

Böhmer: Einstein metrics on low-dimensional spheres and other low-dimensional spaces

§. Introduction: Einstein metrics of spheres

S^n metric of constant sectional curvature

1973; Jensen: squashed metric on $S^{4m+3} = Sp(m+1)/Sp(m) \xrightarrow{Sp(1)} \mathbb{H}P^m = Sp(m+1)/Sp(1) \times Sp(m)$

1978, Bourguignon-Karcher: third Einstein metric on $S^{15} = Spin(9)/Spin(7)$

$$S^{15} \xrightarrow{S^7} S^8 \simeq \mathbb{O}P^1$$

1982, Ziller: these are all the homogeneous Einstein metric on spheres.

1998, Böhmer: There There exists infinitely many Einstein metrics on S^5, S^6, S^7, S^8 and S^9 .

2005, Boyer-Galicki-Kollár: continuous family of Sasaki-Einstein metrics on odd-dimensional spheres (also exotic spheres)

§1. ~~Wald~~ Cohomogeneity 1 Einstein metrics

(M^{n+1}, \bar{g}) $\bar{\nabla}$ = LC connection of \bar{g}

family of equidistant hypersurfaces in M :

$$\phi: I \times P^n \rightarrow M \quad \phi^* \bar{g} = dt^2 + g_t$$

$N = \phi_* \frac{\partial}{\partial t}$ unit normal of the family

$L(t)$ = shape operator of $\{t\} \times P = \nabla_X N \quad L(X) = \bar{\nabla}_X N \quad \forall X \in TP$

(1) $\bar{g}^{-1} \dot{\bar{g}} = 2L$

(2) Riccati equation: $\dot{L} + L^2 + \bar{R}_N = 0$ where $\bar{R}_N(X) = \bar{R}(X, N)N$

(3) Gauss equations $\Rightarrow \bar{Ric}(X, Y) = Ric(X, Y) - \text{tr}_g(L) \langle L(X), Y \rangle - \langle \bar{R}_N(X), Y \rangle$

$$\begin{aligned} \bar{Ric}(X, Y) &= Ric(X, Y) - H \langle L(X), Y \rangle + \langle L^2(X), Y \rangle + \langle \bar{R}_N(X), Y \rangle \\ &= Ric(X, Y) - H \langle L(X), Y \rangle - \langle \dot{L}(X), Y \rangle \quad H = \text{tr}_g L \end{aligned}$$

(4) Codazzi equation $\Rightarrow \bar{Ric}(X, N) = \sum_i \langle \nabla_{e_i} L(X), e_i \rangle - \langle \nabla_X L(e_i), e_i \rangle$

$$= -\text{tr}(X \lrcorner d^\nabla L) \quad (L \in \Omega^1(P; TP))$$

Lemma \bar{g} is Einstein $\bar{Ric} = \Lambda \bar{g}$ iff

$$\begin{cases} \bar{g}^{-1} \dot{\bar{g}} = 2L \\ \dot{L} = \kappa - HL - \Lambda \text{id} \\ \dot{H} + |L|^2 + \Lambda = 0 \quad (= \text{trace of Riccati equation}) \\ \text{tr}(X \lrcorner d^\nabla L) = 0 \end{cases}$$

Rmk ~~Scal~~ $\text{Scal} = (n-1)\Lambda = H^2 - |L|^2$

Lemma Given g_0, L_0 s.t. $\text{tr}(X \lrcorner d^\nabla L_0) = 0 \forall X \in TP$, $\text{Scal}(g_0) - (n-1)\Lambda = (\text{tr} L_0)^2 - |L_0|^2$

let (g, L) sol. of

$$\begin{cases} \dot{\bar{g}}' \bar{g} = 2L \\ \dot{L} = \bar{R} - HL - \Lambda \text{id} \end{cases}$$

w/ $(g(0), L(0)) = (g_0, L_0)$. If $\text{Scal}(\bar{g})$ is constant along $\{t\} \times P \forall t$, then \bar{g} is Einstein w/ Einstein constant Λ .

proof.

$$\frac{1}{2} d \overline{\text{Scal}} = \text{div}(\overline{\text{Ric}})$$

$$\frac{1}{2} d \overline{\text{Scal}}(X) = H \overline{\text{Ric}}(N, X) + \frac{d}{dt} \overline{\text{Ric}}(N, X) = 0$$

$$\Rightarrow \frac{d}{dt} (\overline{\text{Ric}}(N, X) V(t)) = 0 \quad \text{because } \frac{\dot{V}}{V} = H$$

$$\frac{1}{2} d \overline{\text{Scal}}(N) = \frac{1}{2} \frac{d}{dt} \overline{\text{Ric}}(N, N) = H\Lambda - H \overline{\text{Ric}}(N, N)$$

$$\Rightarrow \frac{d}{dt} ((\overline{\text{Ric}}(N, N) - \Lambda) V^2) = 0 \quad \blacksquare$$

Of course, a situation where $d \overline{\text{Scal}}(X) = 0 \forall X \in TP$ is when g_t is a ~~family~~ family of homogeneous metrics on P .

