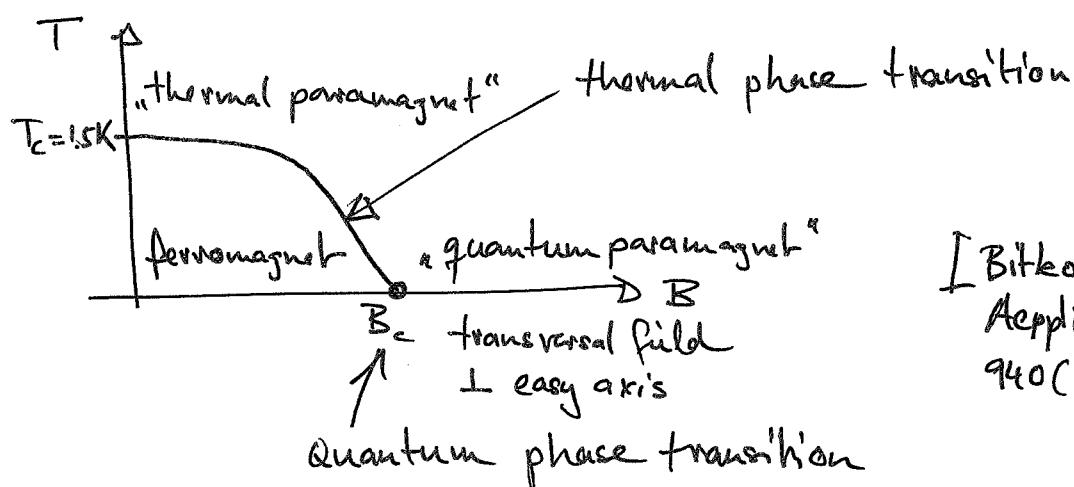


## 1. Introduction

### 1.1. What are quantum-phase transitions

- Happen at  $T=0$
- Continuous or first order  
here we focus on continuous QPTs
- Driven by quantum fluctuations since there are no thermal fluctuations at  $T=0$
- Tuning by control parameter like pressure, magnetic field, chemical doping

Example: LiHoF<sub>4</sub>



[Bitko/Rosenbaum/Aeppli, Phys. Rev. Lett. 77, 940 (1996)]

### Theoretical model description

→ Quantum Hamiltonian  $H = H_0 + gH_1$

dimensionless

tuning parameter

- If  $H_0$  and  $H_1$  favor different ground states we expect a quantum phase transition at a critical value  $g=g_c$
- usually  $[H_0, H_1] \neq 0 \Rightarrow$  no simultaneous diagonalization

# 1) Previous example : Ising model in a transversal field

(2)

- Little Fe<sub>2</sub>O<sub>3</sub> ionic crystal
- at low T, only degrees of freedom are the spins of the Holmium atoms
- easy axis : spins prefer to point up or down with respect to a certain crystal axis

$$H_0 = -J \sum_{\langle i,j \rangle} \hat{\sigma}_i^z \hat{\sigma}_j^z \quad \text{where } \hat{\sigma}_i^z = \frac{1}{2} \hat{S}_i^z$$

sum over nearest neighbor bonds

Hamiltonian is diagonal in the simultaneous eigenbasis of  $\hat{S}^z$  and  $\hat{S}^x$ :  $|S, m_s\rangle = |\frac{1}{2}, m_s\rangle$ ,  $m_s = \pm \frac{1}{2}$

$$|\frac{1}{2}, \frac{1}{2}\rangle = |1\rangle, \quad |\frac{1}{2}, -\frac{1}{2}\rangle = |L\rangle$$

$$\begin{aligned} \hat{S}_i^z |1\rangle_i &= \frac{1}{2} |1\rangle_i \\ \hat{S}_i^z |L\rangle_i &= -\frac{1}{2} |L\rangle_i \end{aligned} \quad \left. \right\} \rightarrow \begin{cases} \hat{\sigma}_i^z |1\rangle = |1\rangle \\ \hat{\sigma}_i^z |L\rangle = -|L\rangle \end{cases}$$

$$\rightarrow \text{matrix form } \underline{\underline{\sigma}}^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\bullet \text{Energy } E_0(\{m_i\}) = -J \sum_{\langle i,j \rangle} m_i m_j \quad \text{with } m_i = \pm 1$$

$$\rightarrow 2 \text{ degenerate ground states: } |0\rangle_1 = \prod_i |1\rangle_i, \quad |0\rangle_2 = \prod_i |L\rangle_i$$

- Thermodynamic system will spontaneously pick one of the two states  
 $\rightarrow$  spontaneous breaking of  $Z_2$  symmetry

- transversal magnetic field perpendicular to the easy axis :  $H_t = -h \sum_i \hat{\sigma}_i^x$

$$\hat{\sigma}_i^x |1\rangle = |L\rangle, \quad \hat{\sigma}_i^x |L\rangle = |1\rangle \quad \rightarrow \text{matrix form } \underline{\underline{\sigma}}^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$H_t \text{ favors non-degenerate ground state } |0\rangle = \prod_i |1\rangle_i, \quad |1\rangle = \frac{1}{\sqrt{2}}(|1\rangle + |L\rangle)$$

Ising model in a transversal field:

$$\boxed{H = -J \sum_{\langle i,j \rangle} \hat{\sigma}_i^z \hat{\sigma}_j^z - gJ \sum_i \hat{\sigma}_i^x} \quad (h = gJ)$$

- What happens if we gradually increase  $\beta$ ?

transversal field induces spin flips :  $\hat{\sigma}^x | \uparrow \rangle = | \downarrow \rangle$   
 $\hat{\sigma}^x | \downarrow \rangle = | \uparrow \rangle$   
and therefore destabilize the magnetic order.

