

# Symplectic Manifolds, Moment Maps and Hamiltonian Reduction

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-Classical Mechanics

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**Ex.** Consider  $F(q_1, q_2) = q_2\partial_{q_1} - q_1\partial_{q_2}$  on  $\mathbb{R}^2$ . Is it conservative?

In the Hamiltonian formulation, we can write equations of motion as

$$\dot{q} = \partial H / \partial p \quad (1)$$

$$\dot{p} = -\partial H / \partial q \quad (2)$$

where  $p = m\dot{q}$  is the momentum and  $H(q, p)$  is the Hamiltonian function given by the sum of kinetic and potential energy:

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Note that if  $V(q) = \text{const.}$ ,  $\dot{q} = \text{const.}$ . This is the law of inertia. In particular, the trajectories are “geodesics” traversed so as to keep the energy,  $H$ , constant.

Hamilton (1835)  $H : \mathbb{R}^{2n} \rightarrow \mathbb{R}$ ,  $\mathbf{p} = (p_1, \dots, p_n)$ ,  $\mathbf{q} = (q_1, \dots, q_n)$ .

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The phase flow  $\phi_t^H$  of  $H$  is the one-parameter group of transformations  $\mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$

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For any function  $f : \mathbb{R}^{2n} \rightarrow \mathbb{R}$ , classical observable, we determine the evolution  $f_t = f(p(t), q(t))$  of such  $f$ ,

$$\frac{df_t}{dt} = \{H, f_t\}$$

where

$$\{H, f\} = \frac{\partial H}{\partial p} \frac{\partial f}{\partial q} - \frac{\partial H}{\partial q} \frac{\partial f}{\partial p}$$

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Energy conservation law:  $\{H, H\} = 0$ .

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To understand what Jacobi identity means, let  $A = \mathcal{O}(\mathbb{R}^{2n})$  be algebra of classical observables. Define one-parameter family of operators

$$U_t : A \rightarrow A$$
$$f \rightarrow f_t$$

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$$\begin{aligned} U_t : A &\rightarrow A \\ f &\rightarrow f_t \end{aligned}$$

**Ex.** Jacobi identity holds if and only if  $U_t$  is automorphism of  $A$  that respects the Poisson bracket (for any  $H$ ).

Besides the Jacobi, we also have the Leibniz rule:

$$\{H, FG\} = \{H, F\}G + F\{H, G\}$$

In terms of evolution with respect to  $H$ , this simply says

$$d(FG)/dt = (dF/dt)G + F(dG/dt)$$

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**Ex.** Describe the motion for the harmonic oscillator

$H(p, q) = \frac{p^2}{2m} + k\frac{q^2}{2}$ , where  $k$  is the rigidity coefficient of the string.

## Symplectic Manifolds

If  $\det(\eta^{ij}) \neq 0$ , then define

$$\omega = \eta_{ij} dx^i \wedge dx^j$$

Then, Jacobi identity holds if and only if  $d\omega = 0$ .

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**Ex.** Show that a 2-form  $\omega$  on  $M^{2n}$  is non-degenerate if and only if  $\omega^n$  is a volume form on  $M$ .

**Ex.** Show that on a compact symplectic manifold  $(M, \omega)$ , the class  $[\omega] \in H^2(M)$  is non-zero.

On  $\mathbb{R}^{2n}$  with co-ordinates  $(q_1, \dots, q_n, p_1, \dots, p_n)$  the standard symplectic structure is defined by

$$\omega_{std} = \sum_i dq_i \wedge dp_i$$

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$$\{q_j, p_k\} = \delta_{jk}, \{q_j, q_k\} = 0, \{p_j, p_k\} = 0$$

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On a general symplectic manifold  $M$ , given a (Hamiltonian) function  $H : M \rightarrow \mathbb{R}$ , we can define a vector field

$$\omega(X_H, \cdot) = dH$$

$X_H$  is called the Hamiltonian vector field, and its flow (motion) is the Hamiltonian flow associated with  $H$ .

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**Ex.** Show that

$$\begin{aligned} (\mathcal{O}(M), \{, \}) &\rightarrow (\text{Vect}(M), [, ]) \\ H &\rightarrow X_H \end{aligned}$$

is a Lie algebra homomorphism. The image is denoted by  $\text{ham}(M, \omega)$ .

## Examples

- Let  $Q$  be any smooth manifold,  $T^*Q$  is a symplectic manifold. Given  $(q_1, \dots, q_n)$  local co-ordinates for  $Q$ , and co-ordinates  $(p_1, \dots, p_n)$  for the fiber, one can construct a 1-form

$$\alpha = \sum_i p_i dq_i$$

**Ex.** Check that  $\alpha$  is intrinsically defined. We define  $\omega = -d\alpha$  as the canonical symplectic form on  $T^*Q$ .

