Replica symmetry breaking in the Bose glass

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received 4 April 2014; accepted in final form 17 October 2014
published online 5 November 2014

PACS 05.30.Jp – Boson systems
PACS 64.70.P- – Glass transitions of specific systems
PACS 64.60.ae – Renormalization-group theory

Abstract – We investigate the nature of the Bose glass phase of the disordered Bose-Hubbard model in $d > 2$ and demonstrate the existence of a glass-like replica symmetry breaking (RSB) order parameter in terms of particle number fluctuations. Starting from a strong-coupling expansion around the atomic limit, we study the instability of the Mott insulator towards the formation of a Bose glass. We add some infinitesimal RSB, following the Parisi hierarchical approach in the most general form, and observe its flow under the momentum-shell renormalization group scheme. We find a new fixed point with one-step RSB, corresponding to the transition between the Mott insulator and a Bose glass phase with hitherto unseen RSB. The susceptibility associated to infinitesimal RSB perturbation in the Mott insulator is found to diverge at the transition with an exponent $\gamma = 1/d$. Our findings are consistent with the expectation of glassy behavior and the established breakdown of self-averaging. We discuss the possibility of measuring the glass-like order parameter in optical-lattice experiments as well as in certain spin systems that are in the same universality class as the Bose-Hubbard model.

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Introduction. – Bosons in optical lattices offer an exciting opportunity for the study of disorder in strongly interacting systems. In the clean Bose-Hubbard (BH) model two phases compete. The Mott insulator (MI) wins out when the on-site repulsion is sufficiently large, whereas the superfluid (SF) forms when the kinetic energy of the particles dominates. In the disordered BH model a third phase enters the fray: the gapless and insulating Bose glass (BG) which has been predicted [1] to exist between the MI and SF phases. Despite intense analytical [2–13] and numerical [14–20] study, the question of whether a direct MI-SF transition is possible in the presence of disorder has been controversial. Only recently has it been proven [21,22] that the BG always intervenes between the MI and the SF, regardless of how weak the disorder.

The nature of the BG phase itself is still not very well understood. With recent advances in optical-lattice technology [23–26] and the ability to introduce disorder in carefully controlled ways [27–33], the time is now ripe for a detailed theoretical and experimental investigation into the properties of this phase. It has been argued by various authors [1,13,20,21] that the BG is a Griffiths phase [34], with the behavior dominated by the existence of rare SF regions. As pointed out recently [35,36], the existence of rare SF regions is intimately related to a breakdown of self-averaging. Although the lack of ergodicity and self-averaging are common features of conventional spin glasses [37,38] and despite the fact that the BG is dubbed a “glass”, an Edwards-Anderson-like glassy order parameter has not yet been shown to exist in this phase.

In this letter we establish such an order parameter within the replica framework. Performing a strong-coupling expansion and a momentum-shell renormalization group (RG) analysis, we investigate the instability of the MI towards the formation of the BG, allowing for Parisi RSB [39]. We show that unlike in typical spin-glass systems, RSB in the BG phase only occurs on the quartic level, corresponding to glassy behavior in the particle density fluctuations rather than in the SF order parameter. Our results are consistent with previous findings showing RSB in random-mass disordered ferromagnets [40,41] and in the Anderson glass [42,43], the fermionic analog of the BG. Note that the incompressible Mott glass exhibits RSB as well [43] suggesting that there exists no direct relation between the finite compressibility of the disordered insulator and the breaking of replica symmetry.
Strong-coupling expansion and replica theory. — Our starting point is the disordered BH model in its simplest form which describes bosons at chemical potential $\mu$ that tunnel with amplitudes $t$ between neighboring sites of a $d$-dimensional hyper-cubic lattice with random site energies $\epsilon_i$ and interact through a local Hubbard repulsion $U$. The Hamiltonian is given by

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{b}_i \hat{b}_j + \hat{b}_j \hat{b}_i) - \sum_i \mu_i n_i + \frac{U}{2} \sum_i n_i(n_i - 1),$$

where $\hat{b}_i^\dagger$, $\hat{b}_i$ are bosonic creation and annihilation operators, $n_i = \hat{b}_i^\dagger \hat{b}_i$ the number operator, and $\mu_i = \mu - \epsilon_i$. The random site energies are uncorrelated and follow a box distribution $p(\epsilon_i) = \theta(|\Delta - |\epsilon_i|)|/2\Delta$ ($\theta$ is the Heaviside step function). For sufficiently bounded disorder, $\Delta/U < 1/2$, the model exhibits MI phases.