- Magnetization will decrease as we increase  $\beta$  and eventually vanish as  $\beta \rightarrow \infty$
- We will discuss the quantum-phase transition in this model in detail in Chapter 2 in the case  $d=1$

## 2) Quantum antiferromagnet ( $\rightarrow$ Chapter 4)

$$H_0 = J \sum_{\langle ij \rangle} \hat{S}_i \cdot \hat{S}_j \quad (\beta > 0), \quad \hat{S}_i = \begin{pmatrix} S_i^x \\ S_i^y \\ S_i^z \end{pmatrix}$$

$\uparrow \downarrow \uparrow$

order parameter:

$\downarrow \uparrow \downarrow$

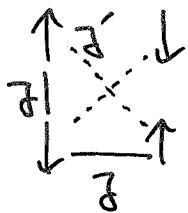
staggered magnetization

site  $i \equiv (x, y)$

$$\vec{m} = \sum_{ij} (-1)^{x+y} \langle \hat{S}_i \rangle$$

- spontaneous breaking of spin-rotation symmetry
- At the Néel temperature  $T_N$ , long range magnetic order disappears (thermal phase transition)
- Can we induce a quantum phase transition?

Yes, e.g. if we turn on antiferromagnetic next-nearest neighbor couplings  $J'$

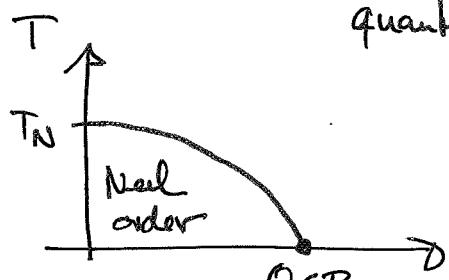


$$\begin{array}{l} J > 0 \\ J' > 0 \end{array}$$

$$H = J \sum_{\langle ij \rangle} \hat{S}_i \hat{S}_j + J' \sum_{\langle\langle ij \rangle\rangle} \hat{S}_i \hat{S}_j$$

$$\frac{J'}{J}$$

$J'$  induces frustration and increases quantum fluctuations



Note: Although this model is relevant to many real systems, it is difficult to tune the parameters  $g$  experimentally

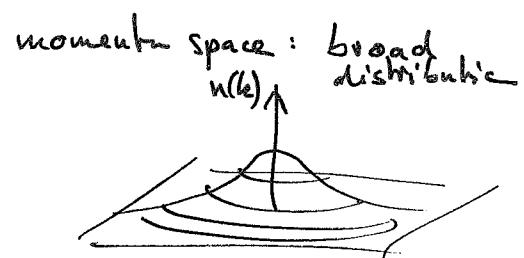
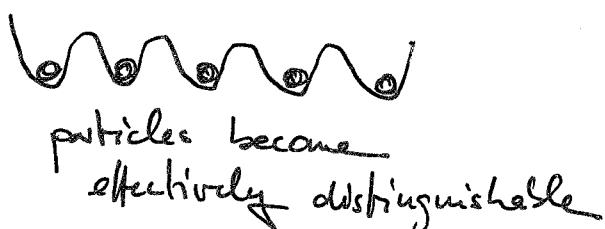
### 3) Bose-Hubbard model (Chapter 3)

(4)

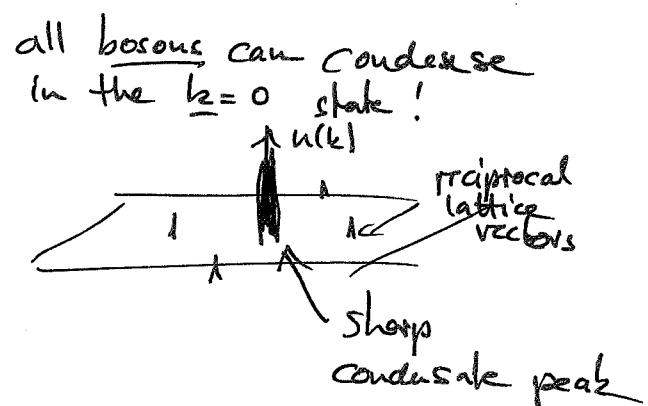
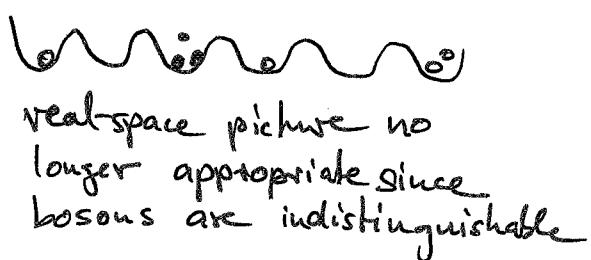
Bosons hopping on a d-dim. lattice with amplitudes  $t$  between neighboring sites, chemical potential  $\mu$ , on-site repulsion  $U$ :

$$H = -t \sum_{\langle i,j \rangle} (b_i^\dagger b_j + h.c.) - \mu \sum_i \hat{n}_i + \frac{U}{2} \sum_i \hat{n}_i (\hat{n}_i - 1)$$

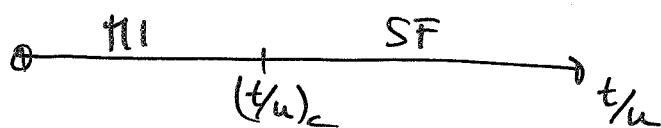
- $U \gg t$ : Strong interactions  $\rightarrow$  Mott insulator (MI)



