Interaction strength of carbon dioxide on graphene from periodic quantum diffusion Monte Carlo

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ABSTRACT

Despite the importance of graphene based carbon capture devices, an accurate estimate of the interaction strength of a carbon dioxide molecule with graphene from periodic calculations is lacking. In this work, we compute a fixed node quantum diffusion Monte Carlo reference value for the interaction energy of a carbon dioxide molecule with a periodic free-standing graphene sheet, obtaining a value of -152 ± 15 meV. In addition, we evaluate the performance of several widely used density functional theory approximations and foundation machine learning interatomic potentials, for both carbon dioxide and water adsorption on graphene, competitive processes that play an important role in carbon capture technologies. Among the approaches tested, the B86bPBE-XDM, PBE-D3, revPBE-D3, rev-vdW-DF2, SCAN+rVV10, and PBE0-D3-ATM functionals achieve the closest agreement with DMC for the carbon dioxide–graphene interaction. The vdW-DF2, rev-vdW-DF2, and PBE0-D4-ATM functionals perform better for the competitive adsorption of water and carbon dioxide.

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Nanoporous carbon-based devices are becoming increasingly popular for energy storage applications, 1,2 chemical sensors, $^{3-7}$ and carbon dioxide capture strategies. $^{8-13}$ However, a fundamental question at the base of any $\rm CO_2$ –graphene-based device remains unanswered: what is the interaction strength of a $\rm CO_2$ molecule with a graphene sheet?

Recent experiments analyzed the adsorption of carbon dioxide on epitaxial graphene. 14,15 In particular, Takeuchi et~al. 14 measured an adsorption energy of carbon dioxide on a monolayer of epitaxial graphene on a SiC(0001) surface of $\sim\!312\pm15$ meV at low CO₂ coverage ($\theta=0.02$, where θ is the ratio between the number of CO₂ molecules and the number of carbon atoms in the graphene layer), which decreased to 263 ± 15 meV at higher coverages ($\theta=0.08$). In addition to the experiment, using periodic density functional theory (DFT), they suggested that CO₂ is adsorbed parallel to the surface. Smith and Kay 15 measured an adsorption energy of $\sim\!270\pm21$ meV

for low CO₂ coverage on a graphene sheet attached to a Pt(111) substrate, although they suggest that CO₂ is tilted away from the surface rather than parallel. These measurements imply that the underlying substrate has a significant influence on both the adsorption energy and adsorption structure; making a well-defined determination of the adsorption energy on a free-standing graphene sheet desirable.

Simulations, therefore, provide an important tool to obtain the necessary atomistic resolution and gain insight into the physic-ochemical processes at the heart of these devices. Unfortunately, calculations with DFT—the workhorse of materials science—yield a large range of values for the $\rm CO_2$ –graphene interaction energy. In particular, the interaction energy depends sensitively on the exchange–correlation functional used, varying in a range larger than 200 meV. ^{14,16} In this context, providing reference data for the interaction energy is crucial to inform the choice of the DFT approximation, or the parameterization of classical or machine learning

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force fields, to be employed in large-scale routine simulations of carbon-based devices.

In principle, high accuracy quantum mechanical methods provide a route to accurate reference data. $^{16-18}$ In fact, a recent *tour de force* involving both periodic DFT, as well as coupled cluster with single, double, and perturbative triple excitations, and symmetry adapted perturbation theory (SAPT) calculations with cluster models, suggested that $\rm CO_2$ is adsorbed parallel to the surface and that the adsorption energy can change by ~30 meV between free-standing and supported graphene. 16 Despite the valuable insight into the adsorption process of carbon dioxide on graphene that these calculations provided, explicit periodic calculations with quantum chemistry accuracy for the interaction energy of carbon dioxide on graphene are still missing.

In this brief Communication, we report the interaction energy curve for the CO2 molecule on graphene using periodic fixed node quantum diffusion Monte Carlo (FN-DMC), a widely trusted approach for the description of interaction energies of molecules on surfaces, ^{17,19–29} as well as in gas and condensed phases. ^{30–35} In particular, we obtain an interaction energy of -152 ± 15 meV at a CO₂-graphene distance of ~ 3.24 Å. In addition, we compute the interaction energy curves with several widely used DFT approximations, as well as recent foundation machine-learning interatomic potentials (MLIPs). Finally, we benchmark the performance of both the DFT functionals and the MLIPs on the difference between the interaction energy of carbon dioxide and water on graphene, whose competitive adsorption plays a fundamental role in carbon capture technologies, such as supercapacitors, 12,13,36 metal-organic frameworks, 37-39 and more. Overall, the reference FN-DMC values allow us to identify reliable approximations to be used for the description of the carbon dioxide-graphene and water-graphene interactions and can be used as useful reference data to benchmark additional approximations and to parameterize analytical potentials.