The strong-coupling expansion around the atomic limit is based on a Hubbard-Stratonovich transformation of the hopping term [44]. In the absence of disorder, this leads to the imaginary time long-wavelength action

$$S = \int [k^2 + F(\omega)] |\psi(k, \omega)|^2 + h \int |\psi(r, \tau)|^4,$$

with $\psi(r, \tau)$ the complex SF order parameter which is a function of position $r$ and imaginary time $\tau$. For convenience, we have Fourier-transformed the quadratic action to the momentum and frequency domain, $(k, \omega)$. The function $F(\omega) = R + f(\omega)$ with $R = F(0)$ is related to the bosonic Green function

$$G_0(\omega) = \frac{m + 1}{m - x + i\omega} - \frac{m}{1 - m + x - i\omega}$$

of the local on-site Hamiltonian, $F(\omega) = 1 + yG_0(\omega)$. Here $m$ denotes the number of bosons per site, $x = \mu/U$ and $y = 2dt/U$ are the chemical potential and the hopping amplitude in dimensionless units, respectively. We have further rescaled lengths such that the momentum cut-off is given by $|k| \leq \Lambda = 1$. Except for isolated values of the chemical potential where the system is particle-hole symmetric, at $T = 0$ the vertex $h$ is irrelevant in dimensions $d > 2$. The transitions between the MI lobes and the SF are therefore of the mean-field type and obtained by $R = 0$ for different integer values of $m$.

In the presence of on-site disorder, the Hubbard-Stratonovich transformation can be performed in exactly the same way. All coefficients in the resulting strong-coupling action are given by the same expressions as in the clean case but with the chemical potential shifted by the disorder potential on each lattice site, e.g. the induced random-mass disorder is given by

$$R_i = 1 - y \left( \frac{m + 1}{m - x + \epsilon_i} + \frac{m}{1 - m + x - \epsilon_i} \right).$$

Note that this expression is ill defined if $p(\epsilon_i)$ is not sufficiently bounded. In order to average the free energy over the quenched disorder, we use the replica trick,

$$\bar{F} = -T \ln \mathcal{Z} = \lim_{n \to 0} \left( \mathcal{Z}^n - 1 \right)/n.$$

After taking $n$ copies of the system and performing the average over disorder we obtain the effective continuum action

$$S = \sum_{\alpha=1}^{n} \int [k^2 + R + f(\omega)] |\psi_\alpha(k, \omega)|^2 + \ldots$$

$$- \frac{1}{2} \sum_{\alpha,\beta} g_{\alpha\beta} \int_{\tau, \tau'} |\psi_\alpha(\tau, \tau')|^2 |\psi_\beta(\tau, \tau')|^2. \quad (5)$$

The replica diagonal contributions represent $n$ copies of the system with disorder averaged coupling constants while the disorder vertex $g_{\alpha\beta}$ couples different replicas. Because of the two independent integrations over imaginary time this term is relevant in dimensions $d < 4$. Note that the replica disorder average and the cumulant expansion underlying eq. (5) do not break the symmetry between replicas. All elements in the matrix $g_{\alpha\beta}$ are therefore identical and equal to the variance $g = \left( \mathcal{R} - \mathcal{R}^2 \right)$ of the random-mass distribution (4).

We point out that the disorder averaged replica action (5) depends only on the first two moments of the random-mass distribution $R_i$. Higher moments enter in the coefficients of terms beyond quartic order which are irrelevant under the RG. However, the first and second moments of $R_i$ depend on the precise form of the microscopic disorder distribution $\epsilon_i$, in other words on all the moments of $\epsilon_i$. The existence of a Mott insulating state, which is essential for the above expansion, requires $\epsilon_i$ to be bounded.

