

# Making marine protected areas more effective: resilience through diversity

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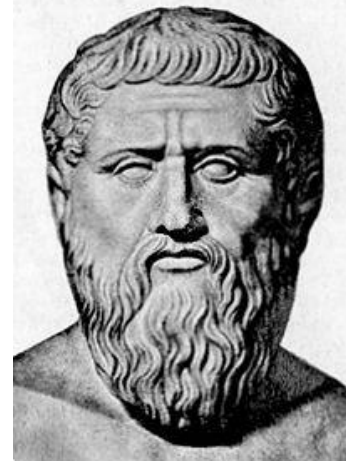
# Governing marine protected areas: social-ecological resilience through institutional diversity

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Governance = *steer* of people and the society they constitute in order to achieve strategic collective objectives



Plato, 360 BC

Resilience = capacity for stability in the face of potentially perturbing forces, *eg* climate change, population growth, globalisation

**Where should the '*steer*' towards resilient social and ecological systems come from?**

**State control** – government and law

**Market forces** – capitalism and economies

**Public interests** – people and civil society

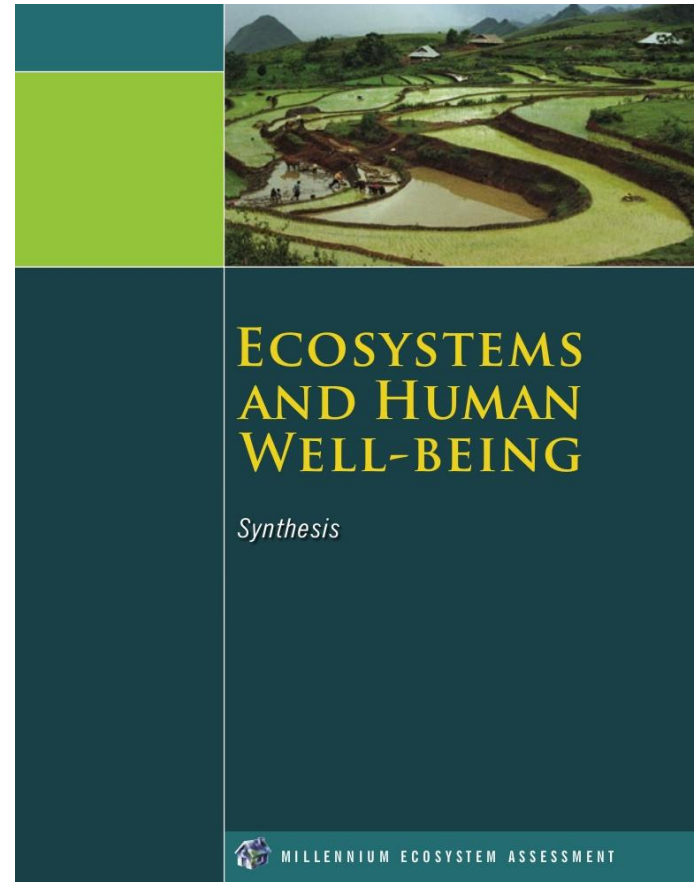
# Growing scientific and societal concerns about the degraded state of marine ecosystems

“most services derived from marine and coastal ecosystems are being **degraded** and used unsustainably and therefore are **deteriorating faster** than other ecosystems...

**arresting the further degradation of coastal and marine ecosystem resources ...is an urgent imperative”**

*(UNEP Millennium Ecosystem Assessment 2006)*

**No-take marine protected areas (MPAs) are seen by many as being crucial to address such concerns**



April 2014 ~ 7,318 MPAs [UNEP–WCMC World Database on Protected Areas in [Nature](#)]

representing coverage of **3% of the total area of global seas**,  
(CBD target = 10%)

or **6.6% of seas under national jurisdiction (< 200 nm)**

Represents a quadrupling of total MPA coverage in the last 10 years, but very patchy and 53% of coverage comes from 10 vast remote MPAs

Still lags behind the 12.5% coverage of terrestrial protected areas, target for which now 17% coverage

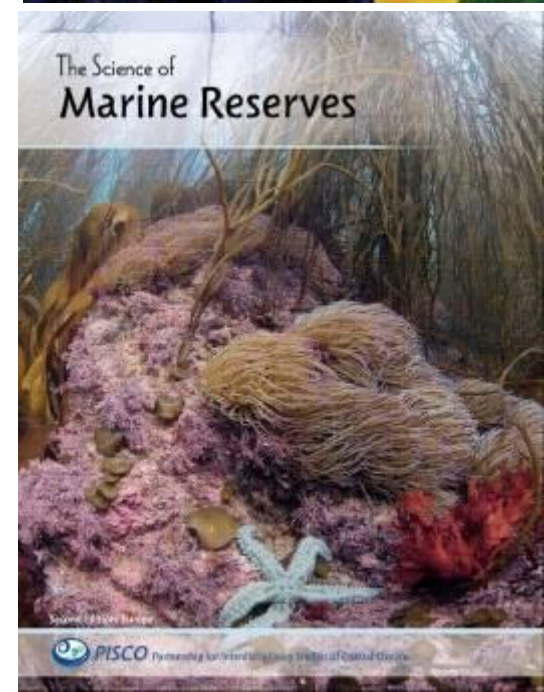
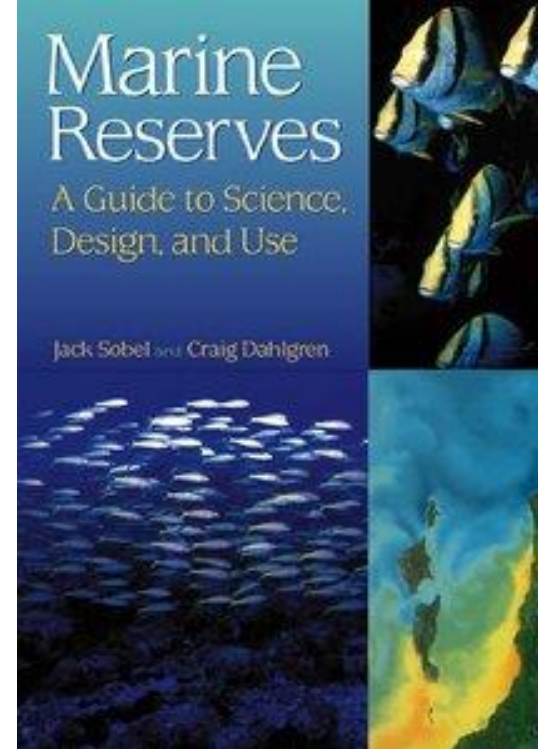
**Effectiveness of existing MPAs?**