To compute the interaction energy of the CO_2 molecule on a periodic graphene layer, we use an established approach that has been used before for FN-DMC adsorption energy calculations on similar systems, such as water or hydrogen molecules adsorbed on graphene^{20,21} and hBN.²⁰ This approach consists of estimating the interaction energy as

$$E_{\rm int} = E_{\rm b} - E_{\rm f}, \tag{1}$$

where $E_{\rm b}$ is the total energy of the bound configuration, i.e., the configuration with the CO₂ molecule adsorbed on the graphene layer, and $E_{\rm f}$ is the total energy of the configuration with the CO₂ molecule far from the substrate, at a distance of ~ 10 Å. The CO₂–graphene distance is evaluated as the distance between the carbon atom in the carbon dioxide molecule and the flat graphene sheet. We use a 5 × 5 graphene supercell with a 25 Å vacuum in the direction perpendicular to the graphene sheet. The initial adsorption structure, corresponding to the "bridge" carbon dioxide configuration, ¹⁶ was taken from Ref. 40. This structure was optimized on a frozen graphene surface at the DFT level with the PBE⁴¹ functional and the D3 correction. ⁴² Note that throughout this article, we use D3 to refer to the correction with zero-damping, unless stated otherwise. The interaction energy curve configurations were generated by translating the rigid carbon dioxide molecule along the direction

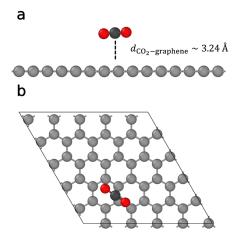


FIG. 1. Adsorption structure of CO_2 on graphene. Top (a) and side (b) views of the adsorption configuration for the CO_2 molecule adsorbed on a graphene sheet. Carbon atoms are shown in gray (with different shades between C atoms belonging to graphene and the C atom in carbon oxide) and oxygen atoms in red.

perpendicular to graphene. Additional tests on the adsorption configurations are reported in Sec. I of the supplementary material. The adsorption configuration is shown in Fig. 1.

FN-DMC calculations were performed using CASINO.43 We use energy-consistent correlated electron pseudopotentials⁴⁴ (eCEPP) with the determinant locality approximation⁴⁵ (DLA) and the ZSGMA⁴⁶ algorithm for the time step convergence. The trial wave-functions were of the Slater-Jastrow type with single Slater determinants, with the single-particle orbitals obtained from DFT local-density approximation (LDA)⁴⁷ plane-wave calculations performed with PWscf^{48,49} using an energy cutoff of 600 Ry and re-expanded in terms of B-splines.⁵⁰ The Jastrow factor includes an electron-electron term, electron-nucleus terms, and electron-electron-nucleus terms. The variational parameters of the Jastrow were optimized by minimizing the variance of the total energy in the adsorbed configuration. We used a DMC time step of 0.01 a.u. and took into account finite size errors (FSE) using the model periodic Coulomb correction^{51–53} and further correct for the (smaller) independent particle FSE. We provide additional information on the DMC setup, as well as tests on the convergence of the time step bias and FSE in Secs. S2-S4 of the supplementary material. In addition, we report tests of the sensitivity of the interaction energy estimate to the DFT-optimized structure in Sec. S5 of the supplementary material, showing that the interaction energies obtained for adsorption structures optimized with two different functionals change less than the DMC stochastic

The interaction energy curves were computed according to Eq. (1), by performing single point calculations (i.e., without geometry optimization). Most DFT calculations of the interaction energy curves were performed with VASP, $^{54-57}$ using the projector-augmented plane wave method with hard pseudo-potentials, 58,59 a dense FFT grid, and an energy cutoff of 1000 eV. We use a $1 \times 1 \times 1$ k-point grid to sample the Brillouin zone, except for the hybrid functionals for which we use a $2 \times 2 \times 1$ k-point grid. This

