

# Two-term spectral asymptotics in linear elasticity

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9 May 2023



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We gratefully acknowledge support from the Simons Foundation and member institutions.

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[Submitted on 27 Jul 2022]

# Two-term spectral asymptotics in linear elasticity

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Motivated in part by the erroneous results in "Geometric invariants of spectrum of the Navier-Lamé operator" by Genqian Liu published in the Journal of Geometric Analysis 31 (2021), 10164--10193, we establish the two-term spectral asymptotics for boundary value problems of linear elasticity on a smooth compact Riemannian manifold of arbitrary dimension. We also present some illustrative examples and give a historical overview of the subject.

Comments: 37 pages, 10 figures

Subjects: **Spectral Theory (math.SP)**; Analysis of PDEs (math.AP); Differential Geometry (math.DG)

MSC classes: 35P20, 35Q74, 74J05

Cite as: arXiv:2207.13636 [math.SP]

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# La forza del destino

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**La forza del destino** (Italian pronunciation: [la ˈfortsa del deˈstiːno]; *The Power of Fate*,<sup>[1]</sup> often translated *The Force of Destiny*) is an Italian opera by Giuseppe Verdi. The libretto was written by Francesco Maria Piave based on a Spanish drama, *Don Álvaro o la fuerza del sino* (1835), by Ángel de Saavedra, 3rd Duke of Rivas, with a scene adapted from Friedrich Schiller's *Wallensteins Lager* (*Wallenstein's Camp*). It was first performed in the Bolshoi Kamenny Theatre of Saint Petersburg, Russia, on 29 November 1862 O.S. (N.S. 10 November).

*La forza del destino* is frequently performed, and there have been a number of complete recordings. In addition, the *overture* (to the revised version of the opera) is part of the standard repertoire for orchestras, often played as the opening piece at concerts.

## Performance history

### Revisions

After its premiere in Russia, *La forza* underwent some revisions and made its debut abroad with performances in Rome in 1863 under the title *Don Álvaro*. Performances followed in Madrid (with the Duke of Rivas, the play's author, in attendance) and the opera subsequently travelled to New York, Vienna (1865), Buenos Aires (1866), and London (1867).<sup>[citation needed]</sup>

Following these productions, Verdi made further, more extensive revisions to the opera with

**La forza del destino**  
Opera by Giuseppe Verdi



c. 1870 poster by Charles Lecocq

Librettist Francesco Maria Piave

# Playing field

Let  $(M, g)$  be a closed Riemannian  $d$ -manifold.

Consider a diffeomorphism  $\varphi : M \rightarrow M$ . This is the unknown quantity of elasticity theory.

Second Riemannian metric  $h := \varphi^*g$ , the pullback of  $g$ .

A pair of metrics,  $g$  and  $h$ , allows us to write down an action (variational functional).

## Strain tensor

Linear algebra: a pair of non-degenerate symmetric bilinear forms  $g, h : V \times V \rightarrow \mathbb{R}$  in a real finite-dimensional vector space  $V$  defines an invertible linear operator  $L : V \rightarrow V$  via the formula

$$h(u, v) = g(Lu, v), \quad \forall u, v \in V.$$

Convenient to subtract the identity operator,

$$S := L - \text{Id}$$

Definition of strain tensor:

$$S^\alpha{}_\beta(x) := [g^{\alpha\gamma}(x)] [h_{\gamma\beta}(x)] - \delta^\alpha{}_\beta.$$

Describes, pointwise, linear map in the fibres of the tangent bundle

$$v^\alpha \mapsto S^\alpha{}_\beta v^\beta.$$

## Scalar invariants of the strain tensor

Obvious choice:  $\text{tr}(S^k)$ ,  $k = 1, 2, \dots, d$ .

More convenient choice:

$$e_1(\varphi) := \text{tr } S = \lambda_1 + \lambda_2 + \dots + \lambda_d,$$

$$e_2(\varphi) := \frac{1}{2} [(\text{tr } S)^2 - \text{tr}(S^2)] = \lambda_1\lambda_2 + \lambda_1\lambda_3 + \dots + \lambda_{d-1}\lambda_d,$$

$\vdots$

$$e_d(\varphi) := \det S = \lambda_1\lambda_2 \dots \lambda_d.$$

Elementary symmetric polynomials. The  $\lambda_j$  are eigenvalues of  $S$ .

