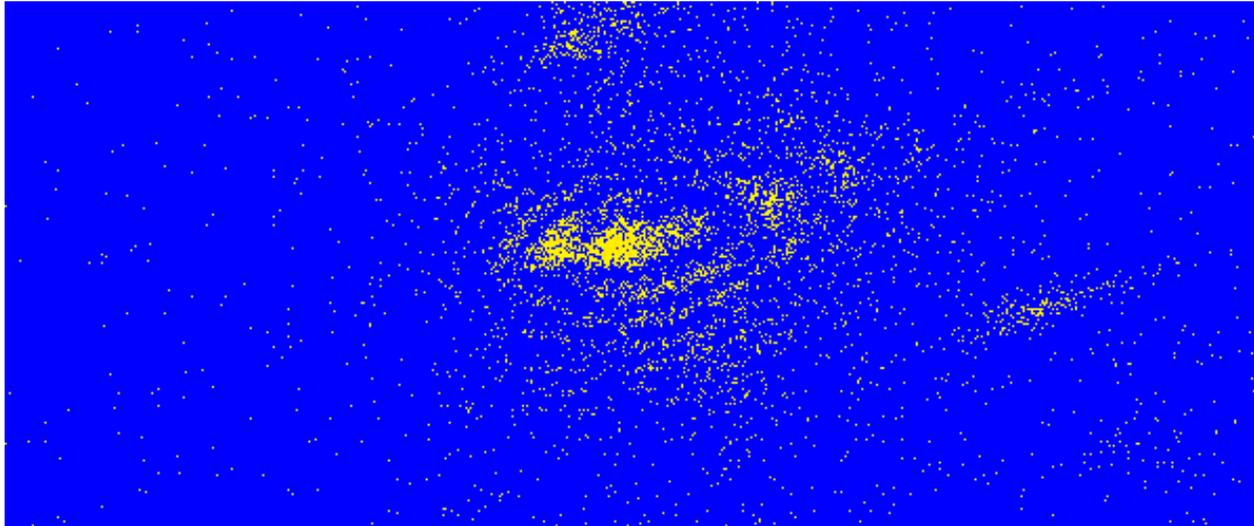
chisquare = 0.0005



3D Diffraction Method

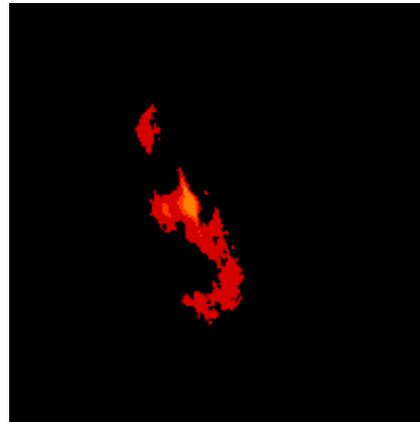


3D Diffraction Data 1 micron Au crystal

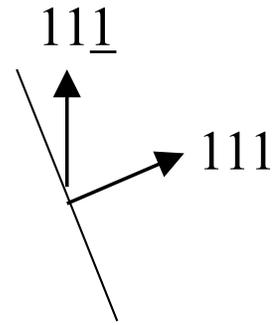
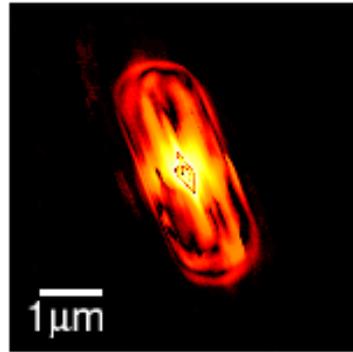
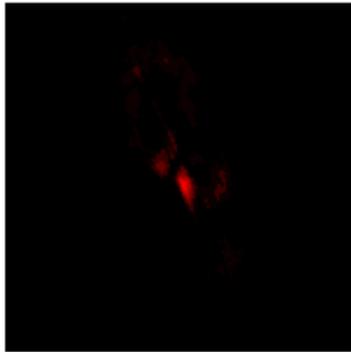