setup provides converged interaction energies to within 1 meV, as shown in Sec. S7 of the supplementary material. The interaction energies with B86bPBE-XDM60 and the hybrid functionals (PBE0-D3-ATM, 61,62 and B3LYP-D3-ATM, 63,64 where ATM stands for the three body Axilrod-Teller-Muto contribution^{65,66}) were computed with FHI-AIMS using the "tight" basis set.⁶⁷ The D4-ATM correction was evaluated with the dftd4 package.⁶⁸⁻⁷⁰ In Sec. S8 of the supplementary material, we show that the VASP and FHI-AIMS setups used in this work yield equivalent level of accuracy on the estimates of the interaction energy. Finally, we evaluated the interaction energies with pre-trained MLIPs using atomistic simulation environment.⁷¹ In the main text, we showcase results for (r²SCAN⁷²-based) MACE-MATPES-r²SCAN-0 (with the D3 dispersion correction), 73,74 [ω B97M-D3(BJ) 75 -based] MACE-OFF23 (medium),⁷⁶ the PBE⁴¹-based MatterSim (model MatterSimv1.0.0-5M),⁷⁷ and the most recent Universal Models for Atoms (UMA) for molecular crystals (UMA-OMC).⁷⁸ Additional models are tested in Sec. S12 of the supplementary material, including the Orb models. 79,80 However, these are not included in the main text because of the size consistency error discussed in Sec. S12.1. Additional details on the DFT calculations, as well as tabulated values of all the DFT interaction energy curves, are provided in Secs. S6, S9, and S11 of the supplementary material.

Figure 2 reports the interaction energy as a function of the CO_2 –graphene distance with FN-DMC (red stars) and several DFT approximations. Functionals of similar type are grouped together for comparison. In particular, we report generalized gradient approximation (GGA) functionals (first panel), nonlocal van der Waals (vdW) inclusive functionals (second panel), meta-GGAs (third panel), and hybrids (fourth panel). In addition, we test the performance of a selection of pre-trained MLIPs (fifth panel): MACE-MATPES-r²SCAN-0 (with the D3 dispersion correction), ^{73,74} MACE-OFF23, ⁷⁶ MatterSim, ⁷⁷ and UMA-OMC. ⁷⁸ The interaction energy in the adsorbed configuration with a CO_2 –graphene distance of ~ 3.24 Å with FN-DMC is -152 ± 15 meV. The adsorption energy computed at the distance ~ 3.33 Å is only slightly higher (-150 ± 13 meV, and equivalent within the stochastic error bar).

We now indicate with ΔE the difference between the DFT and DMC energy in the adsorption configuration with $d\sim 3.24$ Å. The functionals that are in better agreement with DMC are B86bPBE-XDM⁶⁰ ($\Delta E\sim 10$ meV), revPBE-D3^{42,81} ($\Delta E\sim -12$ meV), PBE-D3^{41,42} ($\Delta E\sim -9$ meV), rev-vdW-DF2⁸² ($\Delta E\sim -8$ meV), SCAN+rVV10⁸³ ($\Delta E\sim -11$ meV), and PBE0-D3-ATM ($\Delta E\sim -2$ meV). Note that the energy differences ΔE are all within one standard deviation (15 meV) from the DMC reference value; therefore, these methods are equivalent within the estimated error bar. The plot of the differences between the DFT and DMC prediction is reported in Sec. S10 of the supplementary material.

A highly active area of research in computational materials science is the development of foundation machine learning force fields, which aim to enable DFT-level accuracy simulation of large and realistic systems at a fraction of the computational cost. In this context, benchmarking their performance against high-level reference data is valuable to assess their suitability for modeling extended systems and prototypical device architectures. In particular, we find that the interaction energy at the minimum is well-reproduced with MACE-OFF23 and UMA-OMC. Additional data on the performance of the

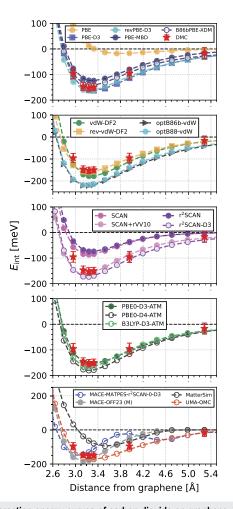


FIG. 2. Interaction energy curves of carbon dioxide on graphene. The figure shows the interaction energy as a function of the carbon dioxide—graphene distance with reference DMC calculation (red stars) and several density functional approximations (GGA first panel, non-local vdW functionals second panel, meta-GGA third panel, and hybrids fourth panel), and machine learning force fields (fifth panel). Here, M stands for "medium" model.

pre-trained models is reported in Sec. S12 of the supplementary material.