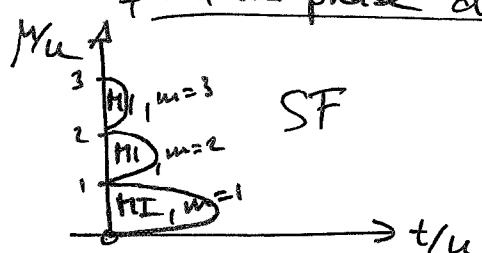
- $U \ll t$ : Weak interactions  $\rightarrow$  Superfluid (SF)



- Quantum phase transition



- The location of the transition depends on the filling and therefore the chemical potential  $\mu$   
 $\rightarrow$  quantum phase diagram

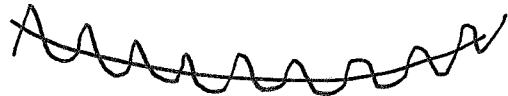


- Mott lobes with different numbers of bosons per site,  $m=2$  (long horizontal line),  $m=3$  (short horizontal line)
- At  $t=0$ : Minimize  $E(m) = -\mu m + \frac{U}{2} m(m-1)$  for integer  $m$

- Transition observed in optical lattice experiments

experimental problems:

- very small systems, far from the thermodynamic limit
- trap potential  $\rightarrow$  spatial inhomogeneity



- heating by the lasers  
 $\rightarrow T$  is not small

## 1.2. Basic concepts of phase transitions and critical behavior

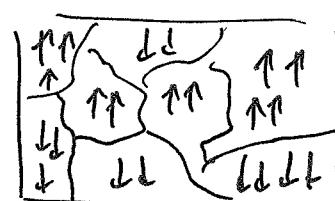
- continuous phase transitions can usually be characterized by an order parameter, e.g. the magnetization in an Ising ferromagnet:  $m = \langle \hat{\sigma}_i^z \rangle$
- In the ordered phase,  $m \neq 0$ , and the correlation function shows long range order

$$G_{ij} = G(|r_i - r_j|) = \langle \hat{\sigma}_i^z \hat{\sigma}_j^z \rangle \xrightarrow{|r_i - r_j| \rightarrow \infty} m^2$$

- In the disordered phase, thermodynamic average of the order parameter is zero,  $m = \langle \hat{\sigma}_i^z \rangle = 0$ . Fluctuations are non-zero. Spin will be correlated up to a length scale  $\xi$  (correlation length)

$$G(|r_i - r_j|) \sim e^{-|r_i - r_j|/\xi}$$

The order is short ranged.



patches of typical size  $\xi$   
the

- As we approach the critical point, correlation length has to diverge

$$\xi \sim |t|^{-\nu} \quad t \rightarrow 0_+$$

where  $t = \frac{T-T_c}{T_c}$  for thermal phase transition

$\sim$  correlation-length exponent

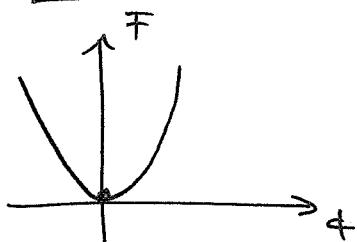
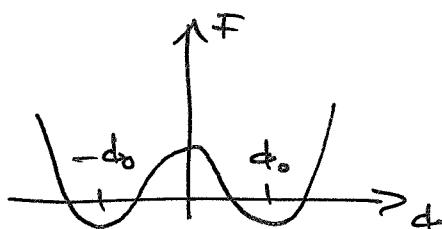
$$(t = \frac{g-g_c}{g_c} \text{ at } T=0, \text{ QPT})$$

- close to the critical point, there is no other scale than  $\xi$ , at the critical point the system becomes scale invariant (6)

- If  $\xi$  is the only scale and  $\xi \sim 1+t^{-\nu}$  as  $t \rightarrow 0_+$ , the transition, or better, the nature of the transition should be independent of microscopic details
- Concept of universality: Nature of transitions depend only on
  - a) dimensionality
  - b) Symmetry of the order parameter
- Nature of transition can be understood in terms of an effective long-wavelength description (coarse graining, continuum limit, gradient expansion)
  - Landau functional of free energy in terms of order parameter field  $\vec{\phi}(\underline{r})$   
(e.g. the local magnetization  $\vec{m}(\underline{r})$  in a magnet)

$$F[\vec{\phi}] = \int d^d r \left\{ |\nabla \vec{\phi}|^2 + t |\vec{\phi}|^2 + u |\vec{\phi}|^4 - \vec{B} \cdot \vec{\phi} \right\}$$

- $\vec{\phi}$  order parameter with  $N$  components
- for Ising model:  $N=1$  since direction of magnetization is fixed
- In the lattice model <sup>(zero field)</sup> we have the symmetry  $m_i \rightarrow -m_i$  on ~~for~~ all sites (the Hamiltonian is invariant under this transformation)
- The Landau functional  $F[\phi] = \int d^d r \left\{ (\nabla \phi)^2 + t \phi^2 + u \phi^4 \right\}$  preserves the  $Z_2$  symmetry (for  $\vec{B} = 0$ ):  $F[\phi]$  is unchanged if we replace  $\phi(r)$  by  $-\phi(r)$