G cpt Lie gp acting by isometries on (M^{n+1}, \bar{g}) w/ cohomogeneity 1

$$M/G = \mathbb{R}, [0, +\infty), S^1, [0, T]$$

$$t \in (0, T) \quad P = G/K \text{ principal orbit}$$

$$t = 0, T \quad Q_1 = G/H_1, Q_2 = G/H_2 \quad H_i > K \quad H_i/K = S^{d_i-1} \text{ collapsing}$$

$$q \in Q \subset M \quad T_q M / T_q Q = V \supset H \text{ w/ cohomogeneity 1}$$

neighborhd of $Q \subset M$ is equiv. diffeo to $G \times_H V$ and $P = G \times_H S^{d_s}$

Assumption: The space of G -invariant metrics on P is 2-dimensional

$$\bar{g}_t = dt^2 + f^2(t) g_s + h^2(t) g_Q$$

where $g_s = \text{std metric on } S^{d_s}$

$g_Q = \text{std } G\text{-inv. metric on } Q \text{ (necessarily Einstein)}$

~~Write down the f, h we need to find Ric of g~~

Example: $G = SO(p+1) \times SO(q+1) < SO(p+2) \times SO(q+2) \hookrightarrow S^{p+1} \times S^q$
 $G = SO(p+1) \times SO(q+1) < SO(p+q+2) \hookrightarrow S^{p+q+1}$

want to write equations for (f, h) . We need to look at Besse Chapter 9 for a formula for Ric:

$P \rightarrow Q$ Riemannian submersion w/ totally geodesic fibers

\mathcal{H} = horiz. distr. \mathcal{V} = vertical space

$$A_X Y = \mathcal{V} \nabla_X Y = \frac{1}{2} [X, Y] \quad X, Y \text{ horizontal}$$

$$A_X U = \mathcal{H} \nabla_X U$$

$$\text{Ric}^P(U, V) = \text{Ric}^Q(U, V) + \langle AU, AV \rangle$$

$$\text{Ric}^P(X, Y) = \text{Ric}^Q(X, Y) - 2 \langle A_X, A_Y \rangle$$

$\text{Ric}^P(X, U) = 0$ if the connection is Yang-Mills connection $d_\theta^* \theta = 0$.

$$\langle AU, AV \rangle = \sum_{j=1}^{d_Q} \langle A_{X_j} U, A_{X_j} V \rangle \quad \langle A_X, A_Y \rangle = \sum_{j=1}^{d_Q} \langle A_{X_j} X_j, A_Y X_j \rangle$$

Set $|A|^2 = \frac{1}{d_Q} \sum_{i,j=1}^{d_Q} |A_{X_i} X_j|^2$ wrt to the metric $g_Q + g_S$ on P

Then scaling + G-invariance \Rightarrow

$$\text{Ric}^P(U, V) = \frac{d_S - 1}{f^2} \langle U, V \rangle + \frac{d_Q}{d_S} \frac{f^2}{h^4} |A|^2$$

$$\text{Ric}^P(X, Y) = \frac{\text{Ric}^Q}{f^2} - 2 \frac{f^2}{h^4} |A|^2$$

This gives the equations:

$$(O.D.E.) \begin{cases} \left(\frac{f}{f}\right)' + \left(d_Q \frac{h}{h} + d_S \frac{f}{f}\right) \frac{f}{f} - \frac{d_S - 1}{f^2} - \frac{d_Q}{d_S} \frac{f^2}{h^4} |A|^2 + \Lambda = 0 \\ \left(\frac{h}{h}\right)' + \left(d_Q \frac{h}{h} + d_S \frac{f}{f}\right) \frac{h}{h} - \frac{\text{Ric}^Q}{h^2} - 2 \frac{f^2}{h^4} |A|^2 + \Lambda = 0 \end{cases}$$

Together w/ constraints:

$$\begin{cases} d_Q \frac{h}{h} + d_S \frac{f}{f} + \Lambda = 0 \\ \overline{\text{Ric}}(X, N) = 0 \quad \forall X \in TP \end{cases}$$

Next we investigate existence of solutions that extends smoothly over Q :

Conditions for smoothness: $\begin{cases} f(t) = t + O(t^3) \\ h(t) = \bar{h}_0 + O(t^2) \end{cases}$ for some $\bar{h}_0 > 0$.