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- Suppose  $(M, g, J)$  is Kähler manifold, define  $\omega(X, Y) = g(JX, Y)$ , then  $(M, \omega)$  is symplectic.
- $G$  be a real Lie group with Lie algebra  $\mathfrak{g}$ .  $G$  acts on  $\mathfrak{g}$  via adjoint action, and thus also on the dual space  $\mathfrak{g}^*$ . Then every  $G$ -orbit  $M \subset \mathfrak{g}^*$  has a canonical symplectic form: the corresponding Poisson bracket is given by  $\{x, y\} = [x, y]$  where  $x, y \in \mathfrak{g}$  are considered as functions on  $\mathfrak{g}^*$ .

## Symplectomorphism group

A vector field  $X$  is a *Hamiltonian* vector field if  $\iota_X\omega$  is exact. More generally, a vector field  $X$  on  $M$  is called *symplectic* if  $\iota_X\omega$  is closed.

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If  $\phi_t$  is a flow of a symplectic vector field, by Cartan's magic formula, we have:

$$\frac{d}{dt}\phi_t^*\omega = \mathcal{L}_X\omega = d(\iota_X\omega) + \iota_X d\omega = 0$$



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**Def.**  $\text{Symp}(M, \omega) = \{\phi : M \rightarrow M : \phi^*\omega = \omega\}$

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**Ex.** Let  $M$  be the 2-torus  $\mathbb{R}^2/\mathbb{Z}^2 = \{(x, y) \text{ mod } 1\}$  equipped with the symplectic form  $dx \wedge dy$ . Consider the flow  $\phi_t$  given by

$$\dot{x} = 1, \quad \dot{y} = \alpha, \quad \text{for } \alpha \in \mathbb{R}$$

Show that the flow on  $M$  is symplectic but not Hamiltonian.

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**Ex.**  $M$  as in the previous exercise. Consider the Hamiltonian  $H : M \rightarrow \mathbb{R}$  given by  $H(x, y) = \cos(2\pi x)$ . Describe the Hamiltonian flow.

## Integrable systems

By Noether's theorem, the integral curves of a Hamiltonian flow are contained in the level sets of the conservation laws, i.e.  $F$  such that  $\{H, F\} = 0$ . Such  $F$  are also called *integrals of motion*.

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**Def.** A Hamiltonian (mechanical) system  $(M^{2n}, \omega, H)$  is completely integrable if it possesses  $n$  integrals of motion  $H_1 = H, H_2, \dots, H_n$  such that

- i)  $\{H_i, H_j\} = 0$  for all  $i, j$ ,
- ii)  $\mu : M \rightarrow \mathbb{R}^n, x \rightarrow (H_1(x), \dots, H_n(x))$  has no critical points.

## Arnold-Liouville theorem

**Thm.** Let  $H_1, \dots, H_n$  define a completely integrable system on  $(M, \omega)$ . For  $b \in \mathbb{R}^n$ , let  $M_b = \mu^{-1}(b)$  where  $\mu = (H_1, \dots, H_n)$ .

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- If  $M_b$  is non-empty and compact, then each of its connected components is diffeomorphic to a torus  $\mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$
- One can choose (angle) co-ordinates  $(\phi_1, \dots, \phi_n)$  identifying connected component of  $M_b$  with  $\mathbb{R}^n / \mathbb{Z}^n$  such that the flows of the vector field  $X_{H_1}, \dots, X_{H_n}$  are linear.

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- The restriction of the symplectic form to  $M_b$  is trivial.
- In a neighborhood of  $M_b$ , one can choose (action) co-ordinates  $(a_1, \dots, a_n)$  complementary to  $(\phi_1, \dots, \phi_n)$  such that the  $a_j$  are integrals of motion and the symplectic form in these co-ordinates is given by

$$\omega = \sum da_j \wedge d\phi_j$$

The connected components of the level sets  $M_b$  are *Lagrangian* tori.

The equations of motion (Hamiltonian flow with respect to  $H = H_1$ ) in these co-ordinates are given by

$$\dot{a}_j = 0, \quad \dot{\phi}_j = \omega_j(a), \quad j = 1, \dots, n$$

for some  $\omega_j(a) = dH/da_j \in \mathbb{R}$ . Thus, the motion on the invariant torus is conditionally periodic, that is

$$a_j = a_j(0) \quad , \quad \phi_j(t) = \omega_j t + \phi_j(0)$$

for some constants  $\omega_j = \omega_j(a(0))$ .