A value of \sim -213 meV has been reported with SAPT calculations for the carbon dioxide–graphene interaction energy. This value was computed with SAPT0 on PBE-D3 optimized geometries via cluster expansion extrapolation, with the jun-cc-pVDZ basis set. ¹⁶ The interaction energy reported in our work is higher than both the experimental estimates and the SAPT0 prediction. The difference with the experiment is expected due to the influence of the substrate on the adsorption energy and structure. ^{14–16} As shown in Ref. 16 with PBE-D3 calculations, cluster extrapolated estimates tend to overbind the interaction energy by \sim 10 – 20 meV. The methodological differences between the cluster extrapolated SAPT0 and periodic FN-DMC might further contribute to the discrepancy between the two theoretical predictions.

So far, we have focused on the adsorption of carbon dioxide on graphene. However, carbon capture technologies may depend on the competitive adsorption between carbon dioxide and water molecules. ^{37–39} It is not clear *a priori* which molecule is likely to interact more strongly with the substrate. Therefore, in Fig. 3, we investigate such competitive adsorption by comparing the interaction energy in the adsorbed configuration computed with FN-DMC and DFT, both for the CO₂ molecule (red) and the water molecule (blue).

The reference FN-DMC value for the interaction energy of a single water molecule on free-standing graphene is $E_{\rm int}^{\rm H_2O} = -99 \pm 6\,{\rm meV}$. This was computed for the "2-leg" water configuration, that is, with both hydrogen atoms pointing toward graphene, using the same setup as in Eq. (1).²⁴ The DFT interaction energies for the water molecule were taken from Ref. 84, except for the functionals B86bPBE-XDM, r²SCAN, r²SCAN-D3, PBE0-D3-ATM, PBE0-D4-ATM, and B3LYP-D3-ATM computed in this work. Details of the water–graphene calculations are provided in Sec. S11. FN-DMC predicts that CO₂ binds more strongly than water to pristine graphene, with a difference of $E_{\rm int}^{\rm CO_2} - E_{\rm int}^{\rm H_2O}$ of $\sim -53 \pm 16\,{\rm meV}$. Most of the tested approximations qualitatively capture

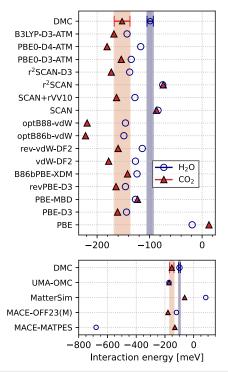


FIG. 3. Interaction strength of carbon dioxide and water on graphene. The figure shows the interaction energy (in meV) with DMC (first row of each panel), DFT (top panel), and machine learning force fields (bottom panel) for carbon dioxide on graphene (in the adsorbed configuration at $d \sim 3.24$ Å, red triangles) and the water molecule on graphene (blue empty circles). The shaded areas highlight the stochastic error bar on the DMC calculations. The DMC reference energy for the water molecule adsorbed on graphene is taken from Ref. 24. "MACE-MATPES" stands for MACE-MATPES-"SCAN-0-D3.

this trend, except for PBE, PBE-MBD, SCAN, and r^2 SCAN. Quantitatively, the closest agreement for the interaction energy difference between carbon dioxide and water is obtained with vdW-DF2, 85 rev-vdW-DF2, 82 and PBE0-D4-ATM. Interestingly, all the functionals tested in this work except the non-local vdW functionals and PBE0-D4-ATM underestimate the interaction energy difference. A plot of the difference between the DFT and DMC on the relative interaction energy $E_{\rm int}^{\rm CO_2}-E_{\rm int}^{\rm H_2O}$ is reported in Sec. S10 of the supplementary material. Among the tested MLIPs, MACE-OFF23 is the most reliable, yielding $E_{\rm int}^{\rm CO_2}-E_{\rm int}^{\rm H_2O}\sim-58\,{\rm meV}$, followed by UMA-OMC, which predicts $E_{\rm int}^{\rm CO_2}-E_{\rm int}^{\rm H_2O}\sim0.1\,{\rm meV}$. However, this value is sensitive to the ~25 meV size consistency error discussed in the supplementary material. By contrast, MatterSim underbinds the water molecule and predicts $E_{\rm int}^{\rm CO_2}-E_{\rm int}^{\rm H_2O}\sim-147\,{\rm meV}$, while MACE-MATPES-r2SCAN-D3 significantly overbinds the water molecule by predicting $E_{\rm int}^{\rm CO_2}-E_{\rm int}^{\rm H_2O}\sim548\,{\rm meV}$.