$t > 0:$  $q_0 = 0$ : paramagnet $t < 0:$  $m = \pm q_0$ 

two minima

Spontaneous breaking of  
 $\mathbb{Z}_2$  symmetry

### 1.3. Critical exponents, scaling and hyperscaling

$$t = \frac{T - T_c}{T_c}$$

exponent	definition	Conditions
$\alpha$	$C \sim  t ^{-\alpha}$	$t \rightarrow 0, B=0$
$\beta$	$m \sim  t ^\beta$	$t \rightarrow 0^-, B=0$
$\gamma$	$\chi \sim  t ^{-\gamma}$	$t \rightarrow 0, B=0$
$\delta$	$m \sim B^{1/\delta}$	$t=0, B \rightarrow 0$
$\nu$	$\zeta \sim  t ^{-\nu}$	$t \rightarrow 0^+, B=0$
$\eta$	$G(r) \sim r^{-d+2-\eta}$	$t=0, B=0$

Specific heat

$$C = -T \frac{\partial^2 F}{\partial T^2}$$

 $\alpha$ 

$$C \sim |t|^{-\alpha}$$

 $t \rightarrow 0, B=0$ 

order parameter

 $\beta$ 

$$m \sim |t|^\beta$$

 $t \rightarrow 0^-, B=0$ 

Susceptibility

$$\chi = -\left. \frac{\partial^2 F}{\partial B^2} \right|_{B=0}$$

 $\gamma$ 

$$\chi \sim |t|^{-\gamma}$$

 $t \rightarrow 0, B=0$ 

critical isotherm

 $\delta$ 

$$m \sim B^{1/\delta}$$

 $t=0, B \rightarrow 0$ 

correlation length

 $\nu$ 

$$\zeta \sim |t|^{-\nu}$$

 $t \rightarrow 0^+, B=0$ 

Correlation function

 $\eta$ 

$$G(r) \sim r^{-d+2-\eta}$$

 $t=0, B=0$ 

- Set of critical exponents characterizes the nature of the phase transition
- Exponents  $\alpha, \beta, \gamma, \delta, \nu, \eta$  are not independent from each other but related by scaling and sometimes hyperscaling relations
- Note: This  $\beta$  is not to be confused with inverse temperature!

- Close to transition,  $\xi$  is the only relevant length scale
  - ⇒ Physical properties must be unchanged if we rescale all length by a common factor  $b$  and at the same time rescale the parameter such that  $\xi$  retains its old value
  - ⇒ free energy density must be a homogeneous function:

$$f(t, B) = b^{-d} f(b^r t, b^s B)$$

- It follows immediately that  $f$  can be written in the form

$$\boxed{f(t, B) = |t|^{d/r} \psi_{\pm} \left( \frac{B}{|t|^{s/r}} \right)}$$

$\psi_{\pm}$  scaling function and  $t < 0$  ( $\psi_-$ ), usually different for  $t > 0$  ( $\psi_+$ )

order parameter:  
( $t < 0$ )

$$m = - \left. \frac{\partial f}{\partial B} \right|_{B=0} = - |t|^{\frac{d}{r}} \cdot \frac{1}{|t|^{s/r}} \psi'_-(0)$$

$$\sim |t|^{\frac{d-s}{r}} \stackrel{!}{=} |t|^{\beta}$$

$$\Rightarrow \beta = \frac{d-s}{r} \quad (1)$$

susceptibility:

$$\chi = - \left. \frac{\partial^2 f}{\partial B^2} \right|_{B=0} = - |t|^{\frac{d-2s}{r}} \psi''_{\pm}(0) \stackrel{!}{=} |t|^{-\gamma}$$

$$\Rightarrow \gamma = - \frac{d-2s}{r} \quad (2)$$

specific heat:

$$\begin{aligned} C &= -T \left. \frac{\partial^2 f}{\partial T^2} \right|_{B=0} = -\frac{T}{T_c^2} \left. \frac{\partial^2 f}{\partial t^2} \right|_{B=0} \\ &= \frac{d}{r} \left( \frac{d}{r} - 1 \right) |t|^{\frac{d}{r}-2} \psi'_{\pm}(0) \sim |t|^{\frac{d}{r}-2} \stackrel{!}{=} |t|^{-\alpha} \\ \Rightarrow \alpha &= 2 - \frac{d}{r} \quad (3) \end{aligned}$$

it follows that

$$\boxed{\chi + 2\beta + \gamma = 2}$$

Rushbrooke's  
scaling law

Critical isotherm :  $m \sim B^{1/s}$  as  $T \rightarrow 0$  and  $t=0$

(9)

we rewrite the homogeneity relation :

$$\begin{aligned} f(t, B) &= |t|^{d/r} \tilde{\chi}_{\pm} \left( \frac{B}{|t|^{s/r}} \right) \\ &= |t|^{d/r} \underbrace{\left( \frac{B}{|t|^{s/r}} \right)^{d/s}}_{\text{to get rid of } t \text{ here}} \tilde{\chi}_{\pm} \left( \frac{B}{|t|^{s/r}} \right) \\ &= B^{d/s} \tilde{\chi}_{\pm} \left( \frac{B}{|t|^{s/r}} \right) \end{aligned}$$

$$m = -\frac{\partial f}{\partial B} = -\frac{d}{s} B^{d/s-1} \underset{\substack{\uparrow \\ \text{leading term for } T \rightarrow 0}}{\tilde{\chi}_{\pm} \left( \frac{B}{|t|^{s/r}} \right)} - B^{d/s} \tilde{\chi}'_{\pm} \left( \frac{B}{|t|^{s/r}} \right) \frac{1}{|t|^{s/r}}$$

$$\underset{t \rightarrow 0}{\approx} -\frac{d}{s} B^{d/s-1} \tilde{\chi}_{\pm}(\infty)$$

$$\Rightarrow \frac{1}{s} = \frac{d}{s} - 1 \quad (\cdot s/r) \quad \underbrace{(1 + \frac{1}{s}) \frac{s}{r}}_{\substack{= \gamma + \beta \\ = 2 - \alpha - \beta}} = \frac{d}{r}$$

$$\Rightarrow \boxed{\alpha + \beta(s+1) = 2} \quad \text{Griffith's scaling law}$$