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**Def.** A submanifold  $L \subset M^{2n}$  is called isotropic if  $\omega|_L = 0$  and is called Lagrangian if in addition  $\dim L = n$ .

**Ex.** Show that an isotropic submanifold of  $M^{2n}$  has  $\dim L \leq n$ .



## Moment map

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One way to interpret Arnold-Liouville theorem is that we can find co-ordinates such that a neighborhood of the fiber  $\mathbb{T}^n$ , can be identified (symplectically) with  $\mathbb{T}^n \times B$  with  $B \subset \mathbb{R}^n$  and the orbits of the original system gets identified with  $\mathbb{T}^n \times \{b\}$ , for  $b \in B$ . We shall next study the case there is a global action of a torus.

## Hamiltonian group actions

Given a  $G$ -action on  $M$ , by linearizing the action, every element  $a \in \mathfrak{g}$  defines a vector field  $\xi_a$  on  $M$ . The action is symplectic if  $d(\iota_{\xi_a}\omega) = 0$  for all  $a$ . The correct definition of a global Hamiltonian action is given as follows:

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- $\mu$  is  $G$ -equivariant.

**Ex.** Show that the last condition is automatic if  $G$  is connected.

Suppose a torus  $\mathbb{T}$  acts on  $M^{2n}$ . Choose a basis  $a_i$  of the Lie algebra  $\mathfrak{t} = \text{Lie}\mathbb{T}$ . If we can find  $H_i$  such that  $\iota_{a_i}\omega = dH_i$ , then for  $a = \sum_i \lambda_i a_i$ , we can define  $\mu(x)$  by

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**Ex.** Let  $\mathbb{T}^n = \{(t_1, \dots, t_n) \in \mathbb{C}^n \mid |t_i| = 1\}$  act on  $\mathbb{C}^n$  by  $(t_1, \dots, t_n) \cdot (z_1, \dots, z_n) = (t_1 z_1, \dots, t_n z_n)$ . Then check that

$$\mu(z_1, \dots, z_n) = -\frac{1}{2}(|z_1|^2, \dots, |z_n|^2)$$

is a moment map.

# Convexity

## **Thm. (Atiyah, Guillemin-Sternberg)**

The image of a compact connected symplectic manifold under the moment map of an (quasi) effective Hamiltonian torus action is a Delzant polytope. In fact,

- $\mu(M)$  is the convex hull of  $\mu(M^{\mathbb{T}})$ ,
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**Thm. (Delzant)** When the torus acting is half the dimension of the manifold and the action is effective, the polytope determines the manifold. More precisely, there is a 1-1 correspondence

$$\{ \text{toric manifolds} \} \leftrightarrow \{ \text{Delzant polytopes} \}$$
$$(M^{2n}, \omega, \mathbb{T}^n, \mu) \leftrightarrow \mu(M)$$

# Polytopes

**Def.** A rational convex polytope  $P$  is a subset of  $\mathbb{R}^n$  defined as the intersection of halfplanes

$$S_{a,b} = \{x \in \mathbb{R}^n : a_1x_1 + \dots + a_nx_n \leq b\}, a_i \in \mathbb{Q}, b \in \mathbb{R}$$

We say that  $P$  is a *Delzant (unimodular) polytope* if it is a convex rational polytope such that each point on a  $k$ -dimensional facet has a neighborhood isomorphic via an integral affine transformation to a neighborhood of the origin in  $[0, \infty)^{n-k} \times \mathbb{R}^k$ .

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**Ex.** Let  $M$  be compact symplectic manifold with a Hamiltonian  $S^1$ -action, prove that there exists a fixed point of the  $S^1$ -action. In this case, it is enough to check that Delzant condition at each vertex for the polytope.





## Examples

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**Ex.**  $S^1$  acts on  $(S^2, \omega_{st} = d\theta \wedge dh)$  by rotations with moment map  $\mu = h$  equal to the height function and moment polytope  $[-1, 1]$ .

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**Ex.** Consider the  $\mathbb{T}^2$ -action on  $\mathbb{C}\mathbb{P}^2$  given by

$$(e^{i\theta_1}, e^{i\theta_2}) \cdot [z_0, z_1, z_2] \rightarrow [z_0, e^{i\theta_1} z_1, e^{i\theta_2} z_2]$$

This has moment map

$$\mu[z_0, z_1, z_2] = \frac{-1}{2} \left( \frac{|z_1|^2}{|z_0|^2 + |z_1|^2 + |z_2|^2}, \frac{|z_2|^2}{|z_0|^2 + |z_1|^2 + |z_2|^2} \right)$$

The moment map image is a triangle with vertices  $(0, 0)$ ,  $(-1/2, 0)$ ,  $(0, -1/2)$ .