(i.e. both h^2, f^2 have to be even, $f(0) = 0$)

Prop. $\forall h_0 \in (0, +\infty)$, $\exists!$ analytic fct (h, f) s.t. $(f(0), f'(0), h(0), h'(0)) = (0, 1, h_0, 0)$ is sol. to O.D.E. Moreover, $dt^2 + f^2 g_S + h^2 g_Q$ is an Einstein metric on $[0, T) \times P$ that depends continuously on $h_0 > 0$.

~~$y \in (g, g', h)$~~ $\frac{ds}{dt} = f \sim 1$ for t small

$f \equiv s$

$y = (y_1, y_2, y_3)$ solves $\dot{y}(s) = A(y(s)) + \frac{1}{s} B(y(s))$. say $|y - y_0| < 2\varepsilon$

where A, B bounded Lipschitz fct in a neighbd of $y_0 = (1, h_0, 0)$, $h_0 > 0$

Moreover, one can check that: $B(y_0) = 0$ and

(M1) $\text{id} - dy_0 B$ is uniformly invertible for every $m \geq 0$

$\Rightarrow \exists$ formal power series solutions $y_m(s) \forall m \geq 0$.

$\Phi(\xi) = y_0 - y_m(s) + \int_0^s A(y_m(\tau) + \xi) + \frac{1}{\tau} B(y_m(\tau) + \xi) d\tau$

$\Phi: \mathcal{O}_{m+1} \rightarrow \mathcal{O}_{m+1}$ $\mathcal{O}_{m+1} := \left\{ \xi: [0, T] \rightarrow \mathbb{R}^3 \mid \|\xi\|_{m+1} = \sup_{s \in [0, T]} \frac{|\xi|}{s^{m+1}} < +\infty \right\}$

Choose $T = T(m)$ s.t. $\begin{cases} |y_m - y_0| < \varepsilon & \forall s \in [0, T] \\ \|\Phi(0)\|_{m+1} < \frac{\varepsilon}{2} \end{cases}$

$\|\Phi(\xi_1) - \Phi(\xi_2)\|_{m+1} \leq \frac{C}{m+1} \|\xi_1 - \xi_2\|_{m+1}$. Choose m large enough so that

$\Phi: B_\varepsilon(0) \subset \mathcal{O}_{m+1} \rightarrow \mathcal{O}_{m+1}$ is a contraction.

As for the last statement observe that $V(t) \overline{\text{Ric}}(X, N)$ and $V^2(t) (\overline{\text{Ric}}(N, N) - \Lambda)$ are certainly 0 at $t=0$ b/c $V(0)=0$ and \bar{g} is smooth. ▀

§2. The sine-cone

$dt^2 + \sin^2(t) (d_1 g_S + d_2 g_Q)$ w/ d_1, d_2 constant
singularity at $t=0, \pi$

is Einstein $\Lambda = d = \dim P$ iff $d_1 g_S + d_2 g_Q$ is Einstein w/ Ric constant = $d-1$

The canonical variation:

~~g_Q~~ $g_Q + w^2 g_S$

$S(w) = w^{2d_S/d} \left[\frac{d_S(d_S-1)}{w^2} + d_Q \text{Ric}^Q - w^2 d_Q |A|^2 \right]$

$S'(w) = 0 \iff \frac{d_Q + 2d_S}{d_S} |A|^2 w^2 - \text{Ric}^Q + \frac{d_S-1}{w^2} = 0$

Case I: $|A|=0$, $\exists! \bar{w} := \sqrt{\frac{\text{Ric}^Q}{d_S-1}}$ if $\text{Ric}^Q > 0$, $d_S > 1$

Case II: $|A| > 0$, $\exists w_1, w_2$ s.t. $S'(w) = 0$, $w_1, w_2 > 0$ iff $\Delta = (\text{Ric}^Q)^2 - 4|A|^2 \frac{(d_Q + 2d_S)(d_S-1)}{d_S} > 0$
or \bar{w} if $\Delta = 0$

In each case we let \bar{w} be the point where $S(w)$ attains a minimum.