dioxide-graphene interaction. By analyzing the electronic charge rearrangement upon adsorption, Brandenburg et al.24 showed that although the water-graphene interaction is mainly dominated by dispersion effects, electrostatics play a significant role depending on the different orientations of the hydrogen atoms with respect to graphene. In Fig. 4, we compare the change of electronic charge density upon adsorption of both carbon dioxide and water on graphene. In particular, we plot the top and side views of the charge density rearrangement for water (left) and carbon dioxide (right) adsorbed on graphene. The charge densities were computed with VASP with PBE-D3 and plotted with VESTA.86 The plot shows that the charge redistribution is much more localized for the CO₂ molecule compared to the water molecule. Therefore, as suggested from both Figs. 2 and 3, dispersion is the main interaction characterizing the adsorption of carbon dioxide on graphene. As pointed out in Ref. 24, differences in the charge density rearrangement lead to a variation in the surface multipole moment and hence the work function of the substrate, which can in principle be observed in experiment.

In summary, we provide FN-DMC interaction energy curves for carbon dioxide on a periodic graphene sheet and used these to evaluate the performance of several DFT functionals and MLIPs. The reference interaction energy obtained in this work is -152 ± 15 meV, which means that a CO₂ molecule binds \sim 53 ± 16 meV stronger than a water molecule on graphene. Among the tested foundation MLIPs, MACE-OFF23 and UMA-OMC yield the closest agreement for the interaction energy in the adsorbed configuration. The B86bPBE-XDM, PBE-D3, revPBE-D3, rev-vdW-DF2, SCAN+rVV10, r²SCAN-D3, and PBE0-D3-ATM functionals yield the closest agreement with FN-DMC for the interaction energy of CO2 on graphene. Interestingly, vdW-DF2, rev-vdW-DF2, and PBE0-D4-ATM obtain the closest agreement for the difference between the interaction energy of carbon dioxide and water on graphene. Overall, the FN-DMC interaction energies computed in this work provide useful reference values for benchmarks of electronic structure approaches and could be further used for parameterization of analytical potentials.

The supplementary material provides additional information on the setup and convergence tests of both DMC and DFT

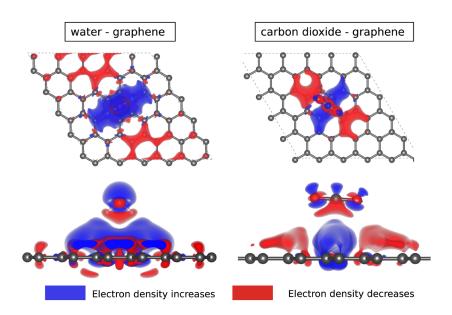


FIG. 4. Electronic density rearrangement upon adsorption. The figure shows the difference between the electronic density of the adsorbed configuration and each individual fragments, for water (left) and carbon dioxide (right). For each system, we report the top and side views of the charge density isosurfaces. The isosurface level is 7.10855 $\times\,10^{-5}$ electrons/Å 3 . The unit cell is indicated by the black dashed lines. The blue regions indicate density increase upon binding and the red regions indicate depletion.

simulations; additional information on the benchmark of DFT functionals for the carbon dioxide–graphene and water–graphene interaction energies; tabulated values of the interaction energy curves for all the DFT approximations considered in this work; additional information on the performance of pre-trained MLIPs; and additional tests on the adsorption structure and the influence of the DFT-optimized structure on the estimate of the interaction energy. The supplementary material contains Refs. 16, 24, 32, 40–46, 48–67, 72, 75, 76, and 78–95.

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AUTHOR DECLARATIONS

Conflict of Interest

The authors have no conflicts to disclose.

Author Contributions

Flaviano Della Pia: Conceptualization (equal); Data curation (lead); Formal analysis (equal); Writing – original draft (lead); Writing – review & editing (equal). Giaan Kler-Young: Conceptualization (equal); Data curation (equal); Formal analysis (equal); Writing – review & editing (equal). Andrea Zen: Conceptualization (equal); Data curation (equal); Formal analysis (equal); Resources (lead); Supervision (equal); Writing – review & editing (equal). Fabian Berger: Conceptualization (equal); Data curation (equal); Formal analysis (equal); Supervision (equal); Writing – review & editing (equal); Data curation (equal); Formal analysis (equal); Writing – review & editing (equal). Angelos Michaelides: Conceptualization (equal); Data curation (equal); Formal analysis (equal); Project administration (lead); Resources (lead); Supervision (lead); Writing – review & editing (equal).

DATA AVAILABILITY

All the data necessary to reproduce the findings of this work are provided in the paper and its supplementary material. Scripts as well as input and output files are provided on GitHub.

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