Two point correlation function :

$$\begin{aligned} G(r) &= \langle (m(r) - m)(m(0) - m) \rangle \\ &= \langle m(r) m(0) \rangle - m^2 \end{aligned}$$

If we define  $G(r)$  like this (subtracting  $m^2 = \begin{cases} 0 & t > 0 \\ c \neq 0 & t < 0 \end{cases}$ ),

$$G(r) \xrightarrow[r \rightarrow \infty]{} 0 \quad \text{for } t < 0 \text{ and } t > 0$$

In general,  $G(r)$  has to be of the form

$$G(r) = \frac{\tilde{\chi}_{\pm}(r/\xi)}{r^{d-2+\gamma}} \quad \begin{matrix} \text{only possible dimensionless} \\ \text{combination} \end{matrix}$$

Example : ( $t > 0$ )

$$\vec{F} = \int d^d r \left\{ (\nabla \vec{m})^2 + t \vec{m}^2 \right\} = \int \frac{d^d q}{(2\pi)^d} (q^2 + t) \vec{m}(q) \vec{m}(-q)$$

$$\langle \vec{m}(q) \vec{m}(q') \rangle = \frac{G(q) S(q+q')}{(q^2+t)^{-1}}$$

Derive this by adding a source term  $\int \frac{d^d q}{(2\pi)^d} \vec{j}(q) \vec{m}(-q)$

$$\frac{\delta^2 Z}{\delta \vec{j}(q) \delta \vec{j}(-q')} \Big|_{j=0} = \int d^d k \vec{m}(k) \vec{m}(q) \vec{m}(q') e^{-\int \frac{d^d q}{(2\pi)^d} (q^2+t) \vec{m}(q) \vec{m}(-q)}$$

$$= Z \langle \vec{m}(q) \vec{m}(q') \rangle$$

Now calculate the left-hand side explicitly by completing the square in the Gaussian integral

Calculate the Fourier transform of  $G(q) = \frac{1}{q^2+t}$ :

$d=3$ :

$$G(r) = \int \frac{d^3 q}{(2\pi)^3} \frac{e^{iqr}}{q^2+t}$$

$$= \frac{1}{(2\pi)^3} \int_0^{\infty} d\phi \int_0^{\infty} dq \int_0^{\pi} \sin\theta d\theta \frac{e^{iqr \cos\theta}}{q^2+t}$$

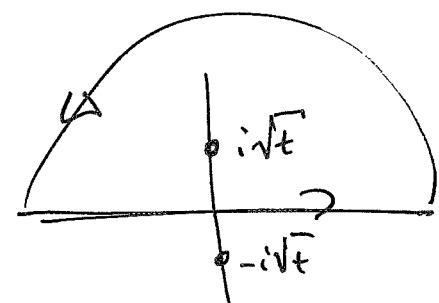
$$= \frac{1}{(2\pi)^3} \int_0^{\infty} dq \frac{q^2}{q^2+t} \underbrace{\int_{-1}^1 d\sigma e^{iqr\sigma}}_{= \frac{e^{iqr} - e^{-iqr}}{iqr}}$$

$$\sigma = \cos\theta$$

$$d\sigma = -\sin\theta d\theta$$

$$= i \frac{1}{(2\pi)^2 r} \int_0^{\infty} dq \frac{q (e^{iqr} - e^{-iqr})}{q^2+t}$$

$$= \frac{1}{i(2\pi)^2 r} \int_{-\infty}^{\infty} dq \frac{q e^{iqr}}{q^2+t} \frac{q e^{iqr}}{(q+i\sqrt{t})(q-i\sqrt{t})}$$



$$= \frac{1}{i(2\pi)^2 r} 2\pi i \text{Res}(i\sqrt{t}) = \frac{1}{2\pi r} \frac{i\sqrt{t} e^{-r\sqrt{t}}}{2i\sqrt{t}} = \frac{e^{-r\sqrt{t}}}{4\pi r}$$

$$\Rightarrow \gamma = |t|^{-1/2} \rightarrow \underline{\omega = 1/2} \quad \text{and} \quad \underline{\gamma = 0}$$

## Relation of $\omega$ and $\gamma$ to other exponents?

- non-local magnetic susceptibility  $\chi(\underline{r} - \underline{r}') = \frac{\partial m(\underline{r})}{\partial B(\underline{r}')} \Big|_{B=0}$
- general theory of linear response implies that  $\chi(q) = G(q)$
- Uniform susceptibility:  

$$\begin{aligned} \chi &= \chi(q=0) = G(q=0) = \int d^d r G(r) \\ &= \int d^d r \frac{\phi_{\pm}(r/\zeta)}{r^{d-2+\gamma}} \\ &= \zeta^{2-\gamma} \int dz \frac{\phi_{\pm}(z)}{z^{d-2+\gamma}} \end{aligned}$$

Integral converges in both cases:

$t \geq 0$ :  $\phi_{\pm}$  exponentially small for large arguments (SRG)