Next, set $b^2 = \frac{S(\bar{w})}{\bar{w}^{2d/d} d(d-1)} = \frac{\text{Ric}^Q - 2|A|^2 \bar{w}^2}{d-1}$ so that

$$\text{Ric}(h^2(g_Q + w^2 g_S)) = (d-1).$$

§3. Critical points of $w = f/h$.

Lemma

Let U_{h_0} one of the sol. to O.D.E. \mathcal{Q} closing smoothly on \mathcal{Q} . Then critical pts of $w = \frac{f}{h}$ are non-degenerate.

Proof. At a critical pt. of w , $\dot{w} = C_w S'(w)$ \blacksquare

Remark Reinterpretation of critical pts of w :

$$L = \frac{1}{2} g^{-1} \dot{g} = \left(\frac{f/h}{h/h} \right) \quad H = \text{tr} L = ds \frac{f}{f} + da \frac{h}{h}$$

$$L^0 = \frac{1}{\sqrt{ds^2 + da^2}} \left(\frac{ds \frac{w}{w}}{ds^2 + da^2} \right) \Rightarrow \text{tr}((L^0)^2) = |L^0|^2 = \left(\frac{ds da}{ds^2 + da^2} \right) \left(\frac{w}{w} \right)^2$$

• Orbital volume fit:

$$\frac{\dot{V}}{V} = H \quad \begin{cases} \dot{H} + |L|^2 + d = 0 \Leftrightarrow \dot{H} + \frac{1}{d} H^2 + |L^0|^2 + d = 0 \\ \text{Scal} - d(d-1) = H^2 - |L|^2 = \frac{d-1}{d} H^2 - |L^0|^2 \end{cases}$$

$$\text{Scal} = \frac{ds(ds-1)}{f^2} + \frac{da \text{Ric}^Q}{h^2} - \frac{f^2}{h^4} da |A|^2$$

Lemma If $|A|=0$, the $\exists!$ $t_0 \in [0, T)$ s.t. $H(t_0) = 0$.

Proof.

i) Riccati comparison: $H \leq d \cot(t)$ so $T \leq \pi$

$$\Rightarrow \bar{h} > 0, \exists C > 0 \text{ s.t. } V(t) \leq C h(t) \quad \forall t \in [0, T), \forall U_{h_0} \text{ w/ } h_0 \geq \bar{h}$$

ii) Since $V = f^{d_S} h^{d_Q}$, $f \rightarrow 0 \Leftrightarrow h \rightarrow +\infty$ & $h \rightarrow 0 \Leftrightarrow f \rightarrow +\infty$

$$\text{but } \text{Scal} \leq d(d-1) + d \cot(T) \text{ so } h, f \leq C$$

iii) In particular, $\text{Scal} \geq C$ and therefore $|L|$ cannot blow-up. \blacksquare

Def A sol. U_{h_0} is called symmetric if $\exists t_0 \in (0, T)$ s.t. $\dot{w}(t_0) = 0 = \dot{V}(t_0)$, i.e.

$$|L(t_0)| = 0.$$

Remark: If U_{h_0} is symmetric then can double across max. vol. orbit to get

Einstein metric on the doubling of the disc bdl $\mathbb{C} \times_{\mathbb{H}} D^{d+1} \rightarrow \mathcal{Q}$.

Example: $S^p \times S^{q+1}$

~~Def~~ Def If U_{h_0} is not symmetric, $\#C_w(h_0) = \# \text{crit. pts of } w \text{ before max vol. of}$

~~Thm~~ Thm (Intermediate Value Thm)

$0 < h_1 < h_2$, U_{h_1}, U_{h_2} not symmetric, then \exists at least
 $(\#C_w(h_1) - \#C_w(h_2))$ $h_0 \in (h_1, h_2)$ s.t. U_{h_0} is symmetric.

proof.