## Examples

**Ex.** The Lie algebra  $\mathfrak{so}(3)$  can be identified with  $\mathbb{R}^3$  with the Lie bracket  $[\vec{a}, \vec{b}] = \vec{a} \times \vec{b}$  (exterior product).

Consider the diagonal action of  $G = SO(3)$  on  $\mathbb{R}^6 = \mathbb{R}^3 \times \mathbb{R}^3$  by

$$\Phi(x, y) \rightarrow (\Phi x, \Phi y)$$

Show that the moment map  $\mu : \mathbb{R}^6 \rightarrow \mathfrak{so}(3)^* \simeq \mathbb{R}^3$  is given by

$$\mu(\vec{x}, \vec{y}) = \vec{x} \times \vec{y}$$

This is called the *angular momentum*.

## Examples

**Ex.** Consider the total space of the line bundle  $\mathcal{O}(-1)$  over  $\mathbb{C}\mathbb{P}^1$ , which can be described explicitly as

$$\{(x, y, [a : b]) \in \mathbb{C}^2 \times \mathbb{C}\mathbb{P}^1 : ay = bx\}$$

Construct a Hamiltonian  $\mathbb{T}^2$  action on this. Compute the moment map and describe its image. (Generalize to  $\mathcal{O}(n)$ .)

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**Ex.** Consider the natural action of  $U(k)$  on  $(\mathbb{C}^{k \times n}, \omega_{std})$ . Identify the Lie algebra  $\mathfrak{u}(k)$  with its dual via the inner product  $(A, B) = \text{trace}(A^* B)$ . Prove that the moment map for this action is given by

$$\mu(A) = \frac{i}{2} AA^*, \text{ for } A \in \mathbb{C}^{k \times n}$$

# Hamiltonian Reduction

**Thm.** (Marsden-Weinstein-Meyer) Let  $(M, \omega, G, \mu)$  be a Hamiltonian  $G$ -space for a compact Lie group  $G$ . Let  $p \in \mathfrak{g}^*$  be a regular value of  $\mu$  and  $\iota : \mu^{-1}(p) \rightarrow M$  be the inclusion map. Assume the stabilizer  $G_p \subset G$  of  $p$  acts freely on  $\mu^{-1}(p)$ , then

- orbit space  $M_{red} = \mu^{-1}(p)/G_p$  is a manifold,
- $\pi : \mu^{-1}(p) \rightarrow M_{red}$  is a principal  $G_p$ -bundle,
- there exists a symplectic form  $\omega_{red}$  on  $M_{red}$  satisfying  $\iota^*\omega = \pi^*\omega_{red}$ .

## Examples

Consider  $S^1$  action on  $\mathbb{C}^{n+1}$  diagonally by

$$e^{i\theta}(z_0, \dots, z_n) = (e^{i\theta}z_0, \dots, e^{i\theta}z_n)$$

The moment map is

$$\mu(z_0, z_1, \dots, z_n) = -\frac{1}{2} \sum_i |z_i|^2$$

For every  $r > 0$ , we get a symplectic structure on

$$\mu^{-1}(r^2/2)/S^1 = S^{2n+1}/S^1 = \mathbb{C}\mathbb{P}^n$$

The associated symplectic form is the unique form  $\omega_{FS}$  such that

$$\pi^* \omega_{FS} = \omega_{\mathbb{C}^{n+1}}|_{S^{2n+1}}$$

where  $\pi : S^{2n+1} \rightarrow \mathbb{C}\mathbb{P}^n$  is the quotient map.



**Ex.** For the action of  $U(k)$  on  $\mathbb{C}^{k \times n}$  with moment map computed above show that

$$\mu^{-1}\left(\frac{\text{Id}}{2i}\right)/U(k) = \mathbb{G}(k, n)$$

is the Grassmannian of  $k$ -planes in  $\mathbb{C}^n$ .

**Ex.** Suppose  $Q$  is a smooth manifold with a free proper action of a Lie group  $G$ . Then the corresponding action of  $G$  on  $T^*X$  is Hamiltonian. Compute the moment map. Show that there is a symplectomorphism

$$T^*(X/G) \simeq \mu^{-1}(0)/G$$

## Some other follow-up topics

- Morse theory for Hamiltonians
- Equivariant cohomology and localization
- Relation with GIT (Kempf-Ness)
- Infinite-dimensional Hamiltonian reduction (moduli of flat connections)
- Quantization

## References

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