$t \leq 0$ :  $\phi_{\pm}$  exponentially small since deviations from finite magnetization are uncorrelated at large distances

$$\rightarrow \chi \sim \zeta^{2-\gamma} \sim |t|^{-\omega(2-\gamma)} \stackrel{!}{=} |t|^{-\gamma}$$

$$\Rightarrow \boxed{\gamma = \omega(2-\gamma)} \quad \text{Fisher's scaling law}$$

## Hyperscaling:

Since  $\zeta$  is the only length scale close to  $T_c$  which should be relevant, it is reasonable to assume that the free energy density scales as

$$f \sim \zeta^{-d} \sim |t|^{\omega \nu}$$

Differentiating twice with respect to temperature:

$$C \sim |t|^{d\omega \nu - 2} \stackrel{!}{=} |t|^{-\alpha}$$

$$\Rightarrow \boxed{\alpha = 2 - d\omega \nu} \quad \text{Josephson's scaling law}$$

- Note:
- Only scaling law that explicitly involves dimensionality of the system
  - Additional Scaling assumption (hyperscaling  $f \propto \xi^{-d}$ )
  - In contrast to other 3 scaling laws, hyperscaling is not always satisfied

- set of critical exponents completely characterizes the critical behavior of a particular phase transition
- universality: critical exponents are the same for entire classes of phase transitions which occur in very different systems
- Universality classes are determined by
  - symmetries of the Hamiltonian
  - spatial dimensionality
- Reason for universality: divergence of correlation length  
 Close to transition, system averages over large distances rendering microscopic details of the Hamiltonian unimportant
- critical behavior is crucially determined by the relevance or irrelevance of order-parameter fluctuations
- fluctuations become increasingly important as the dimensionality of the system is reduced

$d > d_c^+$ : fluctuations are irrelevant above the upper critical dimension  $d_c^+$   
 (Mean-field theory works)

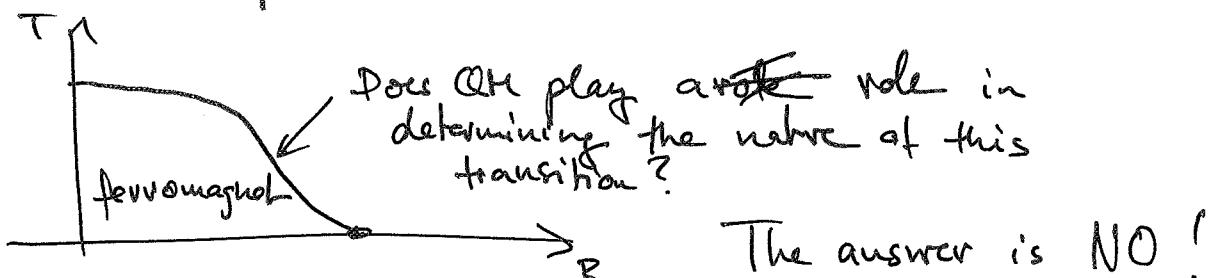
$d_c^- < d < d_c^+$ : phase transition exists but critical behavior is different from mean field theory ( $d_c^-$  lower critical dimension)

$d < d_c^-$ : fluctuations are so strong that they completely suppress the ordered phase

## 1.4. How important is quantum mechanics

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- QM can be necessary to explain properties of the ordered phase, e.g. Superfluid is consequence of Bose-Einstein quantum statistics!
- How important is QM for the asymptotic behavior close to a thermal phase transition?



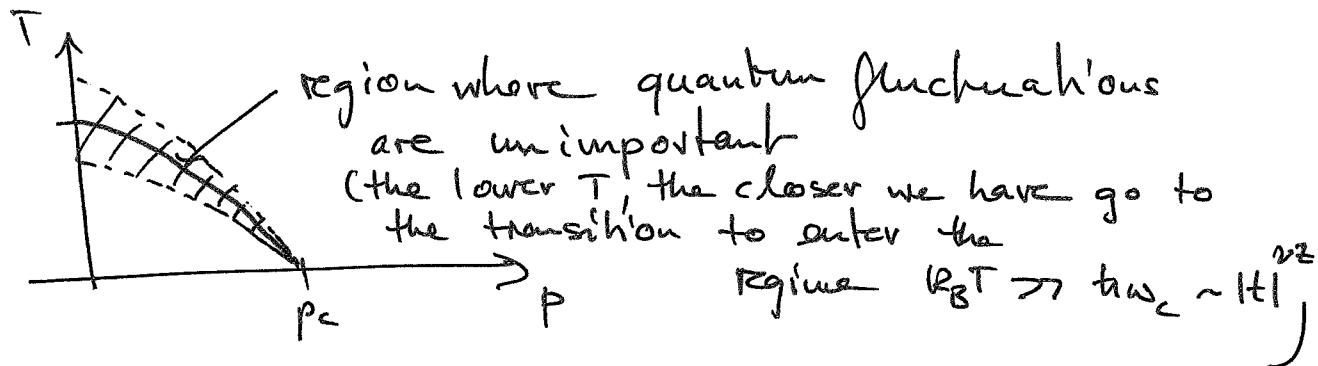
- How to distinguish fluctuations that are predominantly thermal and quantum in character?
  - Compare their thermal energy  $k_B T$  with the quantum energy scale  $\hbar \omega_c = \tau / \tau_c$
  - $k_B T \gg \hbar \omega_c$ : thermal
  - $k_B T \ll \hbar \omega_c$ : quantum
- As  $t \rightarrow 0_+$  the only relevant time scale is the correlation time  $\tau_c$  (typical time scale for decay of fluctuations). In the ordered phase, there are long range correlations of the order parameter in time.  $\tau_c$  must diverge as  $t \rightarrow 0_+$ .

