

# Mandarin tone production can be learned under perceptual guidance — A machine learning simulation

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## ABSTRACT

Intuitively, speech production can be learned by imitating proficient speakers in language acquisition. But a recent computational simulation has shown that learning to produce English words can be achieved under the guidance of speech perception, without direct mimicry. In this study, we tested whether similar perception-guided learning also applies to Mandarin tone acquisition. We used PENTATrainer, a pitch modelling tool to simulate learners' tone articulation, and a trained tone recognizer to simulate tone perception. Three learning methods with different optimization objectives were tested: 1) closeness of fit of  $f_0$  contours, 2) tone recognition by an automatic tone recognizer, and 3) tone recognition plus minimization of mean  $f_0$  difference at the initial learning phase. The results show that method 3 achieved the best learning outcome as evaluated by the tone recognizer and human listeners. Perception-guided tone learning is therefore shown to be effective if learners' exploration range can be reduced first.

**Keywords:** speech perception, vocal tone learning, computational modelling, speech synthesis

## 1. INTRODUCTION

It is still unclear how children acquire language spontaneously without explicit adult instructions. A popular idea is that they do it through imitation [16, 23, 24]. However, it has been difficult to computationally simulate such imitative learning [11, 20, 21, 25]. And a major source of difficulty has been the speaker normalization [13] or correspondence [5] problem. For example, because children's vocal tracts are much shorter than adults' [10, 27], their formants are much higher and more dispersed, making it difficult for children to directly imitate adult speech. However, recently it has been demonstrated computationally that this correspondence problem can be largely solved by using speech perception as a guide in production learning [15, 26, 34]. The production of simple English words with high intelligibility can be learned this way without directly imitating any specific utterances.

The effectiveness of simulating perception-guided vocal learning raises the question of whether tone

learning can be simulated in a similar way. Theoretically, this is conceivable, and in fact should be easier since tones mainly involve a single acoustic dimension, i.e., fundamental frequency ( $f_0$ ), and tones have been successfully modelled with PENTATrainer, a Praat-based prosody modelling tool [31]. However, perception-guided learning with only a single acoustic dimension could also present problems due to the lack of cross-reference to other parameters.

This study is a preliminary test of perception-guided tone learning. But a strategy slightly different from [15, 26, 24] is applied. First, the acoustic imitation was simulated by an algorithm that optimized the matching of  $f_0$  contours across a whole corpus consisting of many utterances produced by multiple speakers (including both males and females). Second, data from the same corpus were used to assess the learning outcome of both imitative and perception-guided learning, thus minimizing confounding in comparison.

## 2. METHOD

### 2.1. Corpus

The Xu1999 corpus used in this project was originally recorded for an experimental study of tone and focus in Mandarin [28], which consisted of utterances produced by four female and four male speakers. The utterances were five-syllable sentences composed of three words (two disyllabic and one monosyllabic), as shown in Table 1. As can be seen, the second, third and fourth syllables have varying tones, while the tone of the first and last syllable is always H. The speech was fluent, with a speech rate of roughly five syllables/s.

Word 1	Word 2	Word 3
HH māomǐ 'kitty'	H mō 'touches'	HH māomǐ 'kitty'
HR māomǐ 'cat-fan'	R nà 'takes'	LH mādāo 'sabre'
HL māomǐ 'cat-rice'	F mài 'sells'	
HF māomǐ 'cat-honey'		

**Table 1:** Tone patterns and corresponding sentences used as recording material. H, R, L, and F represent high, rising, low, and falling tones, respectively [28].

The sentences in the full corpus also varied in focus conditions: initial, medial, final and no focus [28]. The present study only used the neutral focus sentences, however, to simulate tone learning only.

The corpus was divided into a training set consisting of 6 of the 8 speakers, 3 males and 3 females, and a testing set consisting of 2 speakers (1 male, 1 female).