Marine protected areas (MPAs) are an ideal vehicle for exploring the effectiveness of different governance approaches in promoting social-ecological resilience

The need for MPAs to address growing concerns & achieve conservation objectives is now *quite* widely accepted

Debates are moving on to how we can design networks of MPAs, and the knowledge-base and guidance is rapidly developing

Also a need to develop knowledge-base and guidance on how to effectively manage or **govern** MPAs



# Co-management is the recommended approach

## IUCN MPA Guidance

Combine top-down & bottom-up approaches

*“design and management of MPAs must be both top-down and bottom-up”*  
(Kelleher 1999)

## IUCN MPA Network Guidance (2008)

Recommends both top-down & bottom-up approaches

World Commission on Protected Areas (WCPA)

## Guidelines for Marine Protected Areas

Edited and coordinated by Gáinne Kelleher  
Adrian Phillips, Series Editor



Best Practice Protected Area Guidelines Series No. 3



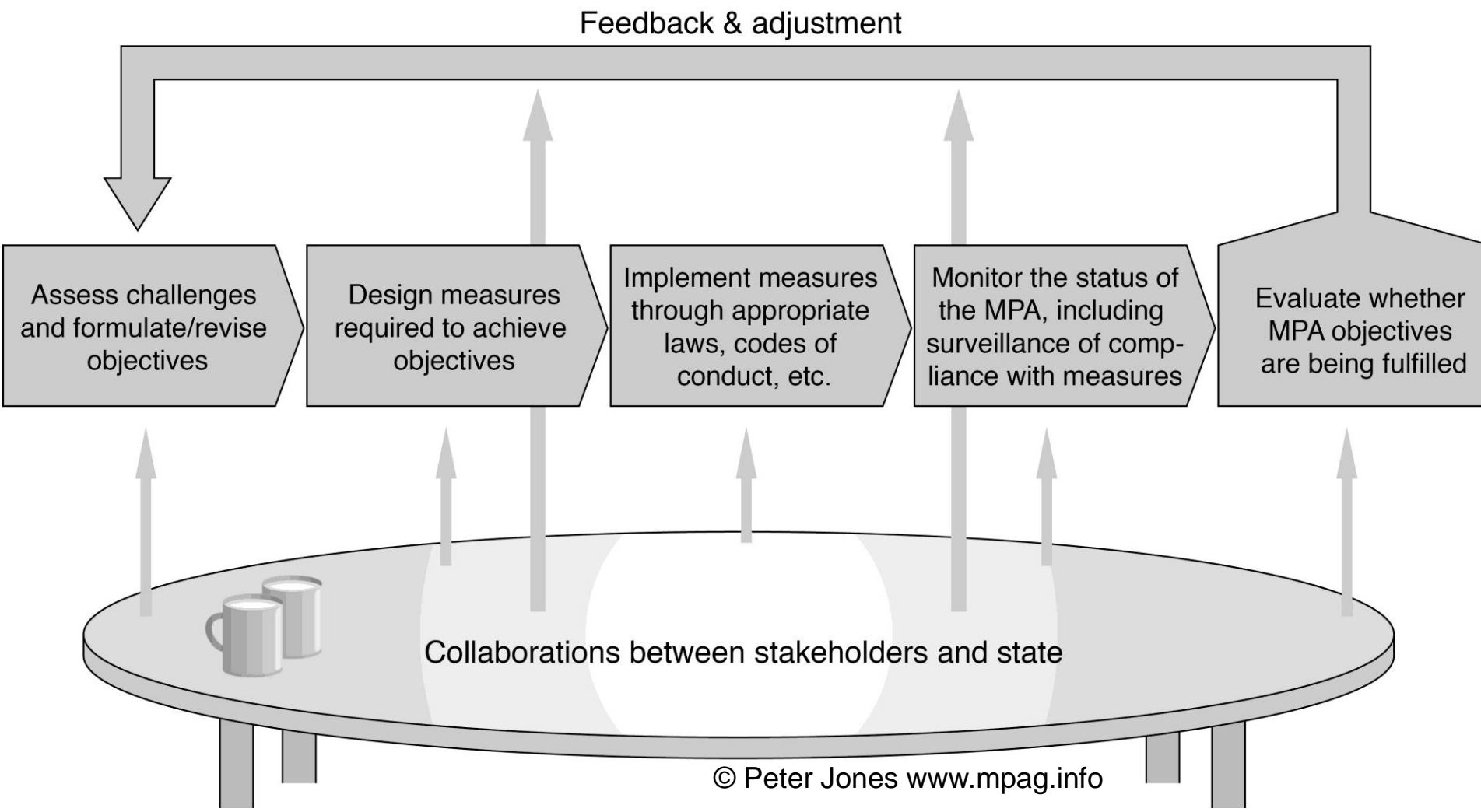
Establishing Resilient  
Marine Protected Area  
Networks – Making It  
Happen