Parisi replica symmetry breaking. — In order to study the instability of the system towards RSB we allow $g = (g_{\alpha\beta})$ to be a general matrix of the Parisi type. Such matrices are constructed by successively introducing smaller and smaller block matrices along the diagonal. To give a formal definition of a Parisi matrix $g$ with $k$-step RSB, we introduce a sequence of integers $1 =: n_{k+1} < n_k < \ldots < n_2 < n_1 = 0 := n$ with $n_i/n_i+1$ integer and auxiliary $n \times n$ matrices $\mathbf{A}_i$ which have $n_i \times n_i$ block matrices of ones along the diagonal and zeros outside these blocks. The Parisi matrix is then given by $g = g \mathbf{I} + \sum_{i=0}^{n} g_i (\mathbf{A}_{i+1} - \mathbf{A}_i)$. This is illustrated in fig. 1 for the case $k = 2$. In the replica limit $n \to 0$, the off-diagonal matrix elements along the first row map onto a piecewise constant function $g(u)$ with $k$ steps on the interval $u \in [0, 1)$. Since the level $k$ of RSB is a priori not known and could in principle be infinite, we only assume that $g(u)$ is a monotonic function and parametrize the Parisi matrix by $[\hat{g}, g(u)]$.

We point out that RSB in the quartic terms resembles a weaker form of disorder as compared to conventional spin glasses such as the Edwards-Anderson and Sherrington-Kirkpatrick [39,45,46] or random-field Ising models [47], in which RS is broken on the quadratic level. Since on-site disorder in the BH model leads to random-mass rather than random-field disorder, the $U(1)$ symmetry is preserved and RSB can only occur on the quartic level, as

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seen in previous studies of random-mass disorder in ferromagnets [40,41].

**Renormalization-group analysis.** – We proceed with an RG analysis of the effective replica field theory (5) to one-loop order. After successively eliminating modes with momenta from the infinitesimal shell $e^{-qd} \lesssim |k| \lesssim 1$ and rescaling of momenta ($k \to ke^{qd}$), frequencies ($\omega \to \omega e^{qd}$), and fields ($\psi_0 \to \psi e^{qd}$), we obtain RG equations for $I_0 = 1/(1 + R)$ and the rescaled Parisi matrix $[\tilde{\lambda}, \lambda(u)] = I_0^2 [\tilde{g}, g(u)]$,

$$\frac{dI_0}{d\ell} = \left( -2 + \tilde{\lambda} + \rho \left( \tilde{\lambda} - \langle \lambda \rangle \right) \right) I_0 + 2I_0^2,$$

$$\frac{d\tilde{\lambda}}{d\ell} = (4I_0 - d)\tilde{\lambda} + 6\tilde{\lambda}^2 + 2\rho_0 \left( \tilde{\lambda} - \langle \lambda \rangle \right) \tilde{\lambda} + 2 \left( \tilde{\lambda}^2 - \langle \lambda^2 \rangle \right),$$

$$\frac{d\langle \lambda \rangle}{d\ell} = (4I_0 - d)\langle \lambda \rangle + 2\lambda^2(u) + 4\tilde{\lambda}\lambda(u) + 4\rho_0$$

$$\times \left( \frac{5}{2} \left( \tilde{\lambda} - \langle \lambda \rangle \right) \lambda(u) - \int_0^u dv \left[ \lambda(u) - \langle \nu(v)\rangle \right] \right)^2. \tag{6c}$$

Here we have used the multiplication rules [48] for Parisi matrices (which form a group) to compute the square of $[\tilde{\lambda}, \lambda(u)]$. For any $k$-step RSB the number of steps and the step positions remain fixed under the RG and the integral-differential equation (6c) for $\lambda(u)$ turns into $k + 1$ coupled ordinary differential equations for the step heights $\lambda_1, \ldots, \lambda_k$. We have defined $\langle \lambda' \rangle = \int_0^1 du \lambda'(u)$ and the constant $\rho_0 = \int_0^1 [1 + I_0 f(\omega)]^{-1}$ which is proportional to the boson filling $m = \int \frac{d\omega}{\pi} G_0(\omega)$. Because of the scaling behavior of $I_0$ and $f(\omega)$, $\partial f(\omega) / \partial \omega f(\omega) = (2 - z\omega \partial \omega) f(\omega)$, $\rho_0 \sim m$ does not scale under the RG.