$$\tau_c \sim z^2 \sim |t|^{-\nu z}, \quad z \text{ dynamical critical exponent}$$

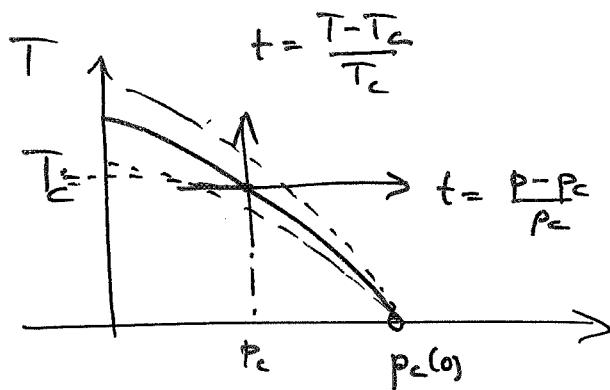
- Consider phase transition at finite  $T = T_c > 0$ :
- close to  $T_c$ :  $k_B T = k_B T_c$
- but  $\hbar \omega_c = \tau / \tau_c = |t|^{z/2} \xrightarrow[t \rightarrow 0_+]{} 0$

⇒ Sufficiently close to the transition, we will be always in the regime  $k_B T \gg \hbar \omega_c$ !

- At thermal phase transition, quantum fluctuations play no role for the critical behavior ! (However, they influence the value of  $T_c$ ) (14)



- At  $T=0$ , we are always in the quantum regime and the transition is driven by quantum fluctuations
- Note: It doesn't matter how we cross the phase transition :



### 1.5. Sketch of the quantum-to-classical mapping

- Central for our understanding of quantum phase transitions and their signatures at finite temperature is the analogy between quantum systems and higher dimensional classical systems
- Will be explained in detail in next chapters, here only a sketch

Consider for example Ising model in transversal field. (15)

On every site, Hilbert space with two states

$$|m\rangle = |1/2 m\rangle = \{ |+\rangle, |-\rangle \}$$

→ quantum state of the system:

$$|\{\omega_i\}\rangle = \prod_i |\omega_i\rangle_i \quad \text{where } i \text{ labels lattice sites of d-dim. lattice}$$

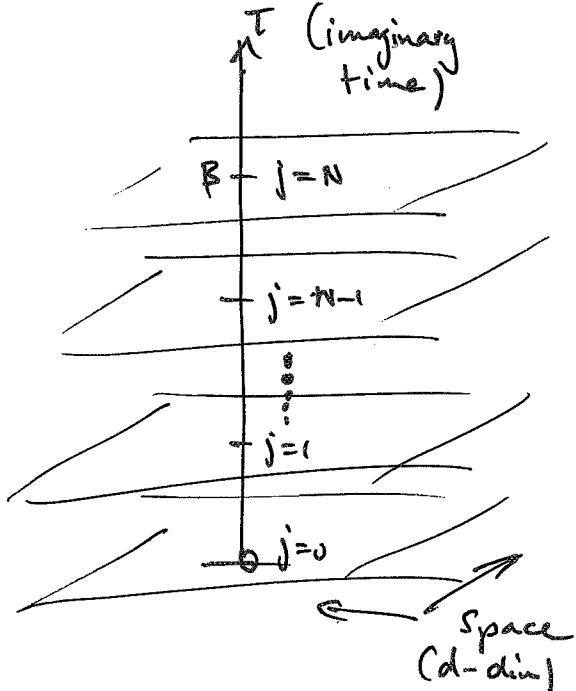
partition function:  $Z = \text{Tr } e^{-\beta \hat{H}}$

$$= \sum_{\{\omega_i\}} \underbrace{\langle \{\omega_i\} | e^{-\beta \hat{H}} | \{\omega_i\} \rangle}_{e^{-\beta_N \hat{H}} \cdot e^{-\beta_N \hat{H}} \cdot e^{-\beta_N \hat{H}} \cdots e^{-\beta_N \hat{H}}} \quad (\text{N large number})$$

insert identities

$$1 = \sum_{\{\omega_i^{(j)}\}} \langle \{\omega_i^{(j)}\} | \omega_i^{(j)} \rangle$$

$$1 = \sum_{\{\omega_i^{(j)}\}} \langle \{\omega_i^{(j)}\} | \omega_i^{(j)} \rangle$$



- d-dim lattice turns into a (d+1)-dim. lattice with classical Ising variables  $\omega_i^{(j)} = \pm 1$  on every site
- We call extra dimension imaginary time since  $e^{-\tau \hat{H}}$  looks like unitary time evolution operator if we replace  $\tau$  by it
- Thickness of imaginary-time direction is given by inverse temperature  $\beta = \frac{1}{k_B T}$
- $T=0$ : System becomes truly (d+1)-dimensional

- Since  $T_c$  and  $\tilde{z}$  are the only relevant time and length scales close to the transition we can again go to an effective long wavelength description in the continuum:

$$\boxed{Z = \int d\vec{\phi} e^{-S[\vec{\phi}]}}$$

$$S[\vec{\phi}] = \int d^d r \left\{ |\nabla \vec{\phi}|^2 + m^2 |\vec{\phi}|^2 + t |\vec{\phi}|^2 + u |\vec{\phi}|^4 - \beta \vec{\phi} \right\}$$