## 2.2. Modelling tool

The computational tool was a special-purpose version of PENTAtainer [31] — an interactive Praat [3] script for modelling speech prosody. PENTAtainer models tone and intonation by combining built-in articulatory dynamics (target approximation) [22, 33], parallel encoding [29], and global stochastic learning (simulated annealing [14]) [31]. The original version has been shown to generate intelligible and natural-sounding tone and intonation by optimizing  $f_0$  contour fitting [31]. The  $f_0$  fitting can be viewed as a form of learning by imitation, as it tried to maximize the similarity between the learned and the original  $f_0$  contours.

The special-purpose version of PENTAtainer used in this study included two additional learning methods, *learning by optimizing tone recognition*, and *learning by optimizing tone recognition and minimizing mean  $f_0$  difference* ( $\Delta f_0$ ). The computationally intensive learning task was run by a Python executable called by the Praat script. The tone recognizer, also called by the Praat script, was a support vector machine (SVM) trained by the scikit-learn package [6] in Python with syllable-sized  $f_0$  contours as input data. The trained model was able to recognize both tone and focus with high accuracy [7, 8]. In learning method 3,  $\Delta f_0$  was the utterance-wide mean  $f_0$  difference between each original and synthetic contour, and it added a fraction of weight to the tone recognition error in the coarse-tuning phase of the learning:

$$(1) \quad e = 0.9 e_r + 0.1 d$$

where  $e_r = 10(1 - \text{recognition rate}[0,1])$ , and  $d = f_{0\text{orig}} - \hat{f}_{0\text{orig}}$ , where  $f_{0\text{orig}}$  and  $\hat{f}_{0\text{orig}}$  were utterance-wide mean  $f_0$  of original and synthetic tones, respectively.

The coarse-tuning phase, consisting of the first 450 of the total 750 training iterations, optimized all tonal targets at once in each iteration, while the fine-tuning phase optimized each parameter (height, slope and strength [31]) of each tonal target at a time.

## 2.3. Procedure

The experiment proceeded in 5 steps:

Step 1. Training the tone recognizer on all the neutral-focus utterances in the Xu1999 corpus. The overall post-training recognition rate was 94.7%.

Step 2. Running PENTAtainer in the training set with three learning methods, each repeating five times. The main simulated annealing parameters used were: *iteration* = 750, *learning rate* = 0.1,

*starting temperature* = 700, and *reduction factor* = 0.98.

Step 3. Averaging the pitch targets of each tone learned from the five runs of each learning method to obtain three sets of tone targets.

Step 4. Running PENTAtainer in the testing set with the mean tone targets to a) generate  $f_0$  contours that were input to the automatic tone recognizer for tone recognition, and b) resynthesize all the utterances in the testing set with the model-generated  $f_0$  contours.

Step 5. Playing the resynthesized utterances to listeners for perceptual tone identification and judgment of naturalness.

For step 5, the stimuli contained 320 recordings from the testing set, which were divided into four conditions: a) original recordings, b) recordings resynthesized with parameters learned from  $f_0$  fitting, c) recordings resynthesized with parameters learned from recognition only, and d) recordings resynthesized with parameters learned from recognition+ $\Delta f_0$ . The tones to be identified belonged to the second syllable, which was always /mi/, c.f. Table 1. Syllables in other positions carried fewer tones, varied in segmental compositions, and were not included in the perception test. For the naturalness rating, listeners were asked to judge whether they have heard a human utterance or a computer-generated sound.

The listening subjects were 20 native Beijing Mandarin speakers, who performed the perception tasks on Gorilla, an online experiment platform. They had no history of neurological or communication disorders, and passed a hearing screening at 20 dB HL bilaterally at 125, 250, 500, 750, 1000, 2000, 3000, and 4000 Hz.