* Center is Symmetric *

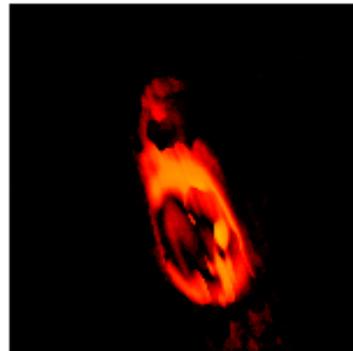
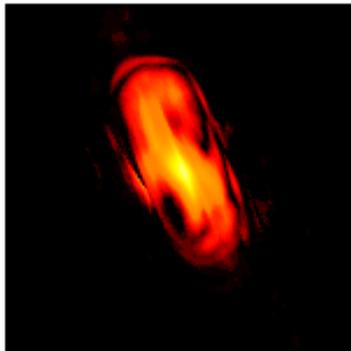
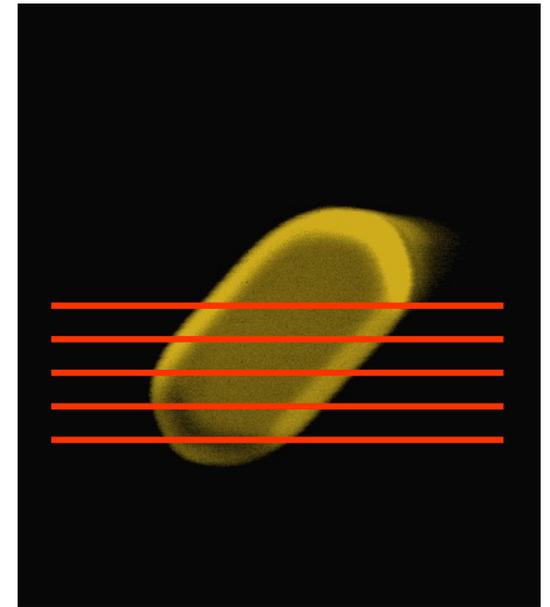
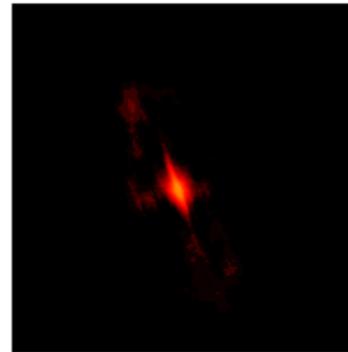
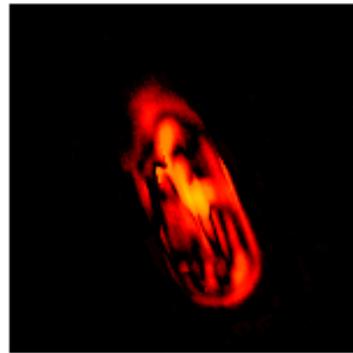
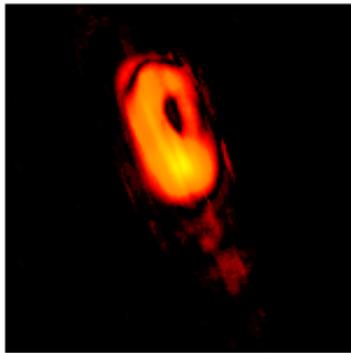
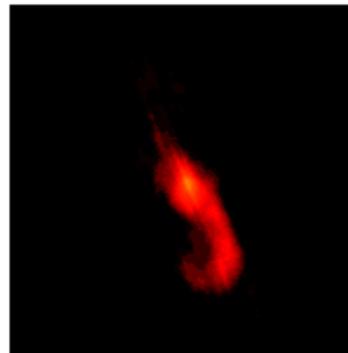
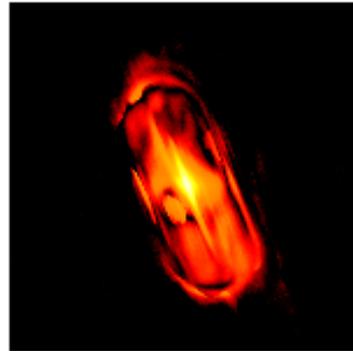
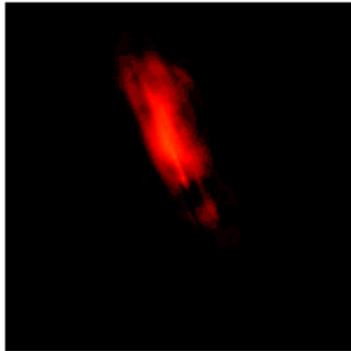
30 frames of 3D reconstruction 1 micron gold crystal