- (i) $\nexists h_0 \in (h_1, h_2)$ w/ U_{h_0} symmetric $\Leftrightarrow \#C_w(h_1) = \#C_w(h_2)$
 (ii) $\exists! h_0 \in (h_1, h_2)$ w/ U_{h_0} symmetric $\Leftrightarrow |\#C_w(h_1) - \#C_w(h_2)| \leq 1$ ▀

~~Corollary~~ §4. Limit of U_{h_0} as $h_0 \rightarrow 0$

. Bubbles

Rescaling U_{h_0} $h_0 \rightarrow 0 \rightsquigarrow \tilde{U}_{h_0}$ $h_0^{-2} \tilde{g} = h_0^{-2} dt^2 + f^2(t) g_s + h^2(s) g_\theta$

$$\begin{cases} \left(\frac{f}{f}\right)' + \left(d_t \frac{h}{h} + d_s \frac{f}{f}\right) \frac{f}{f} - \frac{d_s - 1}{f^2} + d h_0^2 = 0 \\ \left(\frac{h}{h}\right)' + \left(d_t \frac{h}{h} + d_s \frac{f}{f}\right) \frac{h}{h} - \frac{d_t - 1}{h^2} + d h_0^2 = 0 \\ d_t \frac{\ddot{h}}{h} + d_s \frac{\ddot{f}}{f} + d h_0^2 = 0 \\ \text{Ric}(X, N) = 0 \end{cases}$$

Continuous family ~~up to~~ on $[0, +\infty)$. $h_0 = 0$: Ricci-flat metric

. A Lyapunov fct

$$(g, h) \in \text{Sym}^+(V \oplus \mathfrak{p})^K \oplus \text{Sym}(V \oplus \mathfrak{p})^K = T\text{Sym}^+(V \oplus \mathfrak{p})$$

$$E_\Lambda := \left\{ (g, h) \mid \text{Scal}(g) - \Lambda(d-1) = [\text{tr}(g^{-1}h)]^2 - |h|_g^2 \right\} \quad |h|_g^2 = \text{tr}(g^{-1}h)$$

Lemma If P is not a torus or $\Lambda \neq 0$, then E_Λ is a smooth hypersurface in $T\text{Sym}^+(V \oplus \mathfrak{p})^K$ invariant under the "Einstein flow."

Def $\kappa: E_\Lambda \rightarrow \mathbb{R}$, $\kappa(g, h) = V^{\frac{2}{d}} \left(|h|_g^2 + \text{Scal}(g) \right)$
 $= V^{\frac{2}{d}} \left(\frac{d-1}{d} H^2 + \Lambda(d-1) \right) \quad H = \text{tr}(g^{-1}h)$

Lemma $\frac{d\kappa}{dt} = -\frac{2}{d} \frac{d-1}{d} V^{\frac{2}{d}} H |L^\circ|^2$

Lemma The ~~critical~~ critical pts of κ on E_Λ are cones, $\kappa \equiv d(d-1)$

Prop: The cone w/ $w = \bar{w}$ is a local attractor for the "Einstein flow" on E_Λ (6)

proof: g_p non-degenerate minimum of $V^{\frac{2}{d}} \text{Scal}(g) \Leftrightarrow g_p$ non-degenerate minimum of κ on $E_\Delta \Rightarrow$ level sets of κ are tubes around the cone \blacksquare

The Ricci-flat case

$$g = V^{2/d} \hat{g} \quad L^0 = \frac{1}{2} \hat{g}^{-1} \dot{\hat{g}}$$

$$\begin{cases} (L^0)' + \frac{\dot{V}}{V} L^0 - V^{-2/d} \dot{\kappa}(\hat{g}) = 0 \\ (\frac{\dot{V}}{V})' + (\frac{\dot{V}}{V})^2 - V^{-2/d} \text{Scal}(\hat{g}) = 0 \\ (\frac{\dot{V}}{V})' + \frac{1}{d} (\frac{\dot{V}}{V})^2 + \cancel{V^{-2/d}} |L^0|^2 = 0 \end{cases}$$

$$\hat{g} = s^{2/d_s} g_s + s^{-2/d_a} g_a$$

$$\text{Scal}(\hat{g}) = d_s(d_s-1) s^{-2/d_s} + d_a(d_a-1) s^{2/d_a}$$

$$\text{Scal}'(s) = -\frac{2}{s} [(d_s-1) s^{-2/d_s} - (d_a-1) s^{2/d_a}]$$

$$L^0 = \begin{pmatrix} \frac{1}{d_s} \frac{\dot{s}}{s} & \\ & -\frac{1}{d_a} \frac{\dot{s}}{s} \end{pmatrix} \quad |L^0|^2 = \left(\frac{1}{d_s} + \frac{1}{d_a} \right) \left(\frac{\dot{s}}{s} \right)^2$$

