

# NON-COMPACT HYPERKÄHLER MANIFOLDS

## 1. REFERENCES

General references are [2], [11] and [16]. They all contain chapters on hyperkähler manifolds and of course a lot more. A general reference on hyperkähler manifolds which contains most of what I talked about is the survey paper [10].

At the beginning of the course I talked about Riemannian holonomy groups: you can find more about this in [2, Chapter 10], [11, Chapters 2 and 3] and the survey paper [3].

The first examples of hyperkähler manifolds due to Calabi are described in the seminal paper [4] and Beauville's compact examples (together with results about the structure of compact Kähler Ricci-flat manifolds) are in [1]. (Unfortunately both these papers are in French!)

For basics on Einstein 4-manifolds and self-duality, read [2, Section 6.D and Chapter 13].

Twistor spaces of hyperkähler manifolds and the hyperkähler quotient construction are very nicely explained in [8].

Kronheimer's construction and classification of ALE spaces is explained in [10]. I couldn't find a nice description of the Taub–NUT metric and the Gibbons–Hawking construction except maybe for [7, Construction 2.3 in §2] (this paper discusses a limit of the Ricci-flat Kähler metric on a K3 different from the orbifold limit of the Kummer construction).

Finally, [6] gives the Kummer construction of the Kähler Ricci-flat metric on K3.

## 2. EXERCISES

**Exercise 2.1.** Let  $P$  be the Lie group  $SU(2)$  and  $G$  the subgroup  $U(1)$  embedded in  $SU(2)$  as the diagonal matrices,  $e^{it} \mapsto M_t = \text{diag}(e^{it}, e^{-it})$ . Then  $P/G \simeq \mathbb{S}^2$  and  $SU(2) \rightarrow \mathbb{S}^2$  is a principal  $U(1)$ -bundle.

- (i) Identify  $SU(2)$  with  $\mathbb{S}^3 = \{(z_1, z_2) \in \mathbb{C}^2 \mid |z_1|^2 + |z_2|^2 = 1\}$  via  $g: (z_1, z_2) \mapsto \begin{pmatrix} z_1 & z_2 \\ -\bar{z}_2 & \bar{z}_1 \end{pmatrix}$ .

A basis  $\{\eta_1, \eta_2, \eta_3\}$  of left invariant 1-forms on  $SU(2)$  is defined by  $g^{-1}dg = \eta_1\sigma_1 + \eta_2\sigma_2 + \eta_3\sigma_3$ , where

$$\sigma_1 = \frac{1}{2} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \quad \sigma_2 = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \sigma_3 = \frac{1}{2} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$$

is a basis of  $\mathfrak{su}_2$ . Calculate  $\eta_1, \eta_2, \eta_3$ . (If you prefer, instead of complex coordinates  $(z_1, z_2)$  you can use Euler angles

$$(z_1, z_2) = \left( e^{\frac{i}{2}(\theta+\psi)} \cos\left(\frac{\phi}{2}\right), e^{\frac{i}{2}(\theta-\psi)} \sin\left(\frac{\phi}{2}\right) \right),$$

$\theta \in [0, 2\pi)$ ,  $\phi \in [0, \pi)$  and  $\psi \in [0, 4\pi)$ .)

- (ii) Prove that  $\eta_3: TS^3 \rightarrow \mathbb{R}$  defines a connection on the principal bundle  $\mathbb{S}^3 \rightarrow \mathbb{S}^2$ .
- (iii) Let  $\lambda: U(1) \times \mathbb{C} \rightarrow \mathbb{C}$  be the representation of  $U(1)$  of weight  $m \in \mathbb{Z}$ ,  $\lambda(e^{it}, z) = e^{imt}z$ . Define the complex line bundle  $\mathcal{O}(m) \rightarrow \mathbb{S}^2$  as  $\mathcal{O}(m) = SU(2) \times_\lambda \mathbb{C} := (P \times \mathbb{C}) / \sim_\lambda$ , where  $(A, z) \sim_\lambda (A', z')$  iff  $(A', z') = (AM_t, e^{imt}z)$ . Describe the space of sections of  $\mathcal{O}(m)$ . (Hint: interpret a section  $f$  of  $\mathcal{O}(m)$  as a function  $f: \mathbb{S}^3 \rightarrow \mathbb{C}$  satisfying a certain  $U(1)$ -equivariance property.)