## Action (potential energy of elastic deformation)

$$\int_M \mathcal{L}(e_1(\varphi), e_2(\varphi), \dots, e_d(\varphi)) \sqrt{\det g} \, dx,$$

where  $\mathcal{L}$  is some prescribed smooth real-valued function of  $d$  real variables and  $dx := dx^1 dx^2 \dots dx^d$ .



# Describing diffeomorphism in terms of a vector field

**First approach** Use integral curves of a vector field. Impossible: J.Milnor 1983.

**Second approach** Use geodesics.

Connect a point  $P \in M$  with the point  $\varphi(P) \in M$  by a geodesic  $\gamma : [0, 1] \rightarrow M$ , so that  $\gamma(0) = P$  and  $\gamma(1) = \varphi(P)$ . Parameterise the geodesic in such a way that  $\gamma(t)$  is a solution of the equation

$$\ddot{\gamma}^\lambda + \left\{ \begin{array}{c} \lambda \\ \mu\nu \end{array} \right\} \dot{\gamma}^\mu \dot{\gamma}^\nu = 0,$$

where the dot stands for differentiation in  $t$ .

Define the vector field of displacements as

$$u : M \ni P \mapsto \dot{\gamma}(0) \in TM.$$

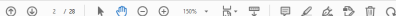
# Linear elasticity

- ▶ Linearise the strain tensor with respect to the vector field of displacements  $u$ .
- ▶ Choose action quadratic in  $u$ .

Action reads

$$\frac{1}{2} \int_M \left( \lambda (\nabla_\alpha u^\alpha)^2 + \mu (\nabla_\alpha u_\beta + \nabla_\beta u_\alpha) \nabla^\alpha u^\beta \right) \sqrt{\det g} \, dx,$$

where  $\lambda$  and  $\mu$  are Lamé coefficients.



# Spacetime diffeomorphisms as matter fields

Cite as: *J. Math. Phys.* **61**, 111508 (2020); doi: [10.1063/1.5140425](https://doi.org/10.1063/1.5140425)

Submitted: 27 November 2019 • Accepted: 31 October 2020 •

Published Online: 17 November 2020



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## ABSTRACT

We work on a 4-manifold equipped with Lorentzian metric  $g$  and consider a volume-preserving diffeomorphism that is the unknown quantity of our mathematical model. The diffeomorphism defines a second Lorentzian metric  $h$ , the pullback of  $g$ . Motivated by elasticity theory, we introduce a Lagrangian expressed algebraically (without differentiations) via our pair of metrics. Analysis of the resulting nonlinear field equations produces three main results. First, we show that for Ricci-flat manifolds, our linearized field equations are Maxwell's equations in the Lorenz gauge with exact current. Second, for Minkowski space, we construct explicit massless solutions of our nonlinear field equations; these come in two distinct types, right-handed and left-handed. Third, for Minkowski space, we construct explicit massive solutions of our nonlinear field equations; these contain a positive parameter that has the geometric meaning of quantum mechanical mass and a real parameter that may be interpreted as electric charge. In constructing explicit solutions of nonlinear field equations, we resort to group-theoretic ideas: we identify special four-dimensional subgroups of the Poincaré group and seek diffeomorphisms compatible with their action in a suitable sense.

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Spectral problem for linear elasticity:

$$-\mu \left( \nabla_{\beta} \nabla^{\beta} u^{\alpha} + \text{Ric}^{\alpha}_{\beta} u^{\beta} \right) - (\lambda + \mu) \nabla^{\alpha} \nabla_{\beta} u^{\beta} = \Lambda u^{\alpha}.$$

Possible boundary conditions.

- ▶ Dirichlet.
- ▶ Free boundary. This is **not** the Neumann boundary condition.

## Historical overview 1

**1885** Lord Rayleigh discovers *Rayleigh wave*. Wave runs along free boundary and exponentially decays towards interior. Let

$$R_\alpha(w) := w^3 - 8w^2 + 8(3 - 2\alpha)w + 16(\alpha - 1),$$

where

$$\alpha := \frac{\mu}{\lambda + 2\mu}.$$

The cubic equation  $R_\alpha(w) = 0$  has three roots  $w_j$ ,  $j = 1, 2, 3$ , over  $\mathbb{C}$ , where  $w_1$  is the distinguished real root in the interval  $(0, 1)$ . Put

$$\gamma_R := \sqrt{w_1}.$$

The subscript  $R$  in  $\gamma_R$  stands for “Rayleigh”. The quantity

$$c_R := \sqrt{\mu} \gamma_R$$

has the physical meaning of velocity of Rayleigh’s surface wave.

