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WESTERN AUSTRALIA  
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# **Making marine protected areas more effective: resilience through diversity**

Institute of Advanced Studies Public Lecture

Dr Peter JS Jones  
University College London

Host: Dr Julian Clifton, School of Earth and Environment

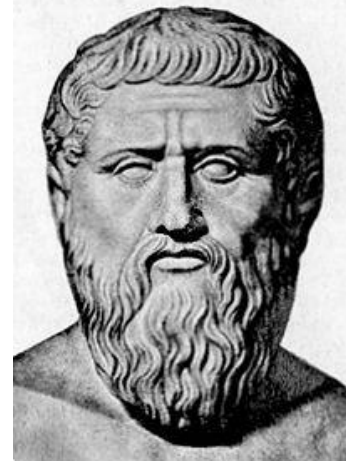
# Governing marine protected areas: social-ecological resilience through institutional diversity



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[www.mpag.info](http://www.mpag.info)



Governance = *steer* of people and the society they constitute in order to achieve strategic collective objectives



Plato, 360 BC

Resilience = capacity for stability in the face of potentially perturbing forces, *eg* climate change, population growth, globalisation

**Where should the '*steer*' towards resilient social and ecological systems come from?**

**State control** – government and law

**Market forces** – capitalism and economies

**Public interests** – people and civil society

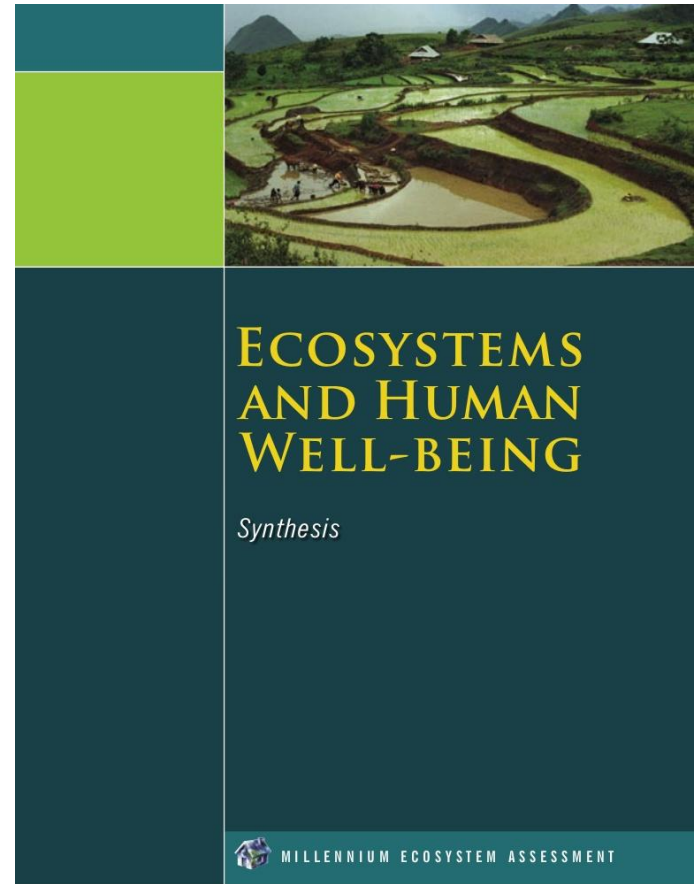
# Growing scientific and societal concerns about the degraded state of marine ecosystems

“most services derived from marine and coastal ecosystems are being **degraded** and used unsustainably and therefore are **deteriorating faster** than other ecosystems...

**arresting the further degradation of coastal and marine ecosystem resources ...is an urgent imperative”**

*(UNEP Millennium Ecosystem Assessment 2006)*

**No-take marine protected areas (MPAs) are seen by many as being crucial to address such concerns**



October 2012 – around 10,000 MPAs

representing coverage of **2.3% of the total area of global seas**,  
(CBD target = 10%), **but no-take MPA coverage only 0.54%**

or **5.7% of seas under national jurisdiction (< 200 nm)**, **but no-take MPA coverage only 1.37%** (85% of this from just 8 very large and remote MPAs)

Represents a quadrupling of total MPA coverage in the last 10 years,

but still lags behind the 12.7% coverage of terrestrial protected areas, target for which now 17% coverage

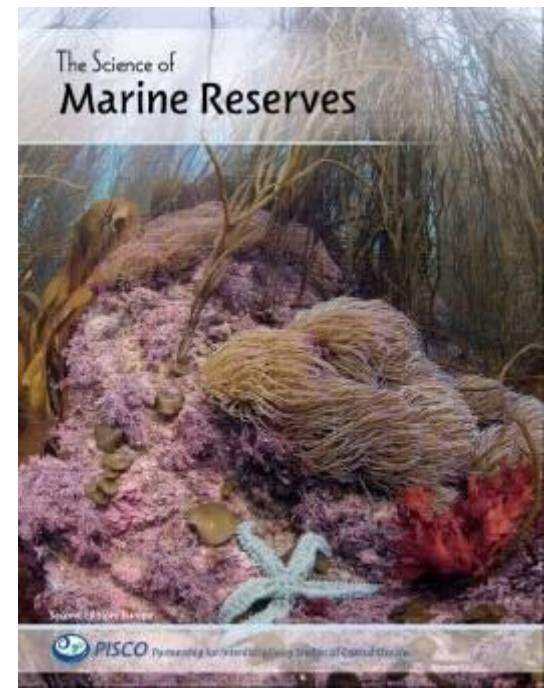
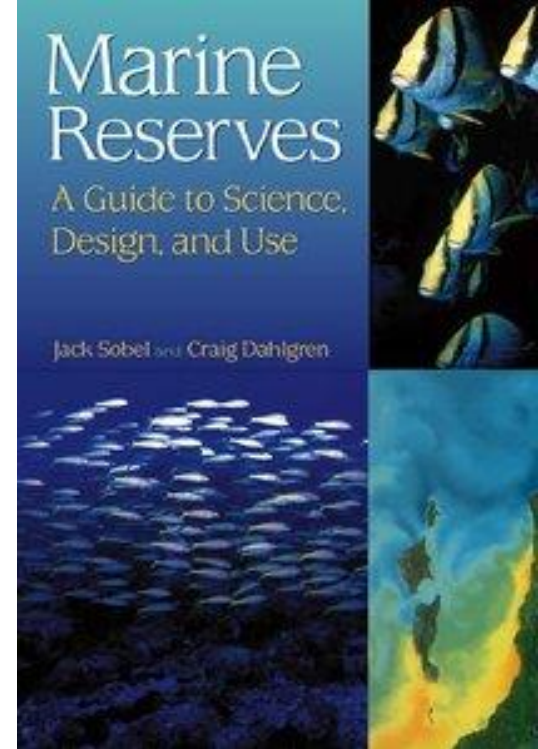
**Effectiveness of existing MPAs?**

Marine protected areas (MPAs) are an ideal vehicle for exploring the effectiveness of different governance approaches

The need for MPAs to address such concerns & achieve conservation objectives is now *quite* widely accepted

Debates are moving on to how we can design networks of MPAs, and the knowledge-base and guidance is rapidly developing

Also a need to develop knowledge-base and guidance on how to effectively manage or **govern** MPAs