Ginzburg - Landau functional

- At  $T=0$  ( $\beta=\infty$ ),  $S[\vec{\phi}]$  is equivalent to a free-energy functional in  $D=d+1$
- In the above action, time and space directions are equivalent  $\Rightarrow z=1$
- This is not always the case! In general, time scales as the  $z$ -th power of length and  $D = d+z$   
 $\Rightarrow$  Classical phase transition in  $D=d+z$  dimensions equivalent to the quantum phase transition in  $d$  dimensions

- By analogy, the homogeneity relation for the free energy density at  $T=0$  can be written as

$$f(t, B) = b^{-(d+z)} f(t b^{1/z}, B b^{y_B})$$

$$\text{with } t = \frac{g-g_c}{g_c}$$

- At finite  $T$  we can generalize to

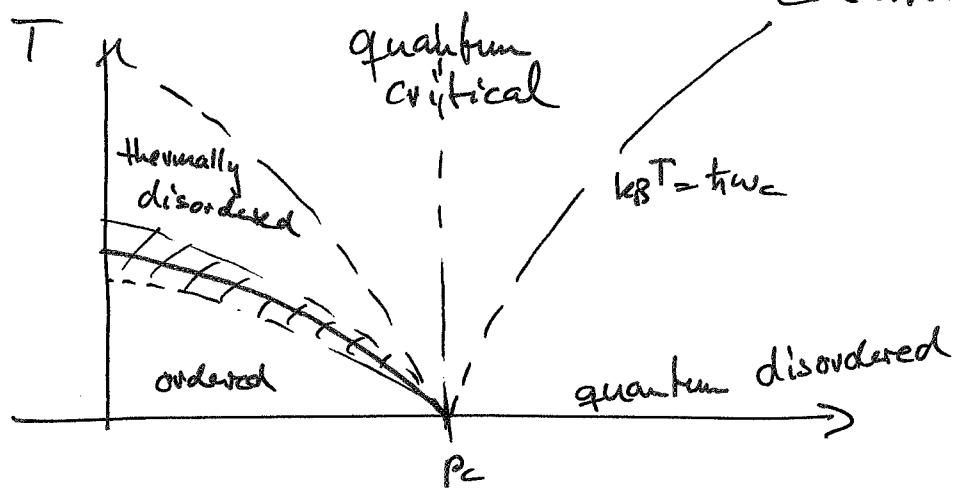
$$f(t, B) = b^{-k(d+z)} f(t b^{1/z}, B b^{y_B}, T b^2)$$

- In real life, we are always at finite  $T$ !  
Why are quantum-phase transitions more than an academic question?

a) Because there is an interesting finite-temperature crossover and quantum criticality can be observed up to amazingly high temperatures!

Compare length of imaginary time axis with time scale  $\tau_c$  of critical fluctuations:

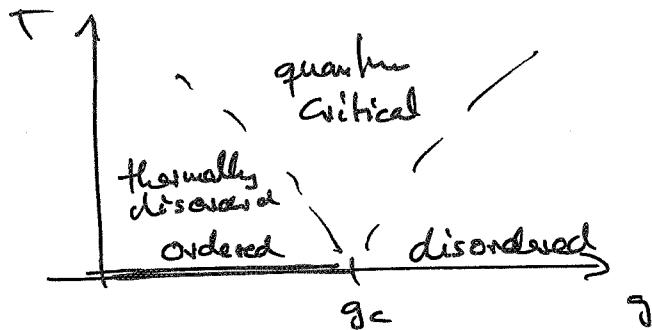
$$\tau_c = \frac{\langle \tau \rangle}{k_B T} \Leftrightarrow k_B T = \tau_c w_c \text{ (old crossover condition)}$$



### Quantum critical region:

- + length of imaginary time axis shorter than time scale of critical fluctuations  
 $\rightarrow$  system looks critical
- +  $k_B T \rightarrow \tau_c w_c = \tau_c / \tau_c \sim |p - p_c|^{2z}$   
 $\rightarrow$  dominant fluctuations are thermal but the ground state is a scale-invariant quantum-critical state!
- + temperature enters as the only scale!  
 $\rightarrow$  thermodynamics characterized by interesting power laws

- Note that in some cases  $\phi = d+z$  is above the lower critical dimension  $d_c^-$  (ordered quantum phase at  $T=0$ ) whereas  $d < d_c^-$  and therefore there is no order at  $T>0$



## 1.5. What's different about quantum-phase transitions?

Why do we need a separate course on quantum phase transitions?

Can't we just use the quantum-to-classical mapping and use the results for classical phase transitions?

The answer is NO and that's why Quantum phase transition are exciting!

I. In many cases  $z \neq 1$ . Therefore, time and length scale differently and the corresponding classical theory is very unusual and anisotropic. However, this is not a big problem, since we can still use the same techniques (e.g. the renormalization group) as for classical phase transitions. (Example for  $z=2$  in Chapter 3)

II. Sometimes the quantum-to-classical mapping leads to complex valued Boltzmann weights in the "classical theory". The imaginary parts are a consequence of the underlying quantum mechanics and called Berry-phases.

The existence of such Berry phases can lead to  
a whole new class of phenomena which have no  
analog in classical theories. (Example will be  
given in Chapter 4) (19)

III. For fermions, the quantum-to-classical mapping  
leads to negative Boltzmann weights  
(Fermion sign problem). This is the reason why  
the understanding of strongly correlated electron  
systems (e.g. the high-T<sub>c</sub> superconductors) is  
still one of the big open problems of  
contemporary physics.