## Historical overview 2

**1912** Peter Debye writes down one-term asymptotic formula for the eigenvalue counting function

$$\mathcal{N}(\Lambda) = a \operatorname{Vol}_d(M) \Lambda^{d/2} + o\left(\Lambda^{d/2}\right) \quad \text{as } \Lambda \rightarrow +\infty,$$

where

$$a = \frac{1}{(4\pi)^{d/2} \Gamma\left(1 + \frac{d}{2}\right)} \left( \frac{d-1}{\mu^{d/2}} + \frac{1}{(\lambda + 2\mu)^{d/2}} \right).$$

**1915** Hermann Weyl provides rigorous proof.

## Historical overview 3

Search for two-term asymptotic formula

$$\mathcal{N}(\Lambda) = a \operatorname{Vol}_d(M) \Lambda^{d/2} + b \operatorname{Vol}_{d-1}(\partial M) \Lambda^{(d-1)/2} + o\left(\Lambda^{(d-1)/2}\right) \text{ as } \Lambda \rightarrow +\infty$$

Second Weyl coefficient  $b$  should depend on boundary conditions.

**1950** E. W. Montroll publishes incorrect formulae for second Weyl coefficient. Same incorrect formulae as Genquian Liu in 2021.

**1960** Lars Onsager and coauthors publish correct formulae for second Weyl coefficient for  $d = 3$ .

**1997** Safarov and Vassiliev book (only results, without details).

- ▶ Onsager's results for  $d = 3$  checked and confirmed.
- ▶ Formulae for second Weyl coefficient for  $d = 2$  written down.

## Algorithm for the calculation of second Weyl coefficient

- ▶ Fix point  $x' \in \partial M$ , freeze coefficients in operator and boundary conditions and perform Fourier transform  $\partial x' \mapsto i\xi'$  along  $\partial M$ . Gives spectral problem for a system of ODEs with constant coefficients on semi-axis  $[0, +\infty)$ . This 1-dimensional spectral problem depends on  $(x', \xi') \in T^*\partial M$  as a parameter.
- ▶ Need to calculate the spectral shift function  $\text{shift}(x', \xi', \Lambda)$  (regularised trace of spectral projection).
- ▶ Use ideas from scattering theory:

$$\text{shift}(x', \xi', \Lambda) := \frac{\varphi(x', \xi', \Lambda)}{2\pi} + N(x', \xi', \Lambda),$$

where  $\varphi(x', \xi', \Lambda)$  is *scattering phase* (phase shift) and  $N(x', \xi', \Lambda)$  is the eigenvalue counting function of the 1-dimensional spectral problem.



$$b = \frac{1}{(2\pi)^{d-1}} \int_{T^*\partial M} \text{shift}(x', \xi', 1) dx' d\xi'.$$



# Spectral shift function for Dirichlet boundary conditions

$$\text{shift}_{\text{Dir}}(\xi', \Lambda) =$$

$$\begin{cases} 0 & \text{for } \Lambda \leq \mu \|\xi'\|^2, \\ -\frac{1}{\pi} \arctan\left(\sqrt{\left(1 - \frac{\Lambda}{\lambda + 2\mu} \frac{1}{\|\xi'\|^2}\right) \left(\frac{\Lambda}{\mu} \frac{1}{\|\xi'\|^2} - 1\right)}\right) - \frac{d-1}{4} & \text{for } \mu \|\xi'\|^2 < \Lambda < (\lambda + 2\mu) \|\xi'\|^2, \\ -\frac{d}{4} & \text{for } \Lambda > (\lambda + 2\mu) \|\xi'\|^2, \end{cases}$$