# Co-management is the recommended approach

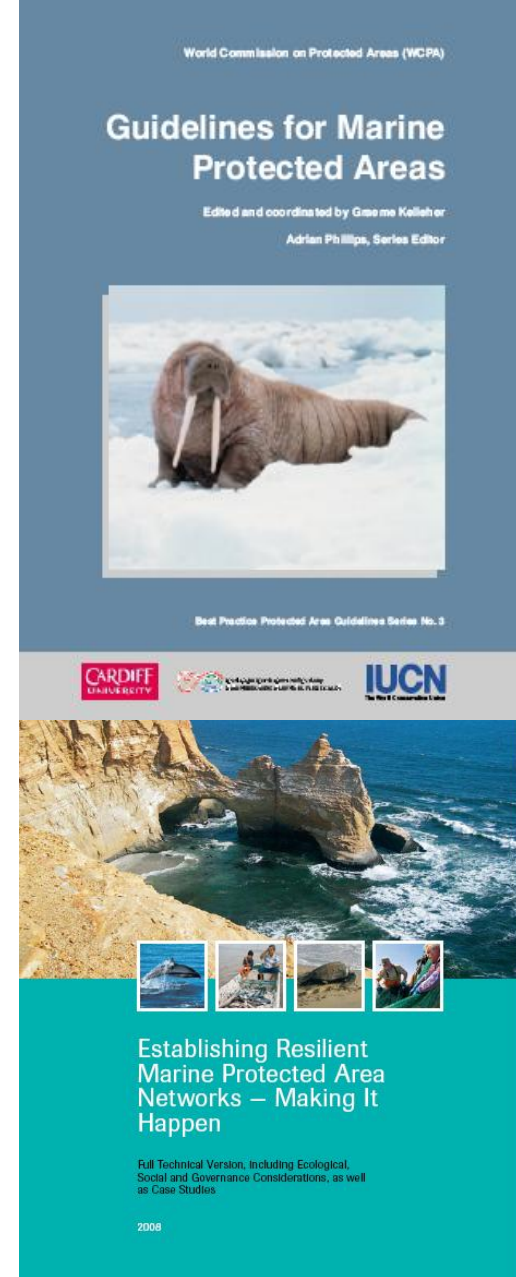
## IUCN MPA Guidance (1999)

Combine top-down & bottom-up approaches

***“design and management of MPAs must be both top-down and bottom-up” (Kelleher 1999)***

## IUCN MPA Network Guidance (2008)

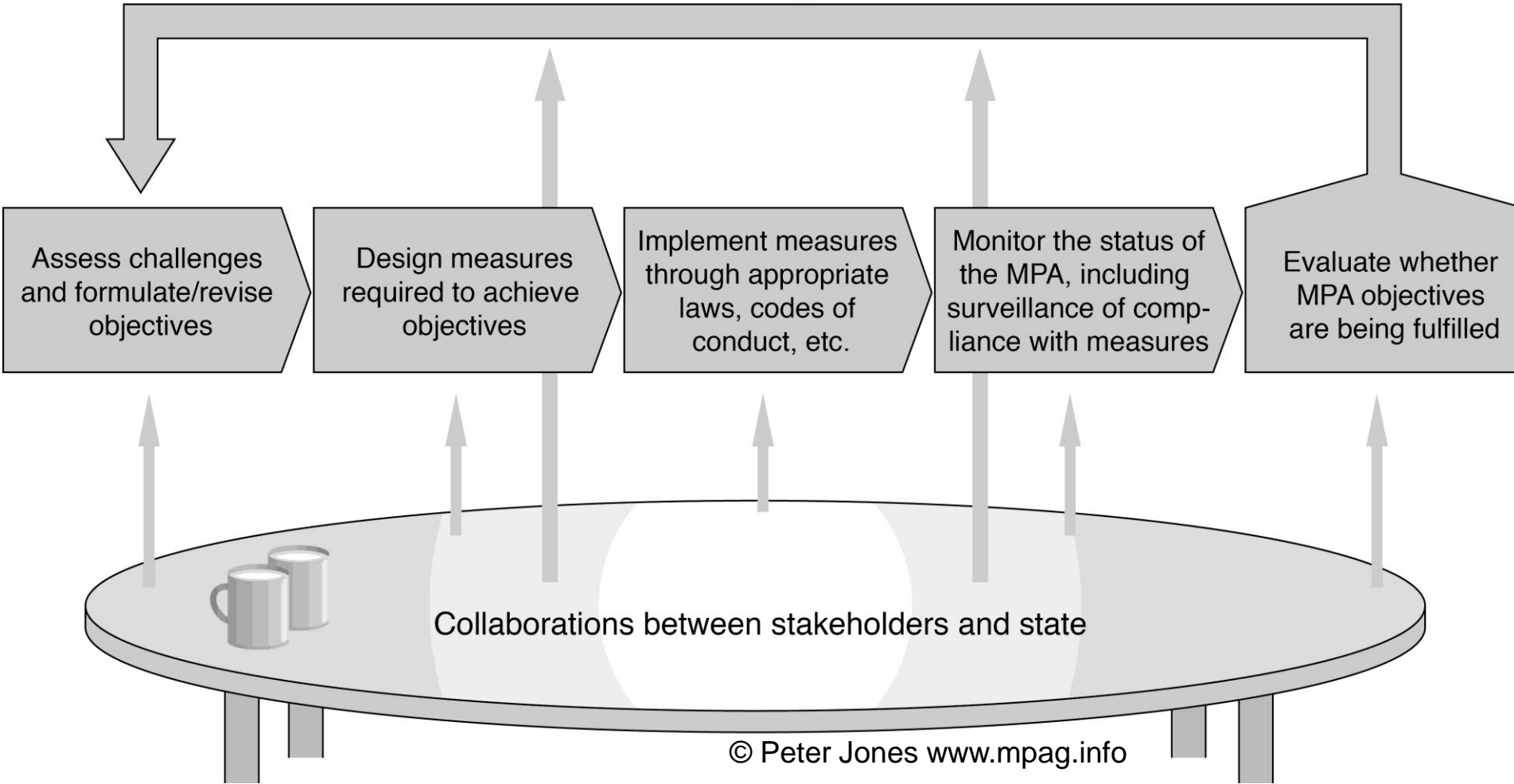
Recommends both top-down & bottom-up approaches