Change variable: $\frac{d\tau}{dt} = V^{-1/d} \iff V^{1/d} \frac{d}{dt} = \frac{d}{d\tau}$

$$\begin{cases} \frac{\ddot{V}}{V} + \frac{d}{ds da} \left(\frac{\dot{s}}{s} \right)^2 = 0 \\ \frac{\ddot{V}}{V} + \frac{d-1}{d} \left(\frac{\dot{V}}{V} \right)^2 - \text{Scal}(s) = 0 \\ \left(\frac{\dot{s}}{s} \right)' + \frac{d-1}{d} \frac{\dot{V}}{V} \left(\frac{\dot{s}}{s} \right) - \frac{d_s d_a}{d} s \text{Scal}'(s) = 0 \end{cases}$$

Using the first 2, this give a decoupled eq. on s :

$$\left(\frac{\dot{s}}{s} \right)' + \sqrt{\frac{d-1}{d}} \sqrt{\kappa(s, \dot{s})} - \frac{d_s d_a}{d} s \text{Scal}'(s) = 0$$

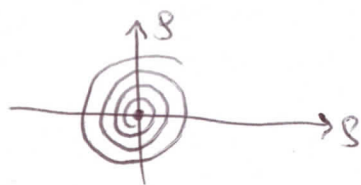
Remark: More in general $\text{Sym}^+(V \oplus \mathfrak{p}) = \text{GL}(d+1, \mathbb{R}) / \text{O}(d+1) = \text{SL}(d+1, \mathbb{R}) / \text{SO}(d+1) \times \mathbb{R}_+$

$$\frac{D^2 \hat{g}}{dt^2} + \sqrt{\frac{d-1}{d}} \sqrt{\kappa(\hat{g}, \dot{\hat{g}})} \hat{g} + 2 \nabla S(\hat{g}) = 0$$

and $\text{Sym}^+(V \oplus \mathfrak{p})^K$ is totally geodesic.

• Properties of this:

$g=0$ unique zero and if $d \leq 9$ it is a stable focus



$\Rightarrow h_0^{-1}U_{h_0} \rightarrow U_0$ asymptotically conical Ricci-flat w/ infinitely many totally umbilic hypersurfaces.

Prop. $U_{h_0} \rightarrow$ sine-cone and ~~to~~ $\#C_w(h_0) \rightarrow +\infty$ as $h_0 \rightarrow 0$.

proof. Use $h_0^{-1}U_{h_0} \rightarrow U_0$ and Lyapunov fct \mathbb{K} . \square

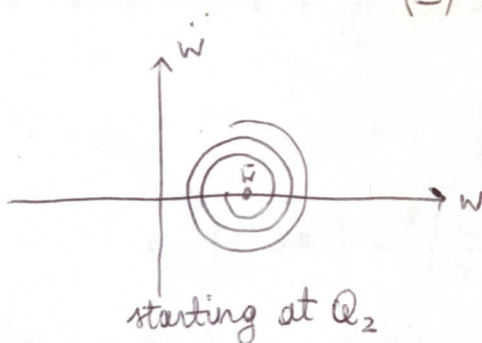
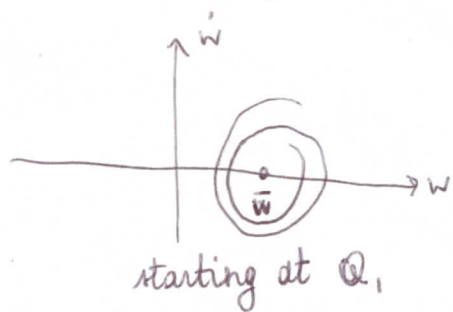
§5. Results

Rule $h = \cos t, f = \sin t$ (round metric on a sphere) has $\#C_w = 0$.

There \exists infinitely many Einstein metrics on $S^p \times S^q$

Space of max vol. orbits:

$$\begin{cases} \frac{1}{h^2} \left[\frac{d_s(d_s-1)}{w^2} + d_\alpha(d_\alpha-1) \right] = d(d-1) - \frac{d_s d_\alpha}{d} \left(\frac{\dot{w}}{w} \right)^2 & \Leftrightarrow \text{Scal} - d(d-1) = -|L^\circ|^2 \\ d_\alpha \frac{\dot{h}}{h} + d_s \frac{\dot{w}}{w} = 0 & \Leftrightarrow H = 0 \end{cases}$$



There \exists infinitely many Einstein metrics on ~~(S^5, S^6, S^7, S^8, S^9)~~ S^5, S^6, S^7, S^8, S^9

Rule 1: All these metrics (up to a finite number) are not homogeneous

Rule 2: All these metrics are non-isometric.