Full Technical Version, including Ecological,  
Social and Governance Considerations, as well  
as Case Studies

2008



# Adaptive co-management considered by many to be way forward

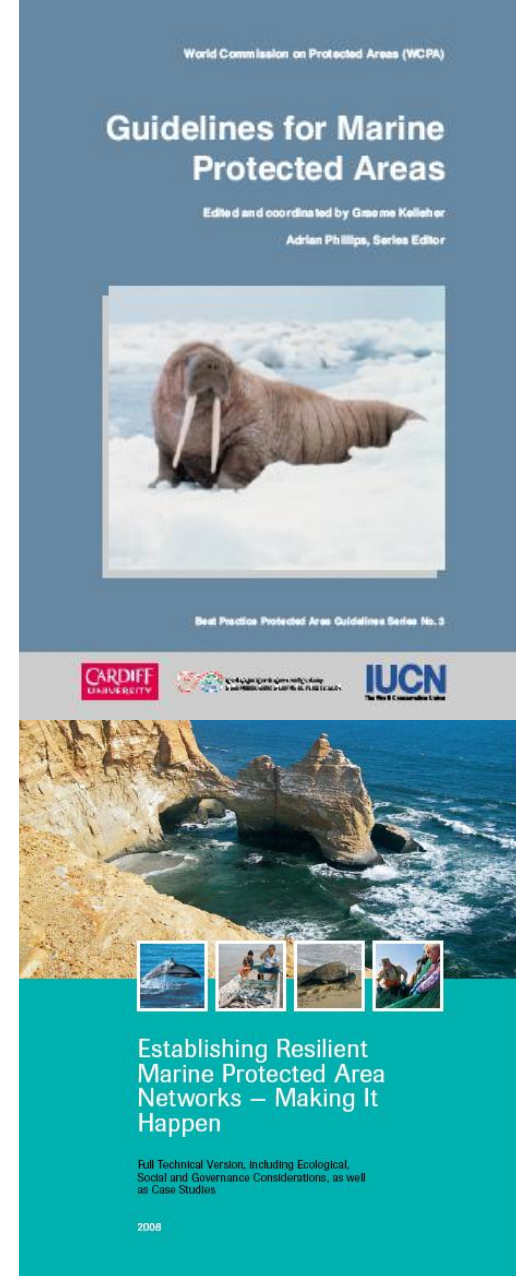


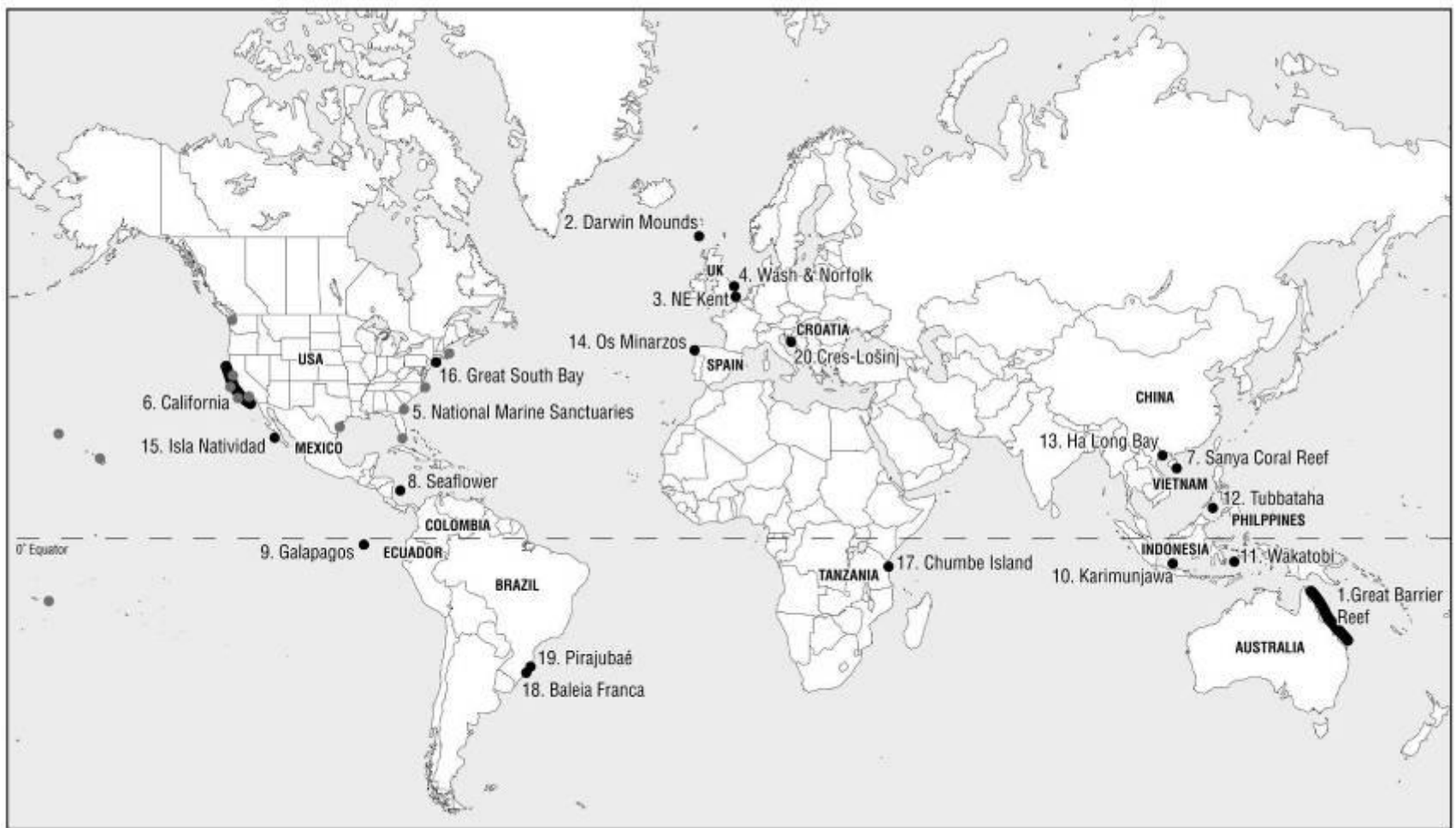
Too simplistic and linear to provide guidance on the complex interactions between stakeholders and the state in governance processes, including the diversity of different priorities & values