# Adaptive co-management considered by many to be the answer

Feedback & adjustment

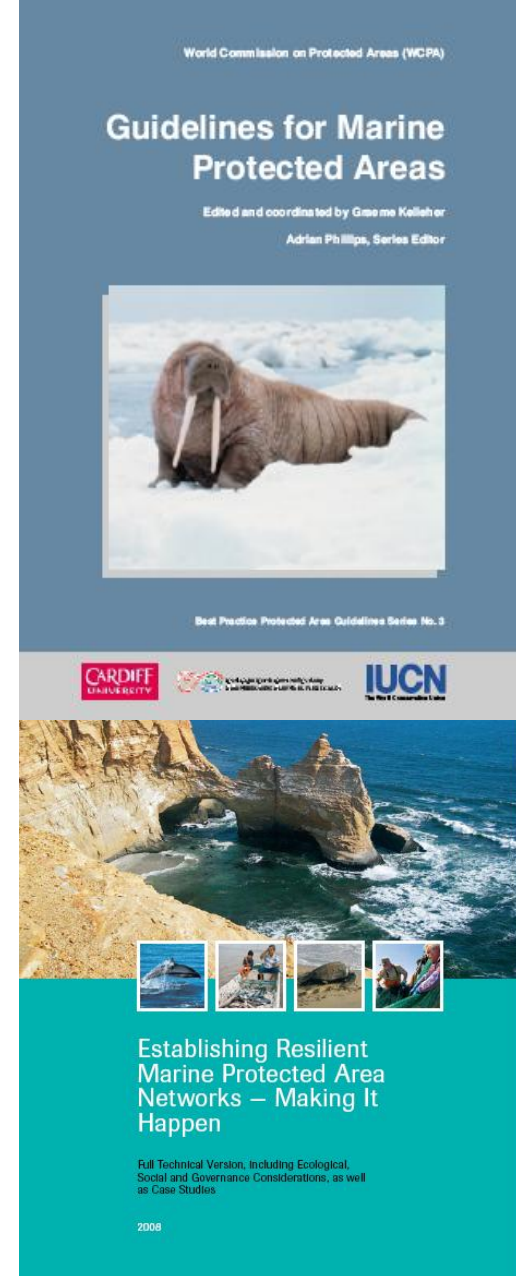


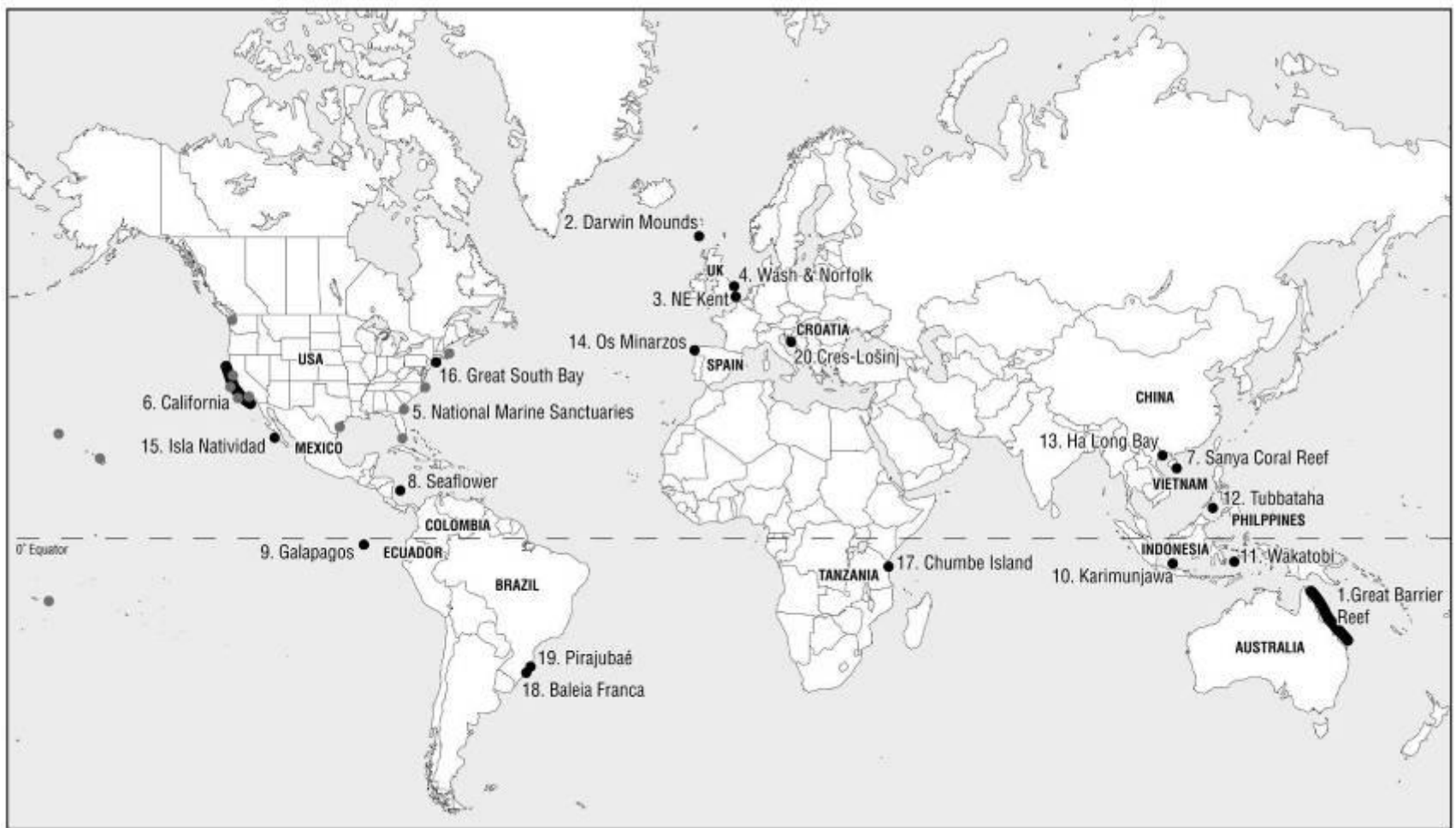
Too simplistic and linear to provide guidance on the complex interactions between stakeholders and the state in governance processes, including the diversity of different priorities & values

So what does

*“design and management of MPAs must be both top-down and bottom-up”* (Kelleher 1999) actually mean in practice?

Recognising governance complexity and diversity, this is the key question that the MPA governance project aims to address, initially through 20 case studies