**Exercise 2.2.** Prove that the holonomy group of the round sphere  $(\mathbb{S}^n, g_{\text{round}})$  is  $SO(n)$ .

**Exercise 2.3.** Construct a metric  $g$  on  $\mathbb{R}^4$  with the following properties:  $g$  is flat outside the union of two disjoint balls; each point  $x \in \mathbb{R}^4$  has a neighbourhood  $U_x$  such that the holonomy of  $g$  restricted to  $U_x$  is contained in  $U(2)$ ; however, globally  $\text{Hol}(g) = SO(4)$ .

*Remark.* The exercise above shows that in general the holonomy group of a Riemannian metric cannot be calculated locally. However, if the metric  $g$  is real analytic (for example if it is Einstein), then the holonomy is completely determined by looking at a neighbourhood of a point.

**Exercise 2.4.** Show that an  $SU(m)$  structure  $(g, \omega, \Omega)$  on manifold  $M^{2m}$  is torsion-free iff  $d\omega = 0 = d\Omega$ .

**Exercise 2.5.** Show that  $Sp(m)$  is a compact, connected and simply connected Lie group. Calculate its dimension. (Hint: use the fact that  $Sp(1) = SU(2)$  and  $S^{4m-1} = Sp(m)/Sp(m-1)$ .)

**Exercise 2.6.** Work out all the possibilities for the holonomy group of a simply connected Kähler Ricci flat manifold of real dimension 8. (Hint: the only Ricci flat symmetric space is  $\mathbb{R}^n$ .)

**Exercise 2.7.** Let  $X$  be a complex manifold of complex dimension  $m+1$ ,  $m \geq 2$ , such that the anticanonical bundle  $K_X^{-1}$  is ample. Consider a smooth anticanonical divisor  $M \in |-K_X|$ .

- (i) Show that  $X$  has finite fundamental group. (Hint: use Calabi Conjecture to prove that  $X$  admits a Kähler metric with positive Ricci curvature.)
- (ii) Since  $K_X^{-1}$  is ample, Kodaira Vanishing Theorem says that  $h^{p,0}(X) = 0$  for all  $p > 1$  and therefore the holomorphic Euler characteristic  $\chi(X, \mathcal{O}_X) := \sum_{p=0}^{m+1} (-1)^p h^{0,p}(X) = 1$ . Deduce that  $X$  is simply connected. (Hint: look at how the holomorphic characteristic behaves under finite coverings.)
- (iii) Use Lefschetz Hyperplane Theorem to deduce that  $M$  is also simply connected.
- (iv) Use the Adjunction Formula to show that  $K_M$  is trivial.
- (v) Use the exact sequence

$$0 \rightarrow K_X \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_M \rightarrow 0$$

and the fact that  $H^i(X, K_X) = 0$  for all  $i \leq m$  (by Nakano Vanishing Theorem) to show that  $h^{p,0}(M) = 0$  for all  $0 < p < m$ .

- (vi) Deduce that  $M$  admits a metric  $g$  with  $\text{Hol}(g) = SU(m)$ .
- (vii) Justify the fact that a hypersurface of degree  $m+2$  in  $\mathbb{C}\mathbb{P}^{m+1}$  admits a metric with holonomy equal to  $SU(m)$ .

**Exercise 2.8.** Let  $V$  be a 4-dimensional vector space endowed with a positive definite inner product and a volume form  $dv \in \Lambda^4 V^*$ .

- (i) Using  $dv$  and the wedge product define a non-degenerate pairing  $q$  on  $\Lambda^2 V^*$ . Show that  $q$  has signature  $(3, 3)$ . Let  $\Lambda^\pm V^*$  be maximal positive/negative subspaces of  $(\Lambda^2 V^*, q)$ .
- (ii) Show that the induced action of  $SL(V) \simeq SL(4, \mathbb{R})$  (i.e. the matrices that preserve  $dv$ ) on  $\Lambda^2 V^*$  defines a double cover  $SL(4, \mathbb{R}) \rightarrow SO(3, 3)$ . Restricting to compact subgroups, we see that  $SO(4) \rightarrow SO(3)^+ \times SO(3)^-$  is a double-cover; here  $SO(3)^\pm$  is the induced action of  $SO(4)$  on  $\Lambda^\pm V^*$ .
- (iii) Identify  $V$  with  $\mathbb{H}$  and  $SU(2)$  with the unit sphere  $\mathbb{S}^3 \subset \mathbb{H}$ . Define a map  $SU(2) \times SU(2) \times \mathbb{H}$ , by  $(q_1, q_2, x) \mapsto q_1 x \bar{q}_2$  (using quaternionic multiplication). Show that this defines a double cover  $SU(2)^+ \times SU(2)^- \rightarrow SO(4)$ .
- (iv) Show that this induces a double cover of  $U(1) \times SU(2)^- \rightarrow U(2)$ , where  $U(1) \subset SU(2)^+$  are the diagonal matrices.
- (v) Show that  $U(2)$  acts on  $\Lambda^- V^*$  as  $SO(3)^-$  and on  $\Lambda^+ V^*$  as the subgroup  $SO(2) \subset SO(3)^+$  preserving the standard Kähler form  $\omega_1$  on  $\mathbb{H} \simeq \mathbb{C}^2$ .
- (vi) Deduce that on a Kähler surface  $(M, \omega)$ ,  $\Lambda^+ M = [\Lambda^{2,0} M] \oplus \mathbb{R}\omega$  and  $\Lambda^- M = [\Lambda_0^{1,1} M]$ , where  $\Lambda_0^{1,1} M$  are the  $(1, 1)$ -forms on  $T_x M$  orthogonal to  $\omega$ . (Hint: use the identifications  $\Lambda^2 V^* \simeq \mathfrak{so}(4)$ ,  $[\Lambda^{1,1} V] \simeq \mathfrak{u}(2)$ .)