## 3. RESULTS

### 3.1. Numerical evaluations

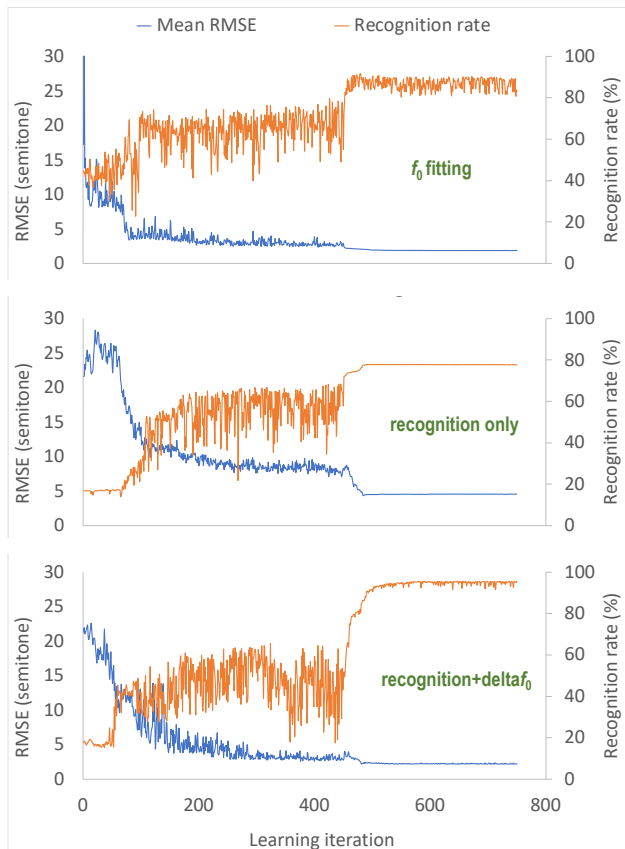
**Table 2:** Numerical assessments of tone learning separated by learning methods.

Learning method	RMSE	Correlation	Recog. rate
$F_0$ fitting	1.64	0.81	85%
Recog. only	4.12	0.18	66%
Recog.+ $\Delta f_0$	2.09	0.72	95%

Table 2 shows the root mean square error (RMSE), Pearson's correlation coefficient ( $r$ ) and tone recognition rate for the three learning methods. As expected, learning by  $f_0$  fitting worked well, achieving low RMSE and high correlation, consistent with previous findings on the same corpus [31]. Interestingly, the tone recognition rate, at 0.85, was also fairly high, which was consistent with [7]. *Recognition only* showed poor results, with high RMSE and low correlation, whereas

*recognition+delta* $f_0$  had the highest recognition rate at 95%, although its RMSE was higher and correlation was lower than those of  $f_0$  fitting.

Figure 1 shows learning progression in terms of mean RMSE and recognition rate. Both indicators were recorded during learning with all methods, regardless of whether the method itself used them as optimization objectives. As can be seen, in all cases, RMSE was reduced over the iterations while recognition was improved. In all cases, the sudden improvement from iteration 450 was due to the shift from coarse- to fine-tuning phase of learning, as explained in 2.1.



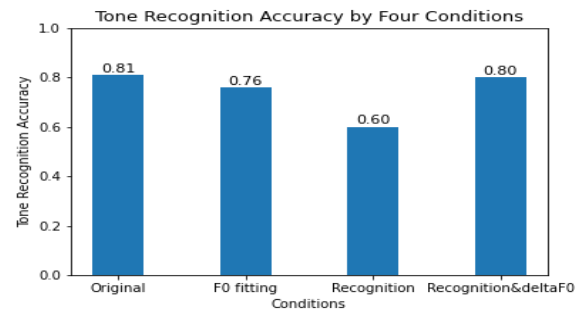
**Figure 1:** Examples of learning progression per iteration in terms of mean RMSE and recognition rate.

As can be seen, for  $f_0$  fitting, RMSE was reduced to a very low level in the fine-tuning phase, but the increase of tone recognition hovered around 90%. For recognition only, both RMSE and recognition failed to improve much further in the fine-tuning phase. For *recognition+delta* $f_0$ , RMSE stopped to reduce below two semitones, but recognition went quickly above 95% after the onset of fine-tuning.