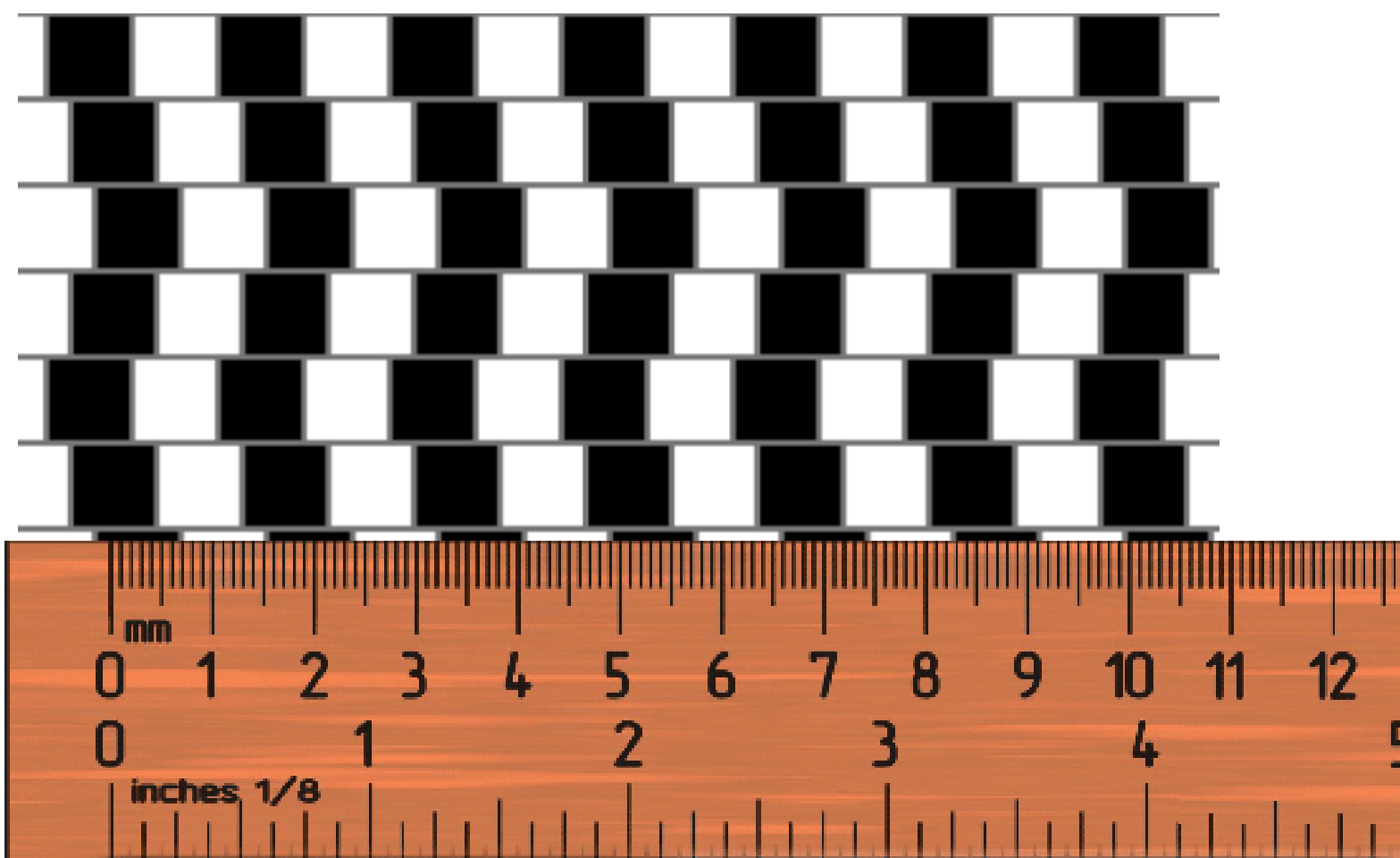


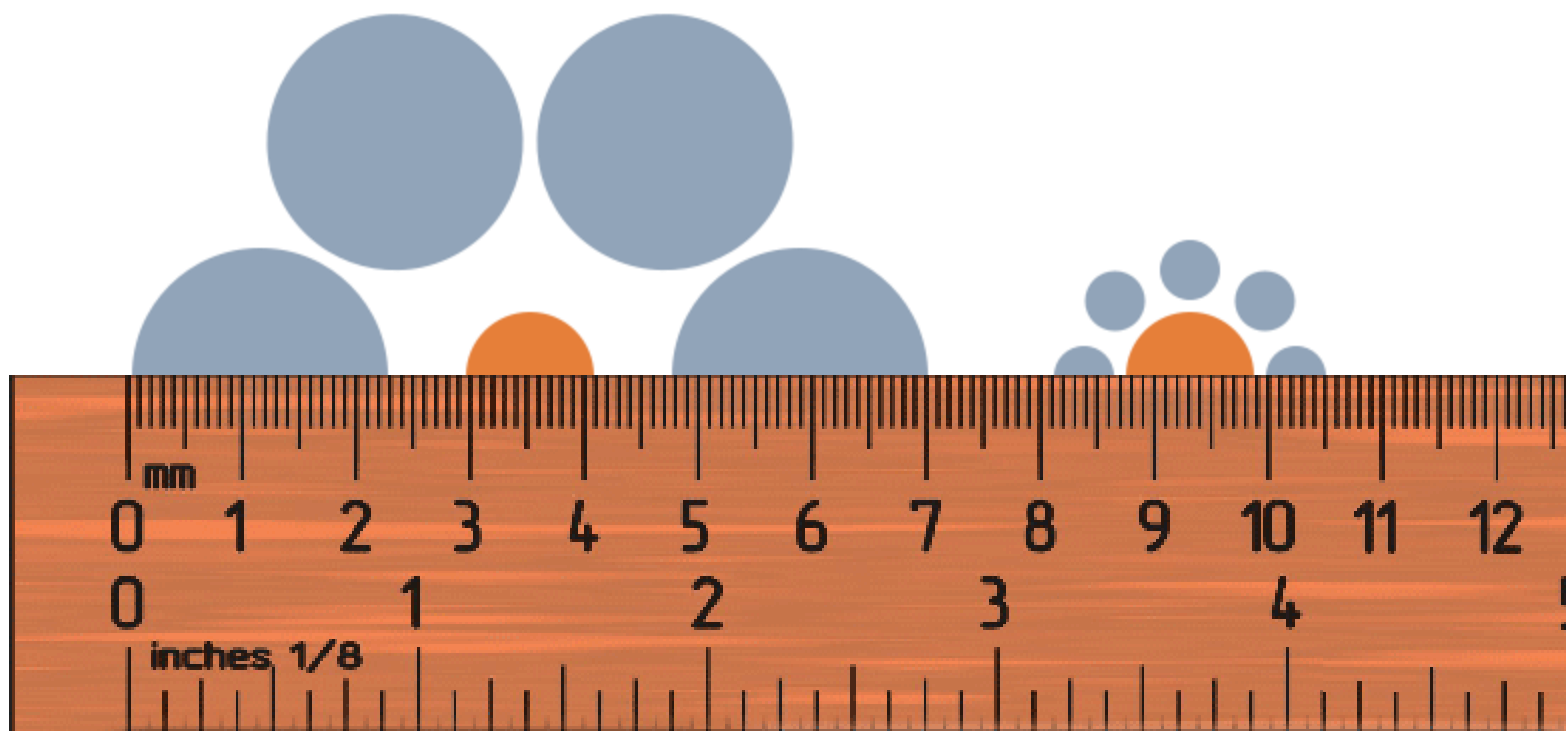
- 1: Great Barrier Reef Marine Park 2: Darwin Mounds Marine Special Area for Conservation 3: North East Kent European Marine Site 4: Wash & North Norfolk Coast European Marine Site 5: National Marine Sanctuaries (a network of MPAs with locations shown in grey colour) 6: California MPAs under the MLPA (a network of MPAs in California) 7: Sanya Coral Reef National Marine Nature Reserve 8: Seaflower MPA 9: Galápagos Marine Reserve 10: Karimunjawa Marine National Park 11: Wakatobi National Park 12: Tubbataha Reefs Natural Park 13: Ha Long Bay World Heritage Site 14: Os Minarzos Marine Reserve 15: Isla Natividad MPA 16: Great South Bay Marine Conservation Area 17: Chumbe Island Coral Park 18: Baleia Franca Environmental Protection Area 19: Pirajubaé Marine Extractive Reserve. 20: Cres-Lošinj Special Zoological Reserve



MPAG workshop  
12-16 Oct 2009  
Mali-Lošinj  
Croatia







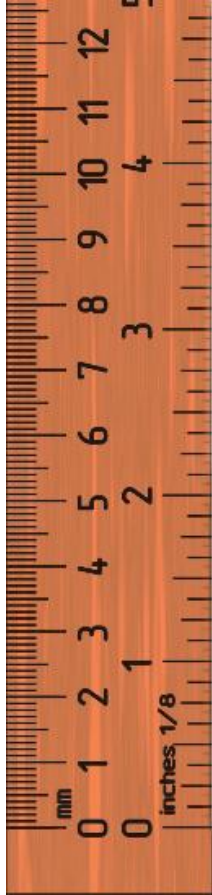
# MPAG analytical framework

- Context including metrics: per capita GDP and growth rate, HDI, state capacity, population below poverty line, unemployment rate
- Objectives
- Drivers/Conflicts
- Governance Framework/Approach
- **Effectiveness (0-5)**
- Incentives **employed & needed:**

**Economic**  
**Interpretative**  
**Knowledge**  
**Legal**  
**Participative**

*: how incentives **combined**, relative importance, etc.*

- Cross cutting themes: role of leadership, role of NGOs, equity issues



# Case studies assigned to one of five 'governance approach' categories

Approach I - **government-led** (6 case studies)