**Results.** – We first revisit the RG flow of the RS system, $\lambda := \tilde{\lambda} \equiv \lambda(u)$, which has been studied previously [35] and is shown in fig. 2(a). In this case the RG equations simplify to $I_0'(\ell) = (-2 + \tilde{\lambda} + 2I_0^2)$ and $\lambda'(\ell) = (4I_0 - d)\lambda + 6\lambda^2$. It has been pointed out [35,36] that $\lambda = I_0^2 g$ rather than $g$ is the right variable to distinguish the BG from the MI: in both insulating phases the mean of the mass distribution diverges under the RG, $R \to \infty$ ($I_0 \to 0$), reflecting that SF correlations are short ranged. Since the variance $g$ of the mass distribution also diverges, we have to compare the shift of the distribution with its spread, which immediately identifies the relative variance $\lambda$ as the correct variable. In the MI, $\lambda \to 0$, indicating that disorder is irrelevant, while in the BG, $\lambda$ diverges. At a scale $\ell^*$ where $\lambda(\ell^*) \simeq 1$, the left tail of the distribution pushes through zero, signaling the presence of rare SF regions in the system. The typical separation of such regions, $\xi \simeq e^{-\ell}$, diverges at the transition to the MI as $\xi \simeq (t - t_c)^{-\nu}$ with an exponent $\nu = 1/d$. Note that this scale has nothing to do with the SF correlation length.

We now turn to the full set of RG equations (6) and search for non-trivial fixed points with RSB. Following previous work [49,50] we set eq. (6c) to zero and take a derivative with respect to $u$. Solutions to the resulting
equation are given by $\lambda'(u) = 0$ or
\[
0 = 4I_0 - d + 4\lambda(u) + 4\lambda_0 + 10p_0 \left( \lambda - \langle \lambda \rangle \right)
- 8p_0 \left( u\lambda(u) - \int_0^u dv \lambda(v) \right),
\] (7)
which after taking another derivative with respect to $u$ yields $\lambda'(u)(1 - 2p_0u) = 0$. This implies that the Parisi function has to be constant for all values of $u$ except for $u_1 = 1/(2p_0)$. Therefore, only RS or one-step RSB fixed points are possible to one-loop order. Specializing to a step function $\lambda(u) = \lambda_0$ for $u \leq u_1$ and $\lambda(u) = \lambda_1 = \lambda$ for $u > u_1$, the RG equations (6) reduce to
\[
I_0'(\ell) = (-2 + \lambda_1)I_0 + \frac{1}{2}(\lambda_1 - \lambda_0)I_0 + 2I_1^2, \quad (8a)
\lambda_0'(\ell) = (4I_0 - d)\lambda_0 - 3\lambda_0^2 + 9\lambda_0\lambda_1, \quad (8b)
\lambda_1'(\ell) = (4I_0 - d)\lambda_1 - 2\lambda_1^2 - \lambda_0\lambda_1 + 9\lambda_1^2. \quad (8c)
\]
It is clear from the RG equations that if the step height is initially zero, $\lambda_0 = \lambda_1$, the system will remain RS on all scales. We now address the question if an infinitesimal RSB perturbation is relevant and if the fixed point $P_{\text{MB}/\text{BG}}$ becomes unstable towards a new RSB fixed point.

For $I_0 = 0$, the RG equations (8) indeed exhibit a RSB fixed point $P_{\text{MB}/\text{BG}}^{\text{rsb}}(\lambda_0 = 0, \lambda_1 = d/9)$. The RG flow in the $(\lambda_0, \lambda_1)$-plane is controlled by a separatrix from the RS fixed point $P_{\text{MB}/\text{BG}}(\lambda_0 = \lambda_1 = d/6)$ to $P_{\text{MB}/\text{BG}}^{\text{rsb}}$ (see fig. 2(b)). Below this line and for $\lambda_1 \geq \lambda_0$ the flow is towards the attractive fixed point $P_{\text{MB}}(\lambda_0 = \lambda_1 = 0)$. In the disordered BG phase infinitesimally close to the MI, the trajectories follow the separatrix and the divergence of $\lambda_1$ is controlled by the new RSB fixed point $P_{\text{MB}/\text{BG}}^{\text{rsb}}$. Disorder with a decreasing Parisi step function ($\lambda_0 > \lambda_1$) is always irrelevant.

The RG flow in the $(I_0, \lambda_1)$-plane for $\lambda_0 = 0$ is shown in fig. 2(c). While the stability region of the MI state is reduced compared to the RS case (see fig. 2(a)), the overall behavior is very similar. Linearizing around the critical RSB fixed point $P_{\text{MB}/\text{BG}}^{\text{rsb}}$, we obtain the RG equation $\delta \lambda_1'(\ell) = d \cdot \delta \lambda_1$ for the deviation $\delta \lambda_1 := \lambda_1 - d/9$ from the critical point, giving rise to the same correlation length exponent $\nu = 1/d$ as in the RS situation.