So what does

*“design and management of MPAs must be both top-down and bottom-up”* (Kelleher 1999) actually mean in practice?

Recognising governance complexity and diversity, this is the key question that the MPA governance project aims to address, initially through 20 case studies



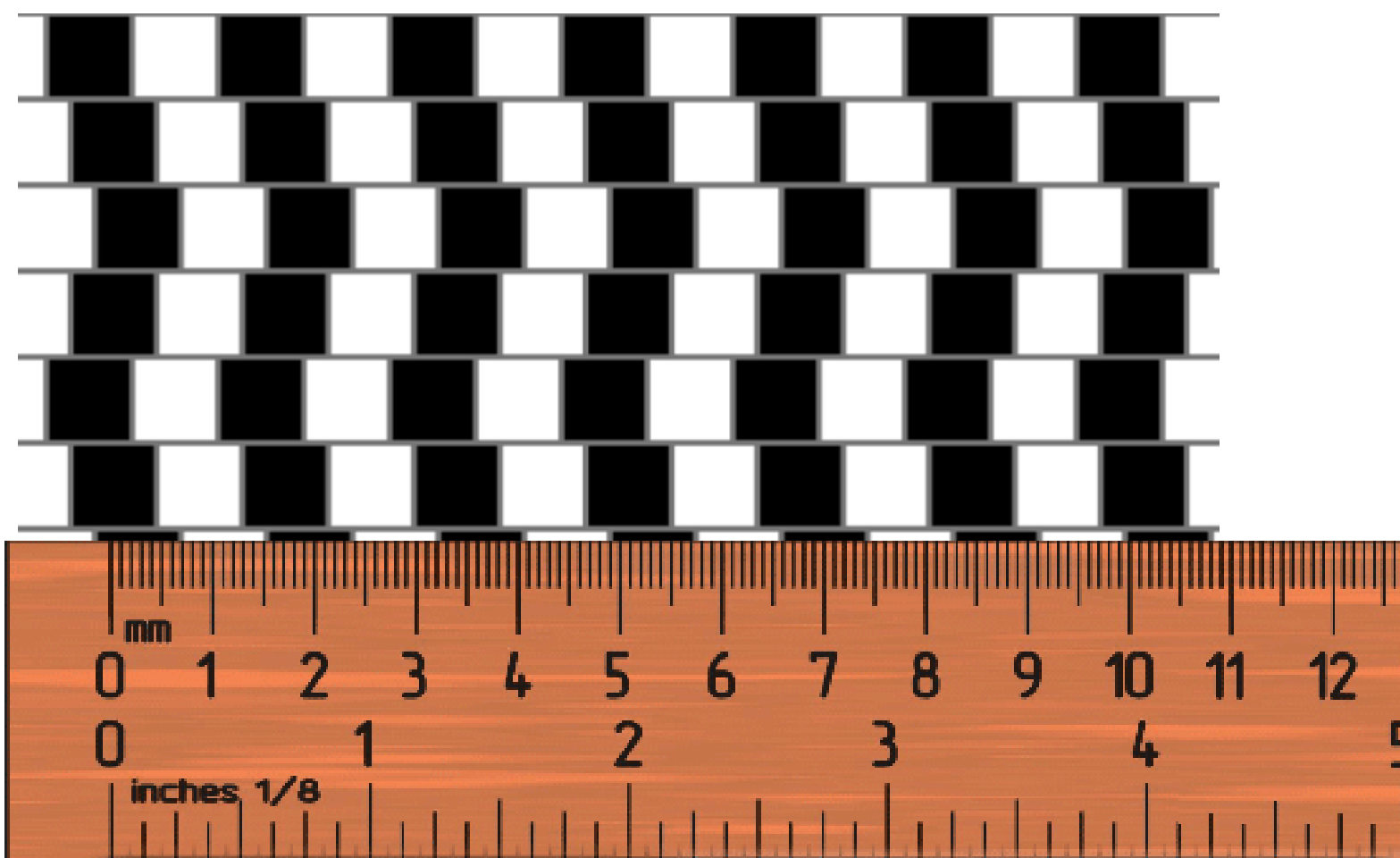


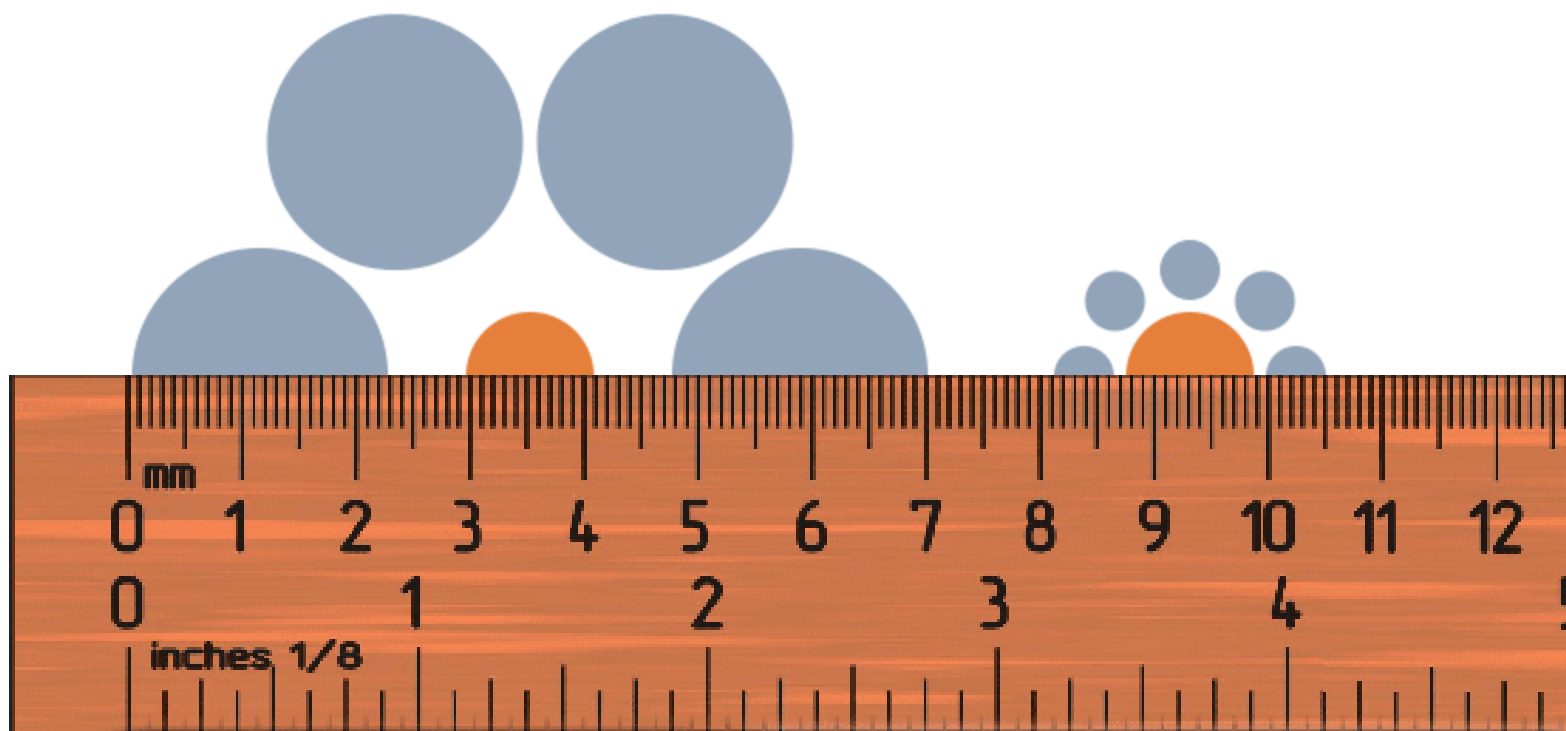
- 1: Great Barrier Reef Marine Park    2: Darwin Mounds Marine Special Area for Conservation  
 3: North East Kent European Marine Site    4: Wash & North Norfolk Coast European Marine Site  
 5: National Marine Sanctuaries (a network of MPAs with locations shown in grey colour)  
 6: California MPAs under the MLPA    7: Sanya Coral Reef National Marine Nature Reserve  
 8: Seaflower MPA    9: Galápagos Marine Reserve    10: Karimunjawa Marine National Park  
 11: Wakatobi National Park    12: Tubbataha Reefs Natural Park    13: Ha Long Bay World Heritage Site  
 14: Os Minarzos Marine Reserve    15: Isla Natividad MPA    16: Great South Bay Marine Conservation Area  
 17: Chumbe Island Coral Park    18: Baleia Franca Environmental Protection Area  
 19: Pirajubaé Marine Extractive Reserve.    20: Cres-Lošinj Special Zoological Reserve