Great Barrier Reef (Australia); Darwin Mounds, NE Kent; Wash/Norfolk Coast; (UK); National Marine Sanctuaries; California MPAs (US)

Approach II - **decentralised governance** (7 case studies)

Sanya (China); Seaflower (Columbia), Galapagos (Ecuador); Karimunjawa; Wakatobi (Indonesia); Tubbataha (Philippines); Ha Long Bay (Vietnam)



# Case studies assigned to one of five 'governance approach' categories

Approach III - **community-led** (2 case studies)  
Os Minarzos (Spain); Isla Natividad (Mexico)

Approach IV - **private-led** (2 case studies)  
Great South Bay (US); Chumbe (Tanzania)

Approach V – **ineffective** (3 case studies)  
Baleia Franca; Pirujabaé (Brazil); Cres-Lošinj (Croatia)

Economic incentives: using economic and property rights approaches to promote the fulfilment of MPA objectives (10)

Interpretative incentives: promoting awareness of the conservation features of the MPA, the related objectives for conserving them, the policies for achieving these objectives and support for related measures (3)

Knowledge incentives: respecting and promoting the use of different sources of knowledge to better inform MPA decisions (3)

Legal incentives: use of relevant laws, regulations etc. as a source of 'state steer' to promote compliance with decisions and thereby the achievement of MPA obligations (10)

Participative incentives: providing for users, communities and other interest groups to participate in and influence MPA decision-making that may potentially affect them, in order to promote their 'ownership' of the MPA and thereby their potential to cooperate in implementation of decisions (10)

Economic, interpretative, knowledge and participative incentives, including the important roles of **local leaders** and **NGOs**, can complement the roles of the state,

but these are **not a substitute** for the roles of the state, as **legal incentives are critically important** to reinforce the governance framework.

**Political will**, particularly at higher government levels, is **vital** to provide for the roles of the state and promote effectiveness



Tubbataha Ranger Station (Alan White)

Without community stewardship or 'ownership' of an MPA, incentives aimed at generating support from local resource users are less likely to be successful

One important means of promoting community stewardship is to **provide for protection from incoming users, including through the allocation of legally enforced community property rights**, in combination with other incentives



Seaflower stakeholders (Coralina)



Tubbataha stakeholders (WWF)

The potential for trade-offs between effectiveness and equity in MPA governance raises many challenges

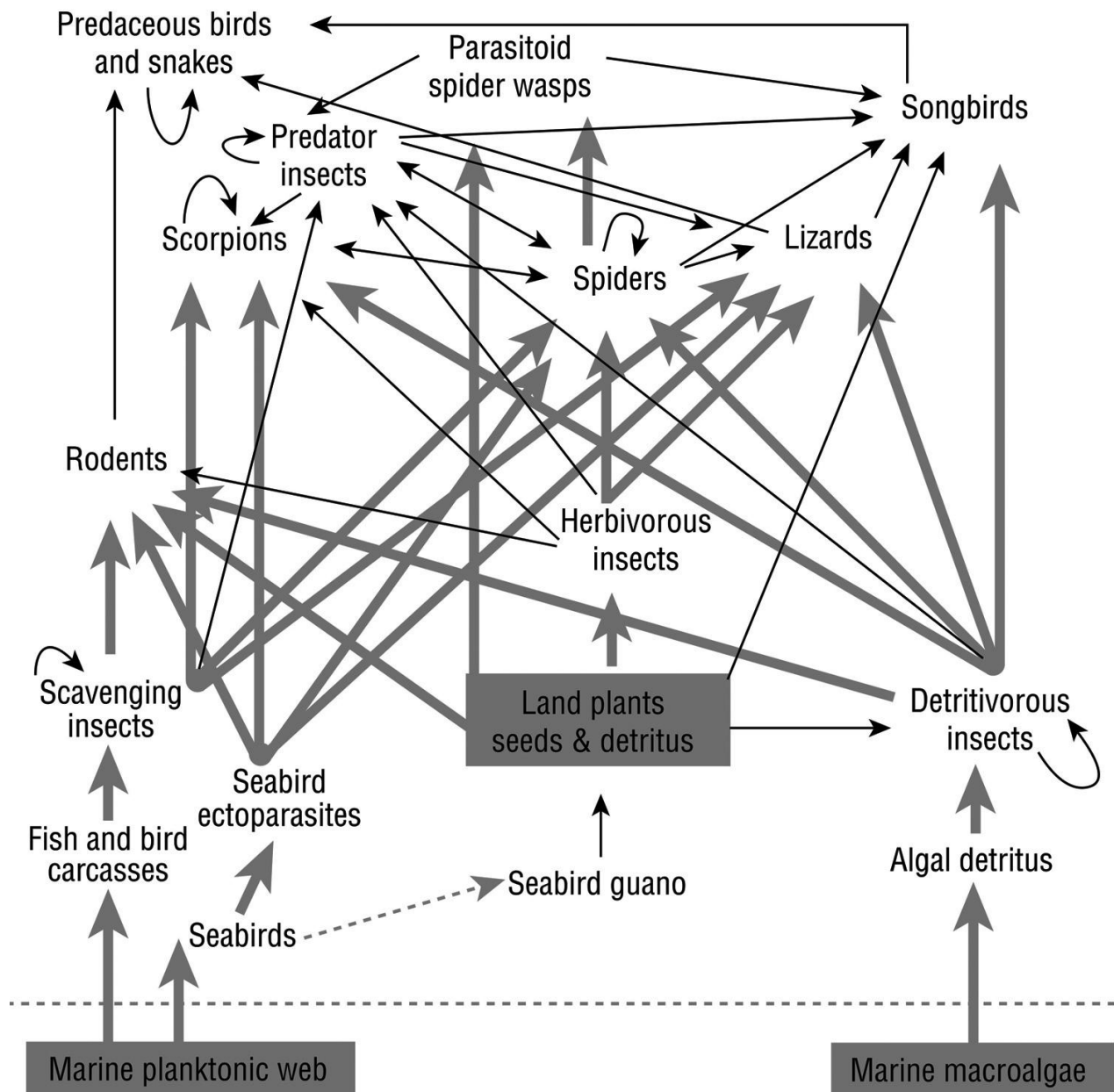
a **balance** must be struck **between providing for a reasonable standard of living** for local communities, through controlled access to the resources in an MPA, alternative livelihoods, etc, and **ensuring that biodiversity conservation & sustainable use objectives are achieved**