The phase boundary between the MI and the BG is given by the separatrix connecting $P_{\text{MB}/\text{SF}}$ and $P_{\text{MB}/\text{BG}}$. Since the initial values of $I_0 = 1/(1 + R)$ and $\lambda = I_0^2(2R^2 - R)$ are functions of the chemical potential $x = \mu/U$, the hopping amplitude $y = 2d\ell/U$, and the disorder strength $\delta = \Delta/U$, it is straightforward to obtain the phase diagram as a function of these parameters. For the box distribution $p(\epsilon_i)$ of random on-site energies $\epsilon_i$ the integrals for the mean and variance of the induced random-mass distribution $R_1(4)$ can be calculated analytically. In fig. 2(d) the phase boundaries of the first Mott lobe ($m = 1$) for the RS and one-step RSB cases are shown as a function of $x$ and $y$ for a disorder strength $\delta = 0.2$. While the transition points $x = 0.2$ and $x = 0.8$ at zero hopping are determined by the disorder bound $\delta$, the extent of the Mott lobe depends on the initial momentum cut-off.

**Edwards-Anderson order parameters.** Motivated by the need for a measurable signature of RSB, we calculate the Edwards-Anderson order parameters for the BG. Since different replicas are coupled only on the quartic level in the form of a density-density interaction, the glassiness of the disordered BH model is associated with boson number fluctuations [51]. In the following we define the density on site $i$ and at time $\tau$, $\rho_i(\tau) = I_0^{-1}|\psi_i(\tau)|^2$ where the normalization is such that $\langle \rho_i(\tau) \rangle = \rho_0 \sim m$ in the MI state. The order parameter signifying RSB [37] is given by the difference between
\[
q_{\text{EA}} = \lim_{\tau \to \infty} \left( \langle \rho_i(\tau) \rho_i(0) \rangle - \langle \rho_i(\tau) \rangle \langle \rho_i(0) \rangle \right), \quad (9a)
q = \langle \rho_i(\tau) \rangle^2 - \langle \rho_i(\tau) \rangle^2. \quad (9b)
\]
These functions are related to the connected local density-density correlation function $K_{\alpha\beta}(\tau) = \langle \rho_{\alpha}(\tau) \rho_{\beta}(0) \rangle$ in the replica theory. Note that we have suppressed the site index for brevity. To be more specific,
\[
q_{\text{EA}} = \lim_{\tau \to \infty} K_{\alpha\alpha}(\tau) \quad (10)
\]
measures the long-time correlations within the same replica, whereas
\[
q = \lim_{n \to 0} \sum_{\alpha \neq \beta} K_{\alpha\beta}(0)/n(n - 1) \quad (11)
\]
is determined by the equal-time correlations between different replicas. Note that the relevance of density-density correlations between different replicas and the possibility to measure such correlations in optical-lattice experiments are discussed in detail in ref. [51]. At one-loop order we obtain $q_{\text{EA}} = q_0^2 \lambda$ and $q_0^2(\lambda)$. As expected, both order parameters vanish in the MI and are finite in the BG. In a RS system, $q = q_{\text{EA}}$, but in the presence of RSB, the two order parameters will become different and $\Delta = q_{\text{EA}} - q$ is related to the degree of ergodicity breaking [37]. For the present one-step RSB solution we obtain $\Delta = \rho_0(\lambda_1 - \lambda_0)/2$.

**Susceptibility towards RSB.** The RG flow in the BG is clearly unstable to RSB, $\Delta > 0$. However, in this strong-coupling regime the disorder strength increases under the RG, eventually rendering the procedure invalid. To provide additional evidence for RSB we therefore calculate the susceptibility associated with an infinitesimal RSB perturbation in the MI. In this regime the RG is exact since there is an attractive weak-coupling fixed point, guaranteeing that disorder remains small on all length scales. A divergent susceptibility at the critical point provides strong evidence that the strong coupling phase indeed corresponds to a replica symmetry broken
Fig. 3: (Color online) (a) RG flow in $d = 3$ of the RSB order parameter $\Delta(\ell)$ for different infinitesimal RSB perturbations $\epsilon(0)$. As $\epsilon(0) \to 0$ the system approaches the RS fixed point from the MI phase. (b) Susceptibility $\chi_{\text{RSB}}$ as a function of $\epsilon(0)$, confirming that $\chi_{\text{RSB}} \sim \epsilon(0)^{-1/3}$ at the transition.