MPAG workshop  
12-16 Oct 2009  
Mali-Lošinj  
Croatia







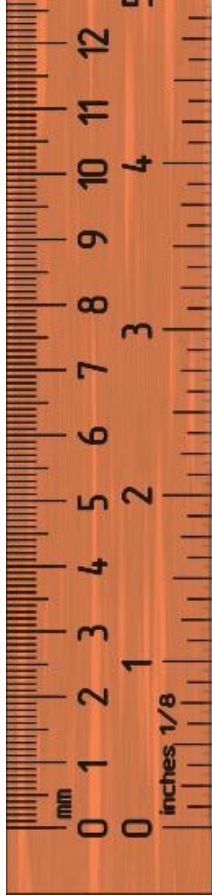
# MPAG analytical framework [[document](#)]

- Context including metrics: per capita GDP and growth rate, HDI, state capacity, population below poverty line, unemployment rate
- Objectives
- Drivers/Conflicts
- Governance Framework/Approach
- **Effectiveness (0-5)**
- Incentives **employed & needed:**

**Economic**  
**Interpretative**  
**Knowledge**  
**Legal**  
**Participative**

*: how incentives interact and are **combined***

- Cross cutting themes: role of leadership, role of NGOs, equity issues



# Case studies assigned to one of five 'governance approach' categories

Approach I - **government-led** (6 case studies)

Great Barrier Reef (Australia); Darwin Mounds, NE Kent; Wash/Norfolk Coast; (UK); National Marine Sanctuaries; California MPAs (US)

Approach II - **decentralised governance** (7 case studies)

Sanya (China); Seaflower (Columbia), Galapagos (Ecuador); Karimunjawa; Wakatobi (Indonesia); Tubbataha (Philippines); Ha Long Bay (Vietnam)



# Case studies assigned to one of five 'governance approach' categories

Approach III - **community-led** (2 case studies)  
Os Minarzos (Spain); Isla Natividad (Mexico)

Approach IV - **private-led** (2 case studies)  
Great South Bay (US); Chumbe (Tanzania)

Approach V – **ineffective** (3 case studies)  
Baleia Franca; Pirujabaé (Brazil); Cres-Lošinj (Croatia)

Economic incentives: using economic and property rights approaches to promote the fulfilment of MPA objectives (10)

Interpretative incentives: promoting awareness of the conservation features of the MPA, the related objectives for conserving them, the policies for achieving these objectives and support for related measures (3)

Knowledge incentives: respecting and promoting the use of different sources of knowledge to better inform MPA decisions (3)

Legal incentives: use of relevant laws, regulations etc. as a source of 'state steer' to promote compliance with decisions and thereby the achievement of MPA obligations (10)

Participative incentives: providing for users, communities and other interest groups to participate in and influence MPA decision-making that may potentially affect them, in order to promote their 'ownership' of the MPA and thereby their potential to cooperate in implementation of decisions (10)

Economic, interpretative, knowledge and participative incentives, including the important roles of **local leaders** and **NGOs**, can complement the roles of the state,

but these are **not a substitute** for the roles of the state, as **legal incentives are critically important** to reinforce the governance framework.

**Political will**, particularly at higher government levels, is **vital** to provide for the roles of the state and promote effectiveness



Tubbataha Ranger Station (Alan White)

Without community stewardship or 'ownership' of an MPA, incentives aimed at generating support from local resource users are less likely to be successful

One important means of promoting community stewardship is to **provide for protection from incoming users, including through the allocation of legally enforced community property rights**, in combination with other incentives



Seaflower stakeholders (Coralina)



Tubbataha stakeholders (WWF)

The potential for trade-offs between effectiveness and equity in MPA governance raises many challenges

a **balance** must be struck **between providing for a reasonable standard of living** for local communities, through controlled access to the resources in an MPA, alternative livelihoods, etc, and **ensuring that biodiversity conservation & sustainable use objectives are achieved**