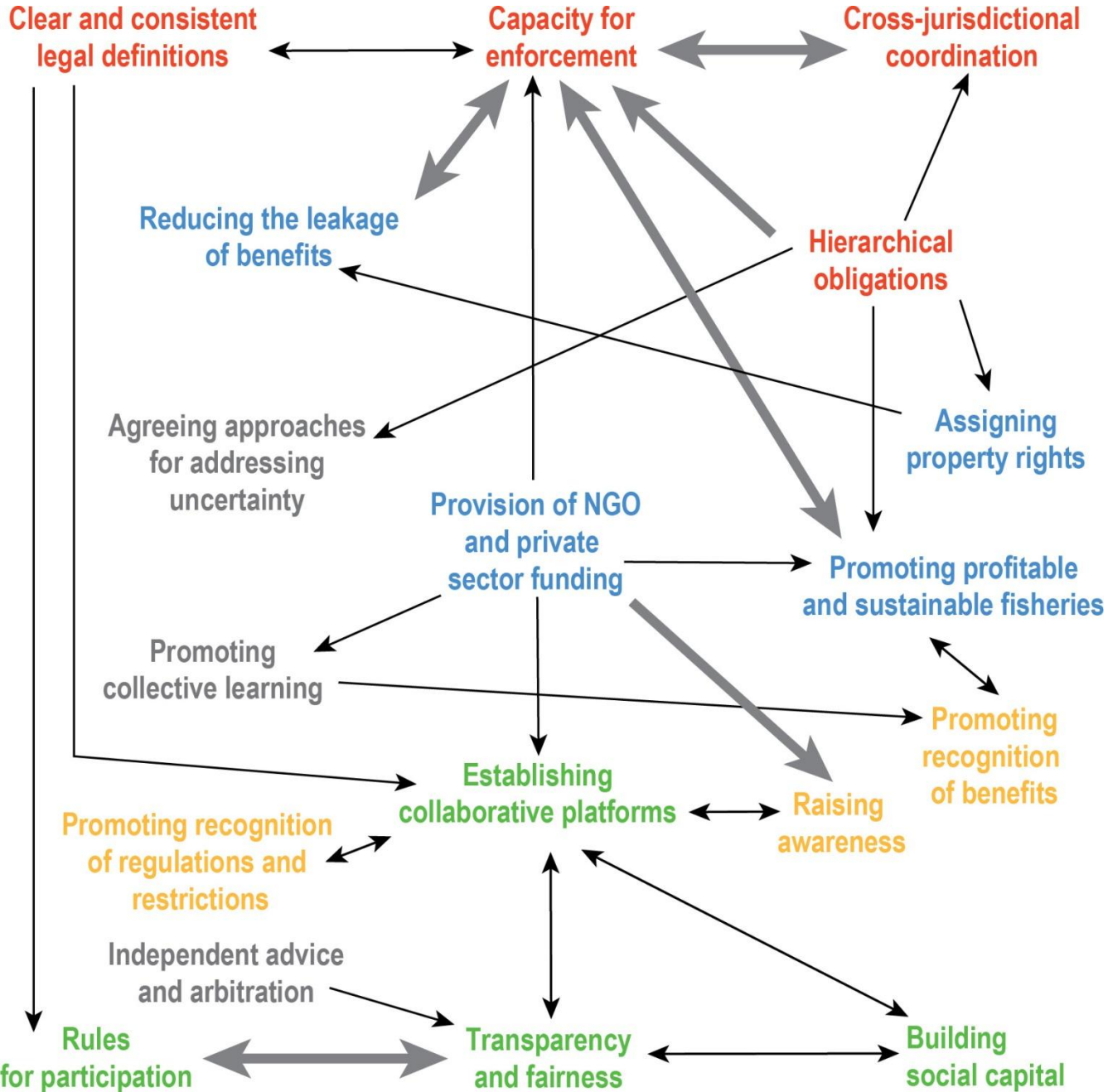
Karimunjawa MPA (Wildlife Conservation Society)



# What key attribute confers stability in ecosystems?

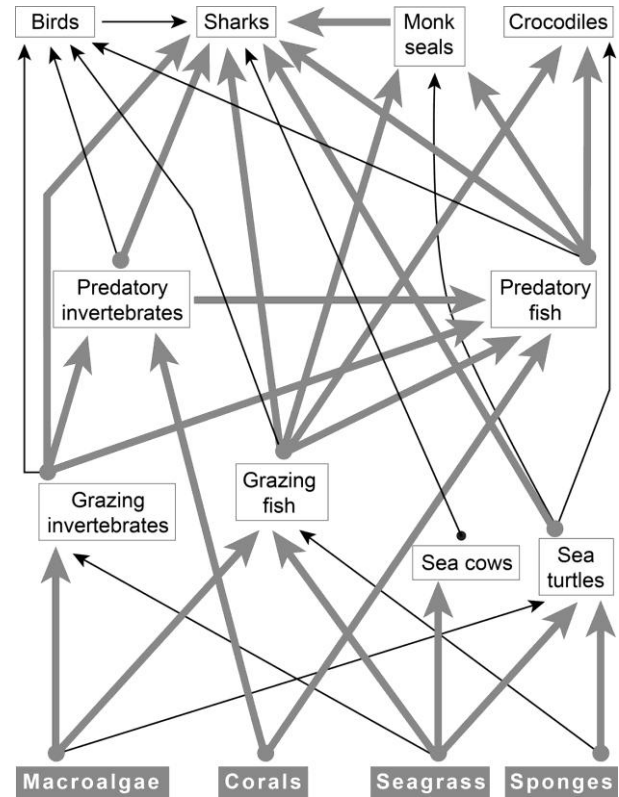
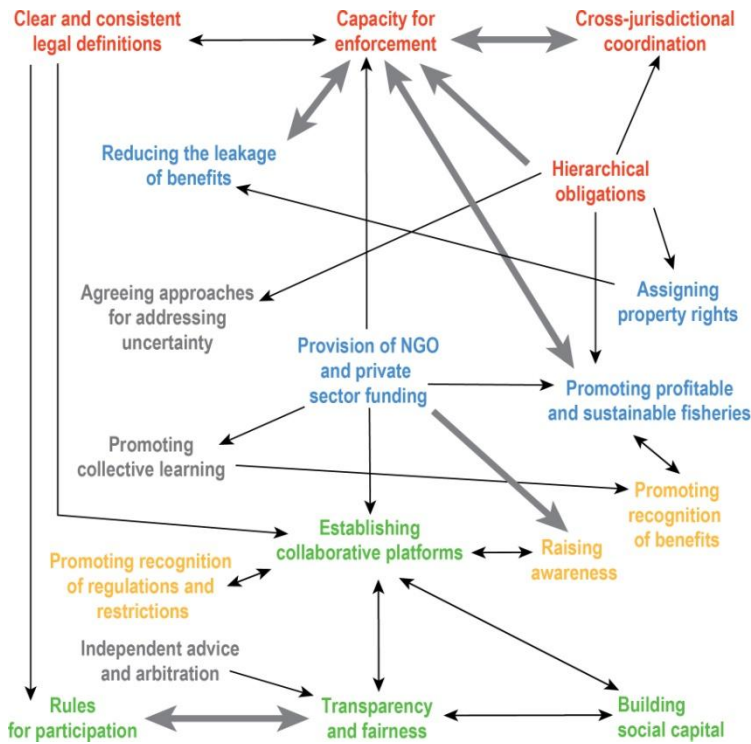


# What key attribute confers stability in governance systems?





Incentive diversity → more resilient governance framework → increased effectiveness of MPA → increased biodiversity → increased fish catches, tourism, etc → more resilient social system → **increased resilience of social-ecological system**