**Exercise 2.9.** Let  $M$  be a hypersurface of degree  $d$  in  $\mathbb{C}\mathbb{P}^3$ . Denote with  $h \in H^2(\mathbb{C}\mathbb{P}^3, \mathbb{Z})$  the class dual to a hyperplane, *i.e.*  $h = c_1(H)$ , where  $H$  is the line bundle  $\mathcal{O}(1)$  over  $\mathbb{C}\mathbb{P}^3$ . The total Chern class  $c = 1 + c_1 + c_2 + c_3$  of  $\mathbb{C}\mathbb{P}^3$  is  $c(\mathbb{C}\mathbb{P}^3) = (1 + h)^4$  (where of course  $h^n = 0$  for all  $n \geq 4$ ). Use the exact sequence

$$0 \rightarrow TM \rightarrow T\mathbb{C}\mathbb{P}^3|_M \rightarrow \mathcal{O}(d)|_M \rightarrow 0$$

to calculate the total Chern class of  $M$  (here  $TX$  is the holomorphic tangent bundle of the complex manifold  $X$ ).

**Exercise 2.10.** Let  $M$  be a quartic surface in  $\mathbb{C}\mathbb{P}^3$ .

- (i) Using the previous exercise, find the top Chern class  $c_2(M)$ .
- (ii) Show that  $M$  has Euler characteristic  $\chi(M) = 24$ . (Hint: the Euler class  $e(M)$  is equal to  $c_2(M)$ .)
- (iii) Show that  $b_2(M) = 22$ . (Hint:  $M$  is simply connected by the Lefschetz Hyperplane Theorem.)
- (iv) Use the fact that  $M$  has holonomy  $SU(2)$  to calculate  $h^{2,0}(M) = h^{0,2}(M)$  and  $h^{1,1}(M)$ .
- (v) Show that  $b^+(M) = 3$  and  $b^-(M) = 19$ . (Hint: use Exercise 8.)

**Exercise 2.11.** An oriented Riemannian 4-manifold is called *anti-self-dual* (ASD) if  $W^+ = 0$ . Let  $M$  be a compact ASD manifold with  $\text{Scal} = 0$ .

- (i) Use the Weitzenböck formula  $\Delta\alpha = \nabla^*\nabla\alpha - 2W^+(\alpha) + \frac{\text{Scal}}{3}\alpha$  for  $\alpha \in \Omega^+(M)$ , to show that every harmonic self-dual form on  $M$  is parallel.
- (ii) Deduce that either  $b^+(M) = 0$ , or  $b^+(M) = 1$  and  $M$  is Kähler, or  $b^+(M) = 3$  and  $M$  is hyperkähler. (Hint: you can use the fact that  $U(2)$  is precisely the subgroup of  $SO(4)$  that fixes a self-dual form and the group that fixes a plane in  $\Lambda^+(\mathbb{R}^4)^*$  is precisely  $SU(2)$ .)

**Exercise 2.12.** In the lectures we saw that the twistor space of  $\mathbb{S}^4$  is  $\mathbb{C}\mathbb{P}^3$ . Deduce from this that the twistor space of  $\mathbb{R}^4$  is the total space of  $\mathcal{O}(1) \oplus \mathcal{O}(1) \rightarrow \mathbb{C}\mathbb{P}^1$ . (Hint: write  $\mathbb{R}^4 = \mathbb{S}^4 \setminus \{\infty\}$  and use the conformal invariance of the twistor space.)