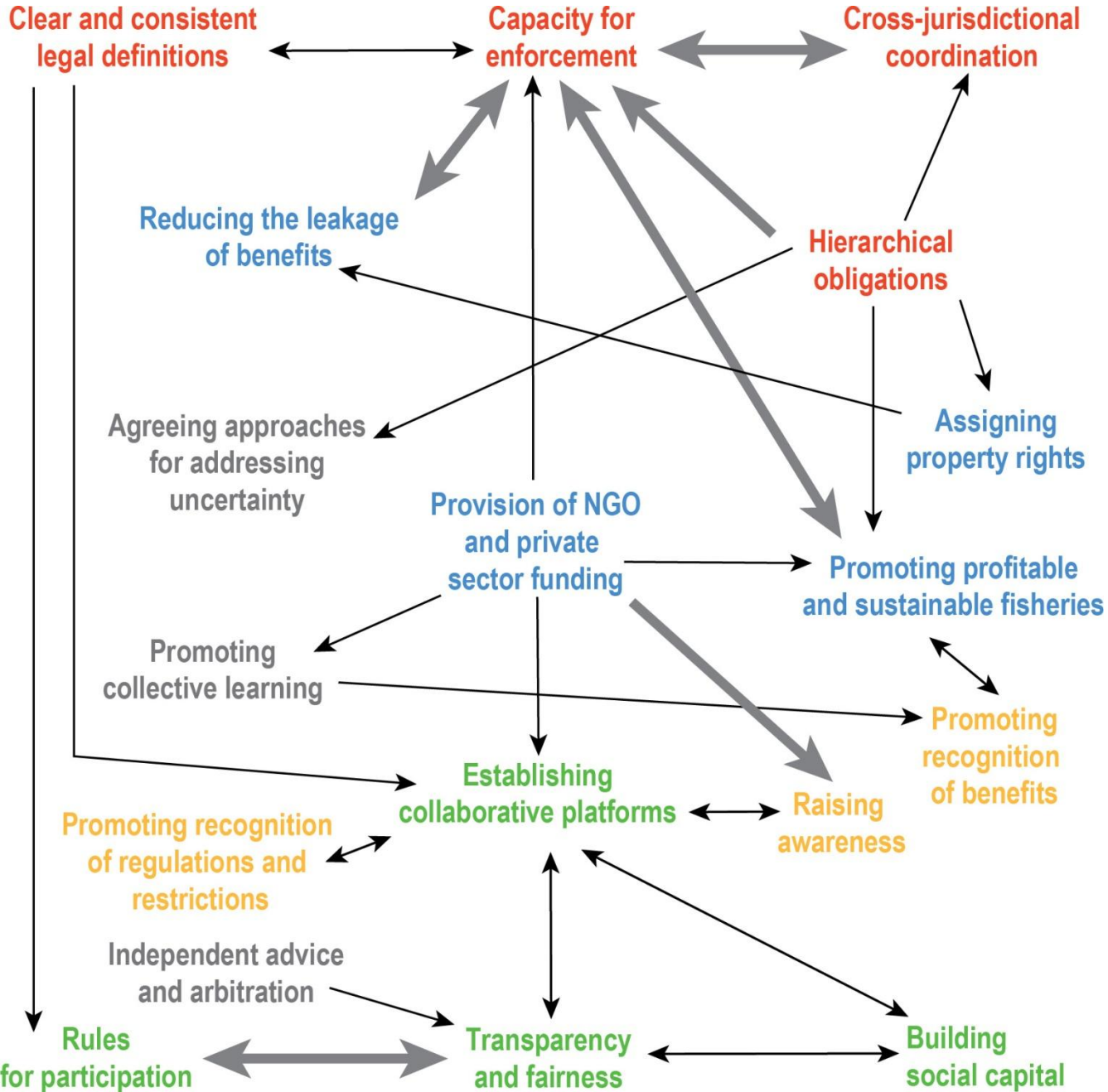


Karimunjawa MPA (Wildlife Conservation Society)



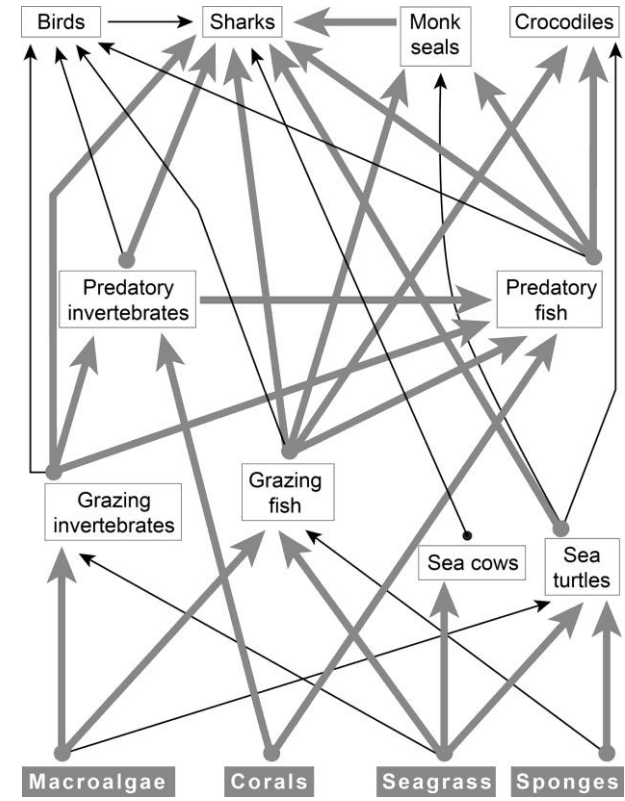
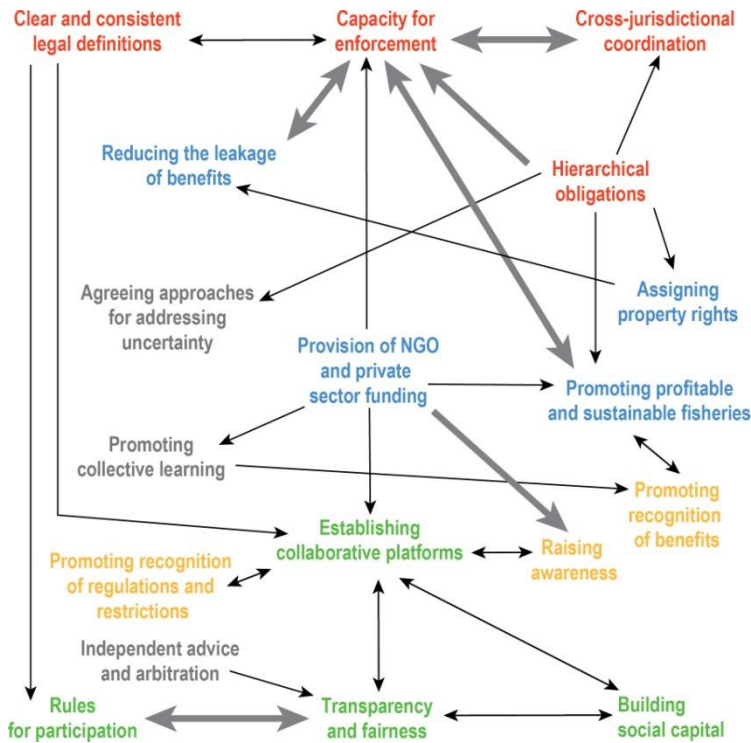