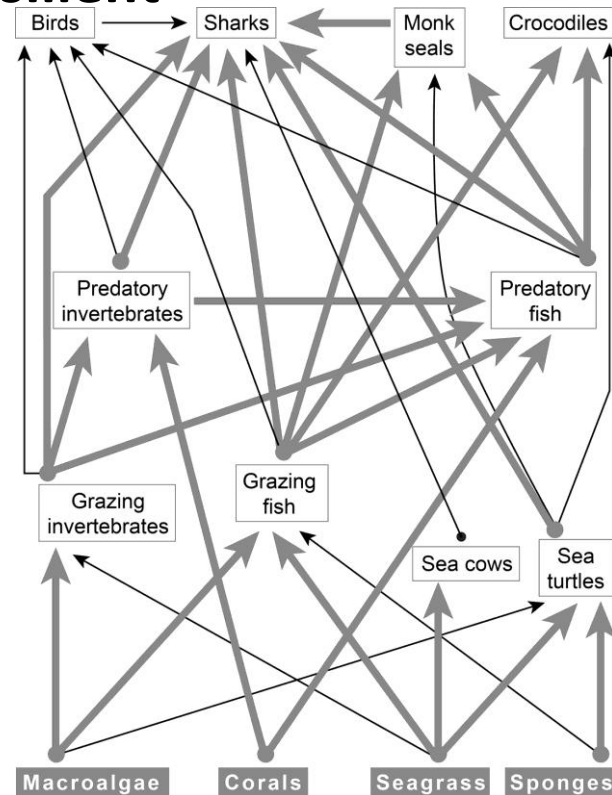
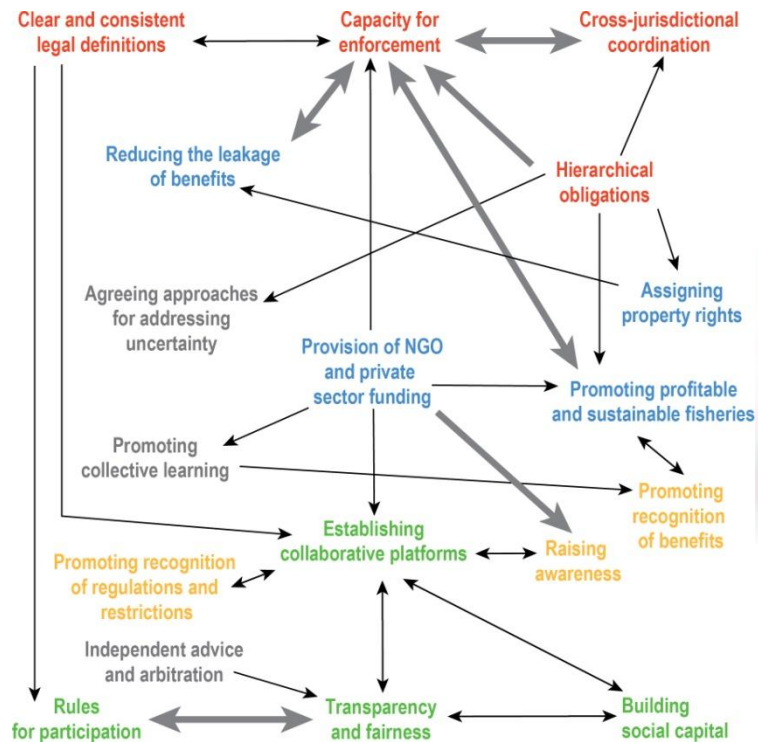


Jackson et al (2001) *Science*, 293, 629-638

In the face of strong driving forces, the combined use of a diversity of inter-connected incentives makes MPA governance frameworks more resilient.

Resilience in MPA governance frameworks is therefore **woven by complex webs connecting incentives from all five categories**

... but **without strong legal incentives to reinforce the MPA governance framework, it will not be resilient**