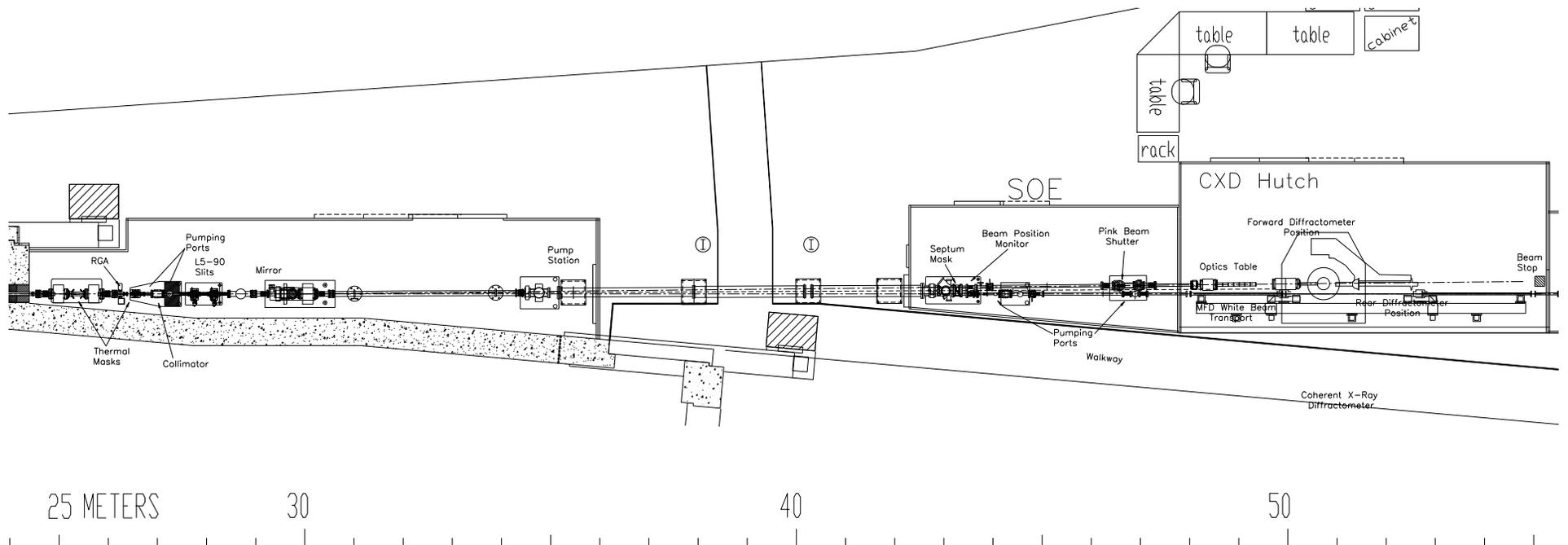
* CENTER *