### 3.2. Human perceptual evaluation

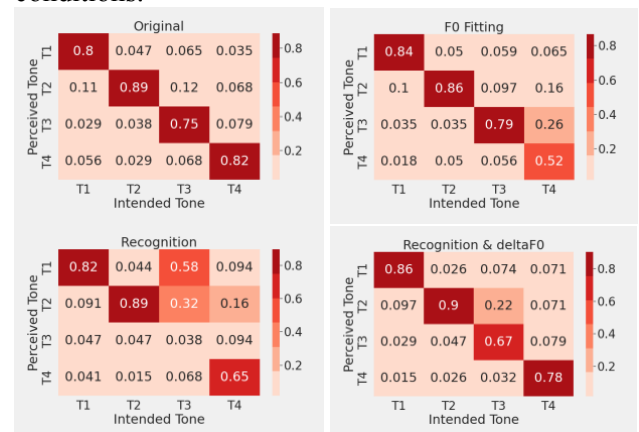
Figure 2 shows perceptual tone identification rates for the four types of stimuli: a) original utterances, b) audios resynthesized with parameters learned from  $f_0$

fitting, c) audios resynthesized with parameters learned from recognition only, and d) audios resynthesized with parameters learned from *recognition+delta* $f_0$ .



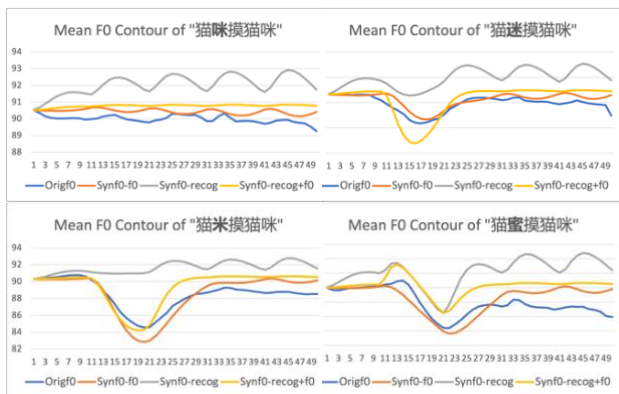
**Figure 2:** Tone recognition rate in four conditions.

The original utterances achieved the best tone recognition rate at 81%, while *recognition+delta* $f_0$  was the second best at 80%. A two-tailed t-test found the difference between the two conditions non-significant. However, neither of these conditions performed nearly as well as the overall automatic tone recognition rate of 94.7% mentioned in section 2.3. One likely reason is that the recognizer performance was for tones of all syllables in each sentence. Syllables 1 and 5 both always had T1, the high-level tone, whose recognition rate was very high, partially due to over-training. For the tone of the second syllable alone, the recognizer achieved only 90% for the testing set, although this is still much higher than the 81% of the listener recognition of the original tones in Figure 2. Therefore, the superior performance of the recognizer is more likely because it has been trained on the same corpus, whereas the listeners relied on their real-life listening experience, which would include many more speakers with diverse tone articulations. The recognition rate for  $f_0$  fitting was 76%, which was significantly lower than both the original ( $t(16) = 6.57, p < 0.001$ ) and *recognition+delta* $f_0$  ( $t(16) = 4.89, p < 0.001$ ) conditions.