**Exercise 2.13.** Fix a positive number  $\lambda \neq 1$ . Think of  $M = \mathbb{S}^3 \times \mathbb{S}^1$  as  $(\mathbb{R}^4 \setminus \{0\})/\mathbb{Z}$ , where  $\mathbb{Z}$  acts via  $n \cdot x = \lambda^n x$ .

- (i) Show that the twistor space  $\tilde{Z}$  of  $\mathbb{R}^4 \setminus \{0\}$  is  $\mathbb{C}\mathbb{P}^3 \setminus \{\ell_0, \ell_\infty\}$  where  $\ell_0 = \{z_0 = 0 = z_1\}$  and  $\ell_\infty = \{z_2 = 0 = z_3\}$  in homogeneous coordinates  $[z_0 : z_1 : z_2 : z_3]$ . Furthermore, show that  $\tilde{Z}$  admits a holomorphic projection onto  $\mathbb{C}\mathbb{P}^1$ . Why does such a projection have to exist?
- (ii) Consider the matrix  $A = \text{diag}(1, 1, \lambda, \lambda)$ . Show that the action of  $\mathbb{Z}$  on  $\mathbb{C}\mathbb{P}^3$  given by  $n \cdot [z] = [A^n z]$  restricts to  $\tilde{Z}$  to give a covering of the action of  $\mathbb{Z}$  on  $\mathbb{R}^4 \setminus \{0\}$ . Deduce that the twistor space of  $\mathbb{S}^3 \times \mathbb{S}^1$  is  $\tilde{Z}/\mathbb{Z}$ .
- (iii) From part (i) there exists a holomorphic projection  $\pi: Z \rightarrow \mathbb{C}\mathbb{P}^1$  whose fibres are complex manifolds  $(\mathbb{S}^3 \times \mathbb{S}^1, J)$  (Hopf surfaces). Show that these are never Kähler manifolds.

**Exercise 2.14.** Let  $M = T^*\mathbb{R}^3$  be endowed with the canonical symplectic form  $\omega = \sum_{i=1}^3 dx_i \wedge dy_i$ , where  $(x_1, x_2, x_3)$  are coordinates on  $\mathbb{R}^3$  and  $y$  is the 1-form  $y_1 dx_1 + y_2 dx_2 + y_3 dx_3$ .

- (i) Show that the moment map for the action of  $G = \mathbb{R}^3$  by translations on  $\mathbb{R}^3$  is  $\mu(x, y) = y$ .
- (ii) Show that the moment map for the action of  $G = SO(3)$  by rotations on  $M$  is  $\mu(x, y) = x \times y$  after identifying  $\mathfrak{so}(3)$  with  $\mathbb{R}^3$ .

**Exercise 2.15.** Suppose that  $M$  is a (complete non-compact) hyperkähler manifold and that  $U(1)$  acts freely on  $M$  by isometries preserving  $\omega_1$  and rotating  $\omega_2, \omega_3$ . In other words, if  $X$  denotes the vector field on  $M$  generating the  $U(1)$ -action, then  $\mathcal{L}_X g = 0 = \mathcal{L}_X \omega_1$ ,  $\mathcal{L}_X \omega_2 = \omega_3$  and  $\mathcal{L}_X \omega_3 = -\omega_2$ . Furthermore, assume that there exists a moment map  $\mu: M \rightarrow \mathfrak{u}(1)^* \simeq \mathbb{R}$  for the  $U(1)$ -action on  $(M, \omega_1)$ .

- (i) Show that  $\mu$  is a global Kähler potential for the Kähler forms  $\omega_2$  and  $\omega_3$ .

- (ii) Can you apply this to Calabi's metric on  $M = T^*\mathbb{C}\mathbb{P}^n$ ? Let  $J_1$  be the standard complex structure on  $M$  (the one that identifies it with the complex manifold  $T^*\mathbb{C}\mathbb{P}^n$ ); can  $(M, J_1)$  be biholomorphic to  $(M, J_2)$  or  $(M, J_3)$ ?