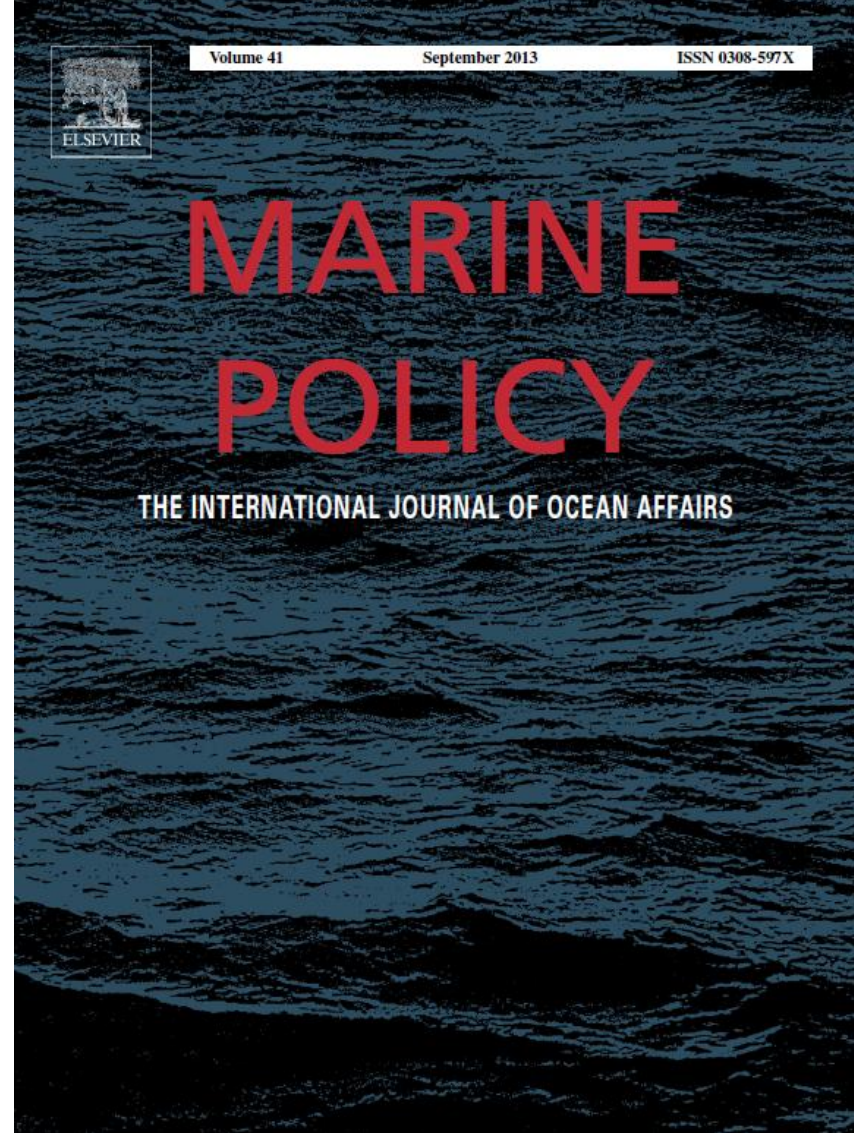
# Governing Marine Protected Areas

Getting the Balance Right

Technical Report

March 2011

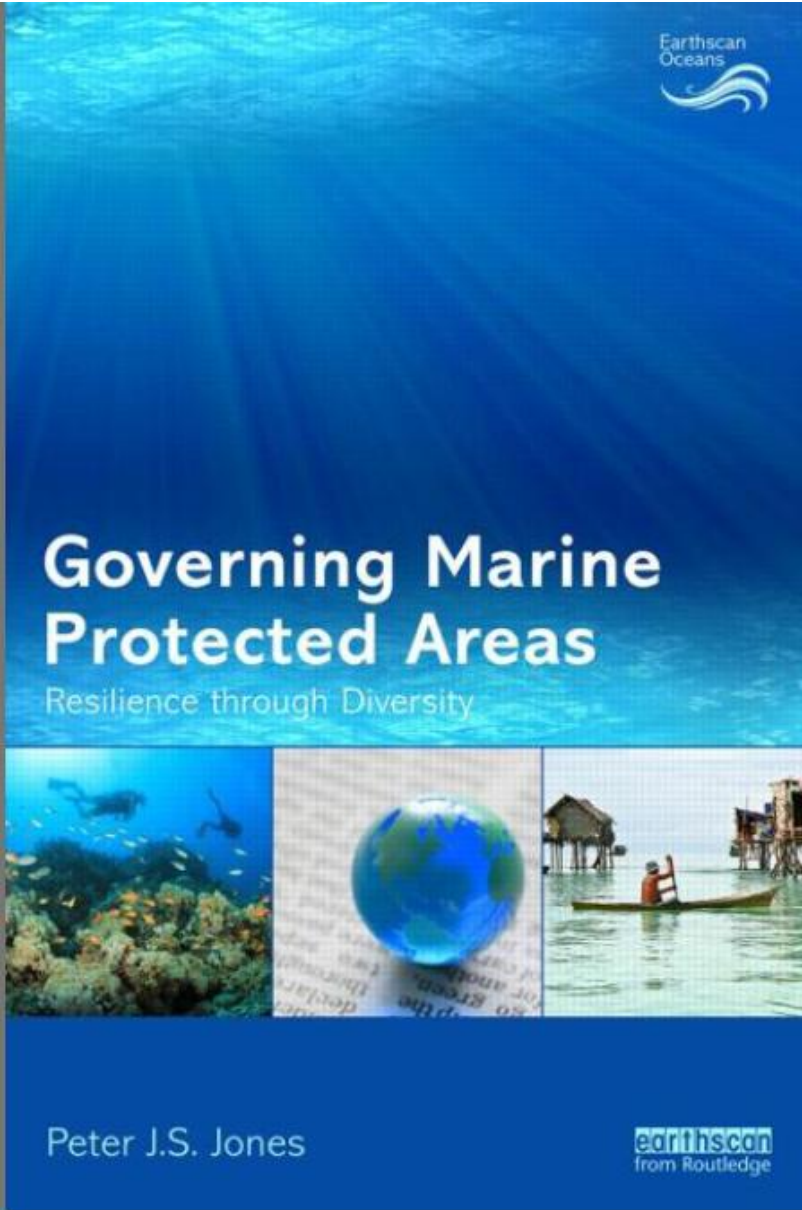
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<http://www.journals.elsevier.com/marine-policy>



Systematic way of '**deconstructing**' MPA governance into different categories of incentives and governance approaches

MPAG analysis framework can be applied on a **meta-analysis** basis to a larger sample of MPA case studies

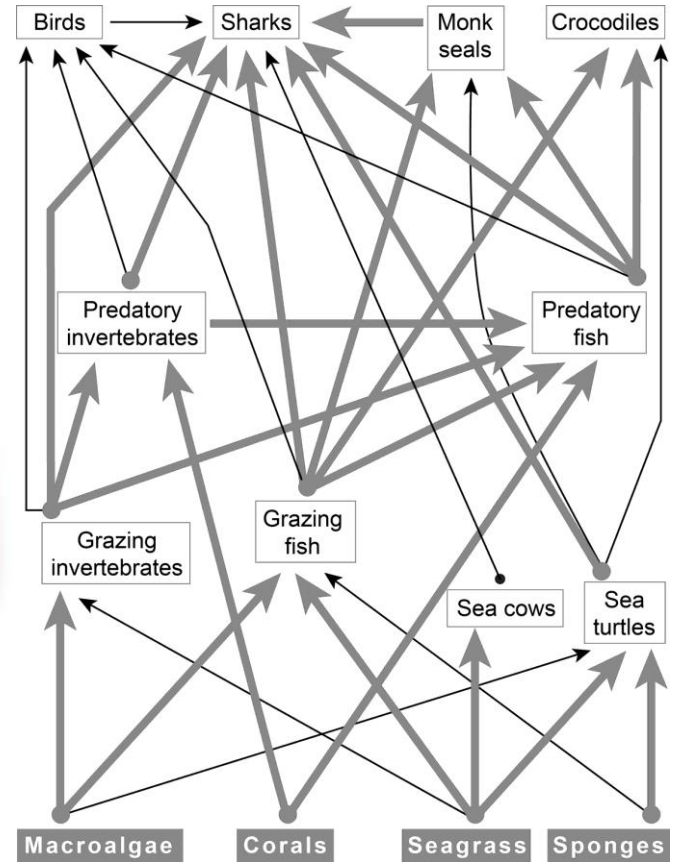
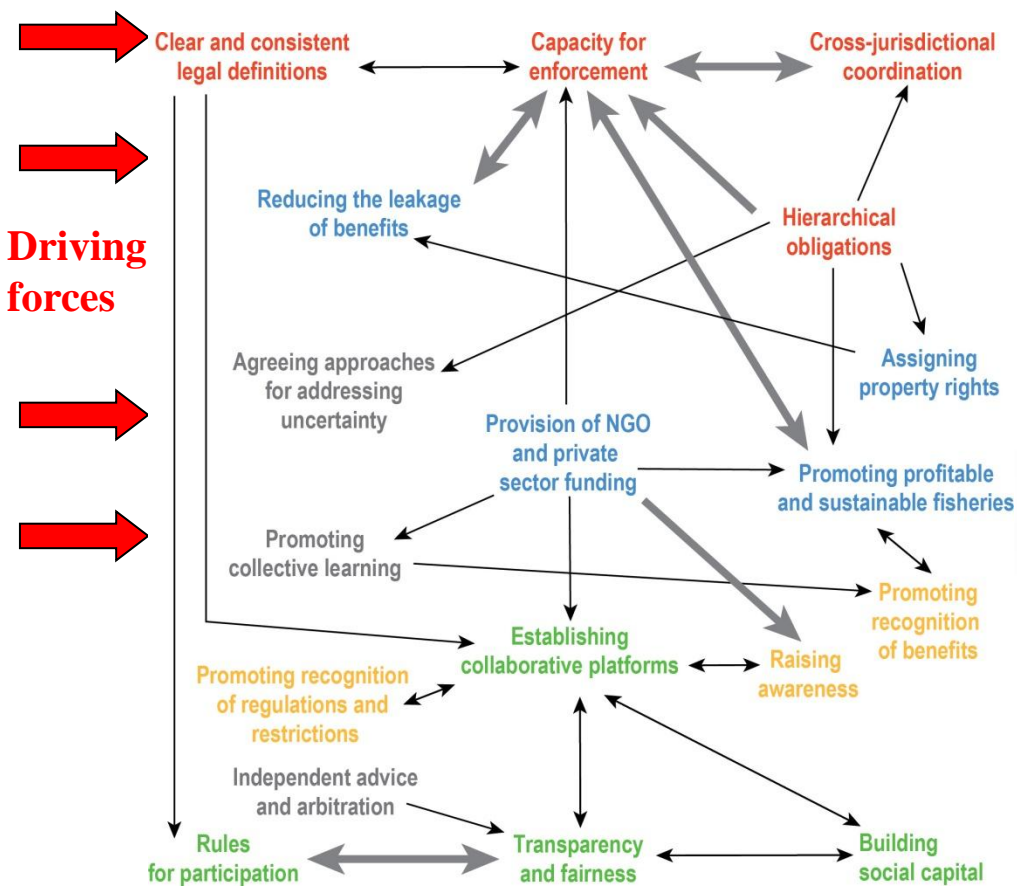
**Guidance** for assessing governance issues in any given MPA and transferring 'good practice'

**More realistic** theoretical and empirical framework for studies related to wider natural resource governance

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<http://bit.ly/GoverningMPAs>

# Diversity is the key to resilience, both of species in ecosystems and incentives in governance systems



Jackson et al (2001) *Science*, 293, 629-638