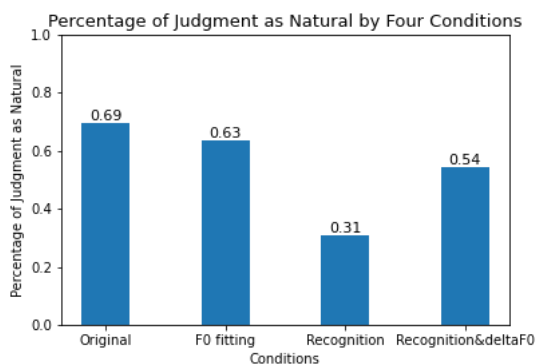


**Figure 3:** Heat map of confusion matrices for the perception of tones in four conditions.

Confusion matrices of tone perception are shown in Figure 3. These confusions can be examined together with the mean  $f_0$  contours in Figure 4, which are separated by tone of the second syllable. First, for both T1 and T2, the recognition-only condition learned obviously wrong targets, high-fall for T1 and high-level for T2. Curiously, at 82% and 89%, the perception of these two tones did not seem to be severely affected. Second, For T2, the recognition+delta $f_0$  condition generated a contour with an extra low minimum  $f_0$  and a sharp terminal rise. This allowed the tone to be perceived (90%) as well as in the original condition (89%). Third, for T4,  $f_0$  fitting generated a contour with a lower  $f_0$  peak than both the original and recognition+delta $f_0$  conditions. This is probably why it had a 26% confusion with T3. Finally, in the recognition+delta $f_0$  condition, T3 was heard as T2 around 22% of the time. Although this is similar to the original condition where confusion with T2 was 12%, the  $f_0$  contour in the bottom left plot of Figure 4 shows that the greater confusion was likely due to an earlier rise than the original T3.



**Figure 4:** Mean  $F_0$  contours, separated by the tone of the second syllable, clockwise from the top left: T1, T2, T3 and T4. The horizontal axis is normalized time (10 points/syllable). The vertical axis is  $f_0$  in semitones.



**Figure 5:** Percentage of utterances judged as natural speech (rather than synthetic) in the four conditions.

Figure 5 shows the results of naturalness judgment by listeners. As can be seen, even the original utterances

were judged only 69% as human articulation. Interestingly, utterances from the  $f_0$  fitting condition were judged as more likely to be humanly articulated than those from the recognition only ( $t(16) = 7.55, p < 0.001$ ) and recognition+delta $f_0$  ( $t(16) = 4.97, p < 0.001$ ) conditions.

#### 4. DISCUSSION AND CONCLUSION

This preliminary simulation study has demonstrated that perception-guided vocal learning [15, 26, 34] may also work for tone acquisition, provided that the target exploration range is constrained in the early learning phase, as done in the recognition+delta $f_0$  condition. The quality of the learned tones with that method was better than those learned with  $f_0$  fitting as assessed by both automatic tone recognition and human tone perception, except in terms of naturalness.

It is important to note, however, that the  $f_0$  fitting method in this study was not strictly simulating direct mimicry, because it optimized  $f_0$  contour match in all instances of each tone across all the repetitions by all speakers in the training set of the corpus (120 in total). But the optimization in recognition+delta $f_0$  was also performed across all the utterances in the training set. In other words, the only difference between these two methods was the learning objective: to maximize the similarity of  $f_0$  contours, or to maximize the tone recognition accuracy. On the face of it, the differences may be hard to comprehend. Why wouldn't achieving maximum acoustic similarity to multiple speakers lead to the best learning outcome? But the results clearly show an advantage for recognition-guided learning. This suggests that the difference in learning objectives is not trivial, as it may reflect *the core nature of speech as a communication system*. Given this nature, the proper objective of vocal learning should be to gain the ability to produce maximally intelligible speech rather than to just sound like other speakers. And the same may also be true of adult speech. That is, what makes a contrastive phonetic unit equivalent across different speakers is that it has been learned in such a way that it is most likely to be perceived as that unit. While this may sound circular as a factual definition, the circularity would disappear once it is treated as an operational definition, as shown in this study.

It is unclear, however, why recognition guidance alone did not work well in this study. Is it indeed due to a lack of cross-reference to other parameters as speculated in the Introduction? If yes, is it possible to introduce some minor adjustments to the current learning algorithm? This will need to be addressed in future studies.

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