# Spectral shift function for free boundary conditions

$$\text{shift}_{\text{free}}(\xi', \Lambda) =$$

$$\begin{cases} 0 & \text{for } \Lambda < \mu\gamma_R^2\|\xi'\|^2, \\ 1 & \text{for } \mu\gamma_R^2\|\xi'\|^2 < \Lambda < \mu\|\xi'\|^2, \\ \frac{1}{\pi} \arctan\left(\frac{\left(\frac{\Lambda}{\mu} \frac{1}{\|\xi'\|^2} - 2\right)^2}{4\sqrt{\left(1 - \frac{\Lambda}{\lambda + 2\mu} \frac{1}{\|\xi'\|^2}\right)\left(\frac{\Lambda}{\mu} \frac{1}{\|\xi'\|^2} - 1\right)}}\right) + \frac{d-1}{4} & \text{for } \mu\|\xi'\|^2 < \Lambda < (\lambda + 2\mu)\|\xi'\|^2, \\ \frac{d}{4} & \text{for } \Lambda > (\lambda + 2\mu)\|\xi'\|^2. \end{cases}$$

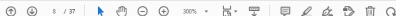
Main result (for  $\alpha$  and  $\gamma_R$  see one of previous slides)

$$b_{\text{Dir}} =$$

$$-\frac{\mu^{\frac{1-d}{2}}}{2^{d+1}\pi^{\frac{d-1}{2}}\Gamma\left(\frac{d+1}{2}\right)} \left( \frac{4(d-1)}{\pi} \int_{\sqrt{\alpha}}^1 \tau^{d-2} \arctan\left(\sqrt{(1-\alpha\tau^{-2})(\tau^{-2}-1)}\right) d\tau \right. \\ \left. + \alpha^{\frac{d-1}{2}} + d - 1 \right),$$

$$b_{\text{free}} =$$

$$\frac{\mu^{\frac{1-d}{2}}}{2^{d+1}\pi^{\frac{d-1}{2}}\Gamma\left(\frac{d+1}{2}\right)} \left( \frac{4(d-1)}{\pi} \int_{\sqrt{\alpha}}^1 \tau^{d-2} \arctan\left(\frac{(\tau^{-2}-2)^2}{4\sqrt{(1-\alpha\tau^{-2})(\tau^{-2}-1)}}\right) d\tau \right. \\ \left. + \alpha^{\frac{d-1}{2}} + d - 5 + 4\gamma_R^{1-d} \right).$$

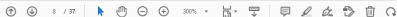


## M. Capoferri, L. Friedlander, M. Levitin, and

$d$	$b_{\text{Dir}}$
3	$-\frac{(2\alpha^2 + \alpha + 3)\mu}{16\pi(\alpha + 1)}$
5	$-\frac{(7\alpha^4 + 12\alpha^3 + 6\alpha^2 + 36\alpha + 19)\mu^2}{512\pi^2(\alpha + 1)^2}$
7	$-\frac{(13\alpha^6 + 36\alpha^5 + 27\alpha^4 + 16\alpha^3 + 147\alpha^2 + 156\alpha + 53)\mu^3}{12288\pi^3(\alpha + 1)^3}$
9	$-\frac{(99\alpha^8 + 376\alpha^7 + 500\alpha^6 + 200\alpha^5 + 146\alpha^4 + 1992\alpha^3 + 3188\alpha^2 + 2168\alpha + 547)\mu^4}{1572864\pi^4(\alpha + 1)^4}$

**Table 1:** The coefficient  $b_{\text{Dir}}$  for odd dimensions.





$d$	$b_{\text{free}}$
3	$\frac{(2\alpha^2 - 3\alpha + 3)\mu}{16\pi(1-\alpha)}$
5	$\frac{(-7\alpha^4 + 12\alpha^3 + 14\alpha^2 - 36\alpha + 21)\mu^2}{512\pi^2(1-\alpha)^2}$
7	$\frac{(13\alpha^6 - 36\alpha^5 + 30\alpha^4 - 56\alpha^3 + 159\alpha^2 - 168\alpha + 62)\mu^3}{12288\pi^3(1-\alpha)^3}$
9	$\frac{(-99\alpha^8 + 376\alpha^7 - 516\alpha^6 + 296\alpha^5 + 470\alpha^4 - 2200\alpha^3 + 3468\alpha^2 - 2440\alpha + 661)\mu^4}{1572864\pi^4(1-\alpha)^4}$

**Table 2:** The coefficient  $b_{\text{free}}$  for odd dimensions.