**Exercise 2.16.** Show that the Taub–NUT metric can be obtained as the hyperkähler quotient of  $\mathbb{C}^2 \times \mathbb{C}^* \times \mathbb{C}$  with respect to the  $U(1)$ -action  $e^{i\theta} \cdot (z_1, z_2, w_1, w_2) = (e^{i\theta}z_1, e^{-i\theta}z_2, e^{i\theta}w_1, w_2)$ . Here  $\mathbb{C}^2 \times \mathbb{C}^* \times \mathbb{C}$  is endowed with the hyperkähler structure

$$\omega_1 = \frac{i}{2} \left( dz_1 \wedge d\bar{z}_1 + dz_2 \wedge d\bar{z}_2 + \frac{dw_1}{w_1} \wedge \frac{d\bar{w}_1}{w_1} + dw_2 \wedge d\bar{w}_2 \right),$$

$$\omega_2 + i\omega_3 = dz_1 \wedge dz_2 + \frac{dw_1}{w_1} \wedge dw_2.$$

**Exercise 2.17.** Consider the multi-Taub-NUT manifold  $(M, g)$  obtained by the Gibbons–Hawking construction with the harmonic function  $h = \sum_{i=1}^n \frac{1}{2|x-p_i|}$ . Suppose for simplicity that  $p_i = (a_i, 0, 0)$  with  $a_i < a_{i+1}$ .

- (i) Show that  $M$  is simply connected and its second homology is generated by  $(n-1)$  spheres of self-intersection  $-2$  and which mutually intersect according to the Dynkin diagram  $A_{n-1}$ .  
(ii) Show that there exists a complex structure on  $M$  compatible with the metric such that all these spheres are holomorphic (and in particular minimal submanifolds).

#### REFERENCES

- [1] Arnaud Beauville. Variétés Kähleriennes dont la première classe de Chern est nulle. *J. Differential Geom.*, 18(4):755–782 (1984), 1983.
- [2] Arthur L. Besse. *Einstein manifolds*. Classics in Mathematics. Springer-Verlag, Berlin, 2008. Reprint of the 1987 edition.
- [3] Robert Bryant. Recent advances in the theory of holonomy. *Astérisque*, (266):Exp. No. 861, 5, 351–374, 2000. Séminaire Bourbaki, Vol. 1998/99.
- [4] E. Calabi. Métriques kählériennes et fibrés holomorphes. *Ann. Sci. École Norm. Sup. (4)*, 12(2):269–294, 1979.
- [5] S. K. Donaldson and P. B. Kronheimer. *The geometry of four-manifolds*. Oxford Mathematical Monographs. The Clarendon Press, Oxford University Press, New York, 1990. Oxford Science Publications.
- [6] Simon K. Donaldson. Calabi-Yau metrics on Kummer surfaces as a model gluing problem. In *Advances in geometric analysis*, volume 21 of *Adv. Lect. Math. (ALM)*, pages 109–118. Int. Press, Somerville, MA, 2012.
- [7] Mark Gross and P. M. H. Wilson. Large complex structure limits of  $K3$  surfaces. *J. Differential Geom.*, 55(3):475–546, 2000.
- [8] N. J. Hitchin, A. Karlhede, U. Lindström, and M. Roček. Hyper-Kähler metrics and supersymmetry. *Comm. Math. Phys.*, 108(4):535–589, 1987.
- [9] Nigel Hitchin. *Monopoles, minimal surfaces and algebraic curves*, volume 105 of *Séminaire de Mathématiques Supérieures [Seminar on Higher Mathematics]*. Presses de l'Université de Montréal, Montreal, QC, 1987.
- [10] Nigel Hitchin. Hyper-Kähler manifolds. *Astérisque*, (206):Exp. No. 748, 3, 137–166, 1992. Séminaire Bourbaki, Vol. 1991/92.
- [11] Dominic D. Joyce. *Riemannian holonomy groups and calibrated geometry*, volume 12 of *Oxford Graduate Texts in Mathematics*. Oxford University Press, Oxford, 2007.
- [12] P. B. Kronheimer. The construction of ALE spaces as hyper-Kähler quotients. *J. Differential Geom.*, 29(3):665–683, 1989.
- [13] P. B. Kronheimer. A Torelli-type theorem for gravitational instantons. *J. Differential Geom.*, 29(3):685–697, 1989.
- [14] P. B. Kronheimer. A hyper-Kählerian structure on coadjoint orbits of a semisimple complex group. *J. London Math. Soc. (2)*, 42(2):193–208, 1990.
- [15] Claude LeBrun. Curvature functionals, optimal metrics, and the differential topology of 4-manifolds. In *Different faces of geometry*, volume 3 of *Int. Math. Ser. (N. Y.)*, pages 199–256. Kluwer/Plenum, New York, 2004.
- [16] Simon Salamon. *Riemannian geometry and holonomy groups*, volume 201 of *Pitman Research Notes in Mathematics Series*. Longman Scientific & Technical, Harlow; copublished in the United States with John Wiley & Sons, Inc., New York, 1989.