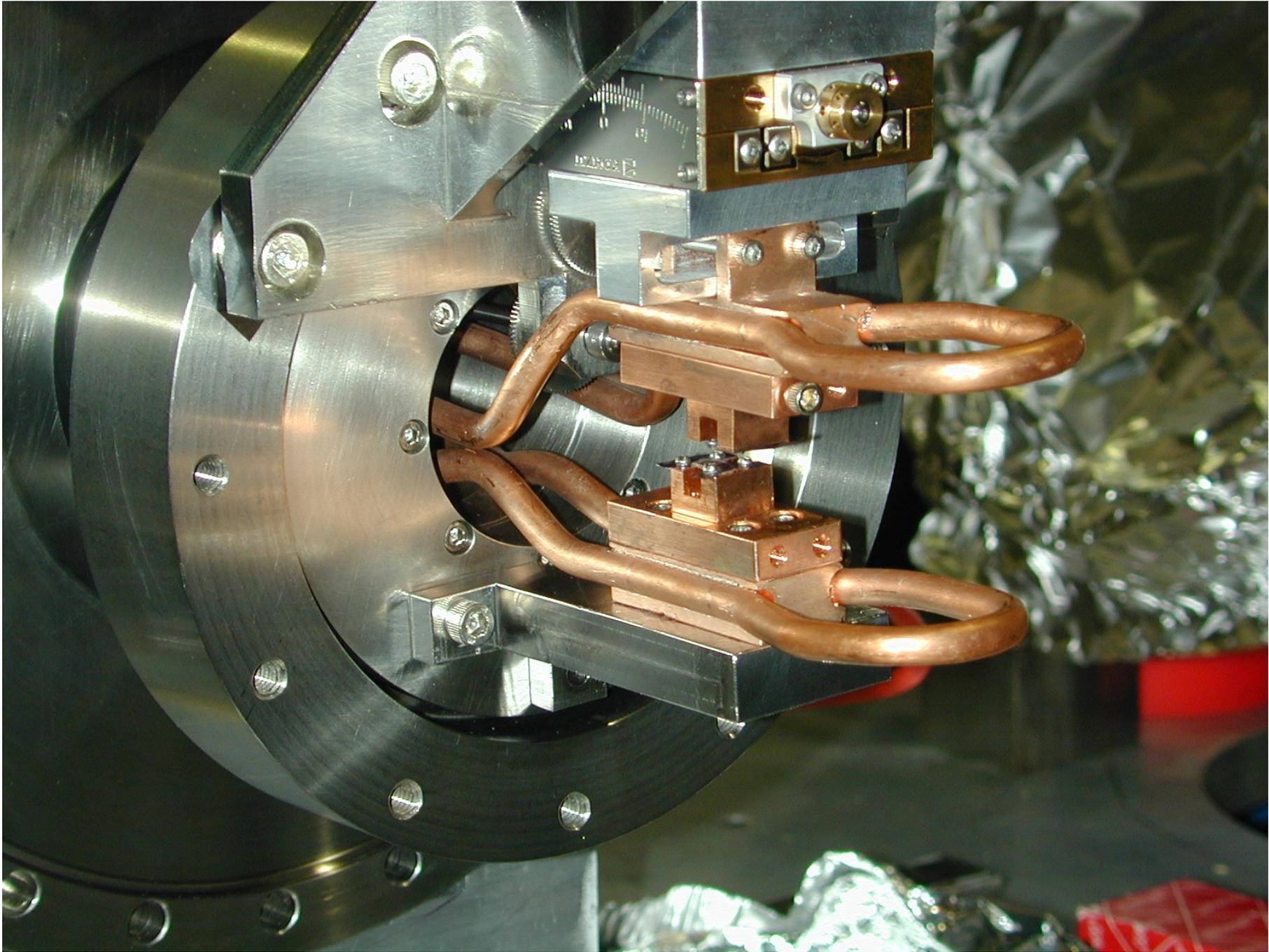
Slices through
plan view
SEM:

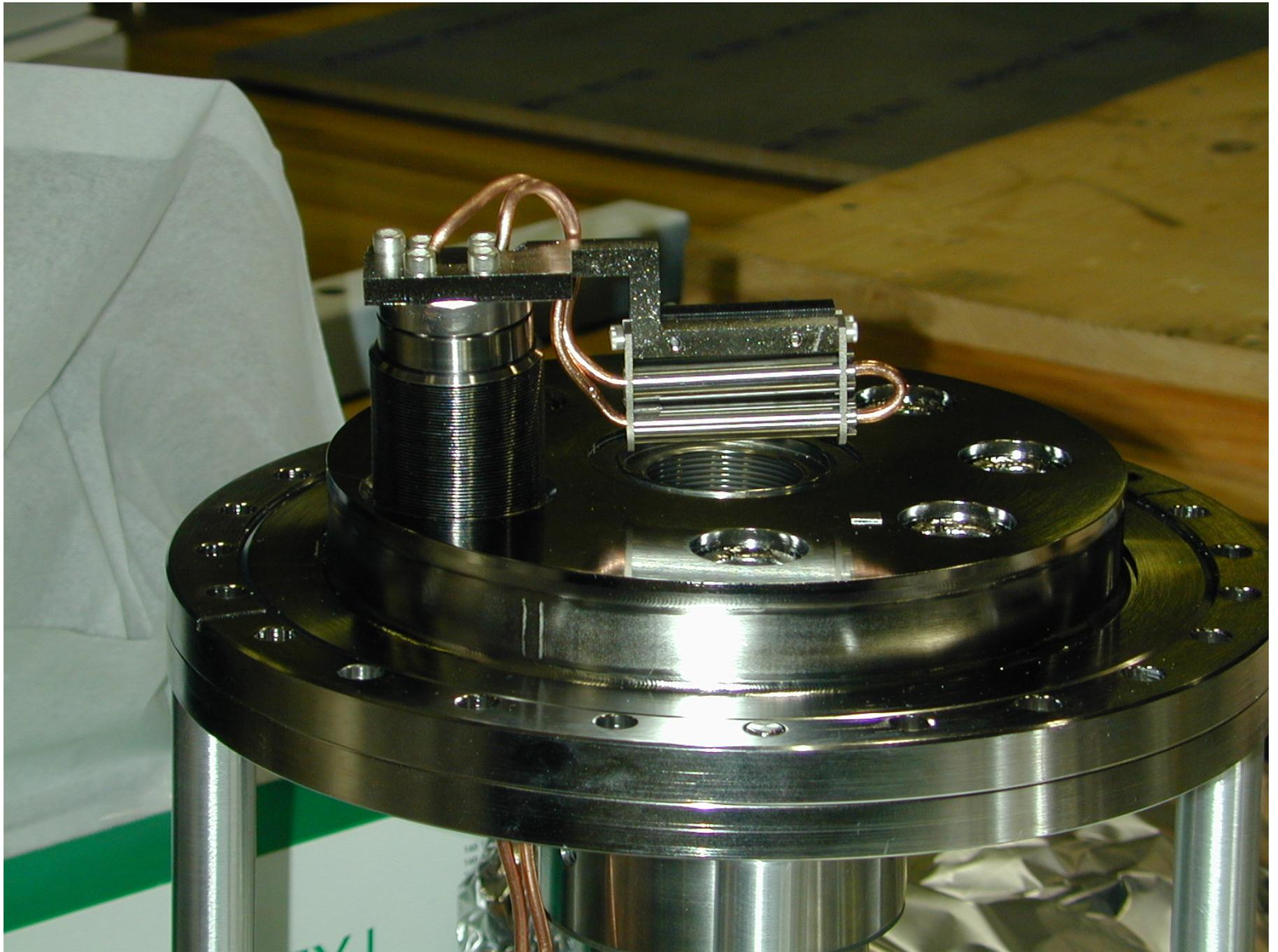


CXD Beamline at APS Sector 34









Conclusions

- “Diffuse” scattering acquires fine structure
- Surface/interface morphology
- Shapes of small particles
- CXD facility ready for experiments
- Future applications of CXD
 - Atomic-scale fluctuations
 - 3D Imaging of strain fields at the nm level