# What key attribute confers stability in governance systems?





Incentive diversity → more resilient governance framework → increased effectiveness of MPA → increased biodiversity → more resilient ecosystem → increased ecosystem services: fish catches, tourism, coastal defence, etc → more resilient social system → **increased resilience of social-ecological system**



Jackson et al (2001) *Science*, 293, 629-638

## **Great Barrier Reef Marine Park (Australia)**

Uncertain whether declines in fish populations and/or terrestrial runoff exacerbating crown of thorns starfish outbreaks;

No-take zones (NTZs) area increased on a precautionary basis;

Recoveries in health of NTZs make them more resilient: fewer starfish outbreaks than fished areas leading to higher coral cover.

## **Isla Natividad (Mexico)**

Relatively large body size & high egg production of abalone populations in NTZs conferred resilience to anoxia episodes related to ocean warming: increased survival and recovery rate;

Larval export promoted replenishment of populations in fished areas

## **Chumbe (Tanzania)**

Coral reefs in no-take MPA less impacted by coral bleaching and recovered sooner: considered most resilient in Western Indian Ocean

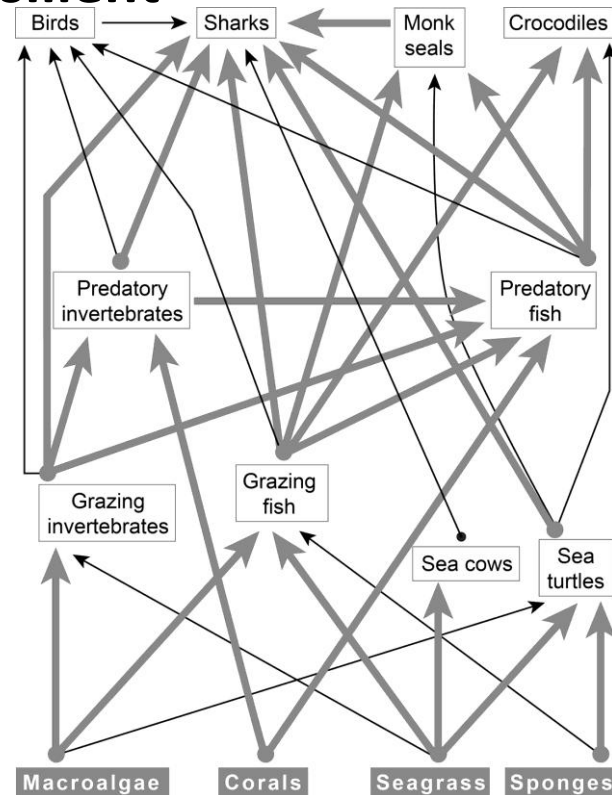
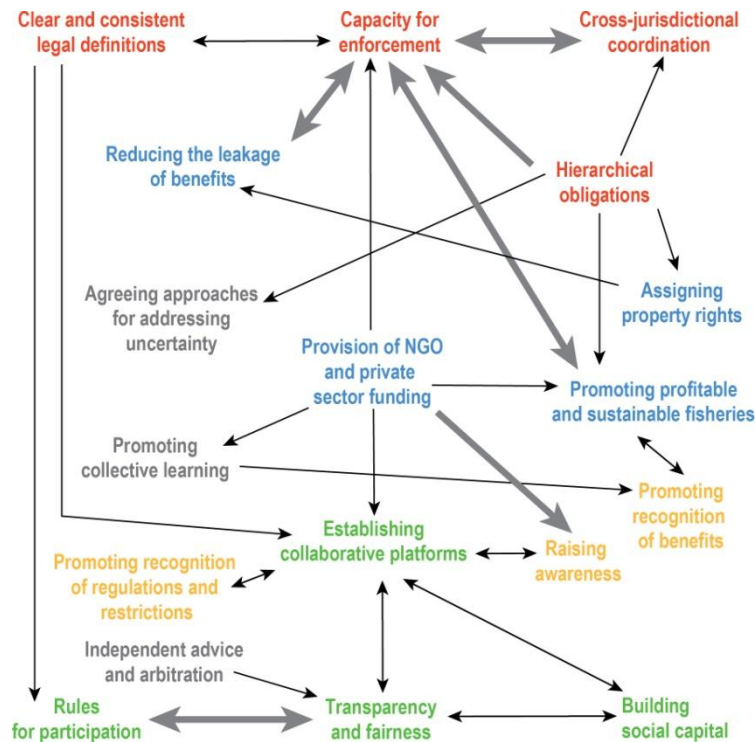
In the face of strong driving forces, the combined use of a diversity of inter-connected incentives makes MPA governance frameworks more resilient.

Resilience in MPA governance frameworks is therefore **woven by complex webs connecting incentives from all five categories**

... but **without strong legal incentives to reinforce the MPA governance framework, it will not be resilient**



**Driving forces**





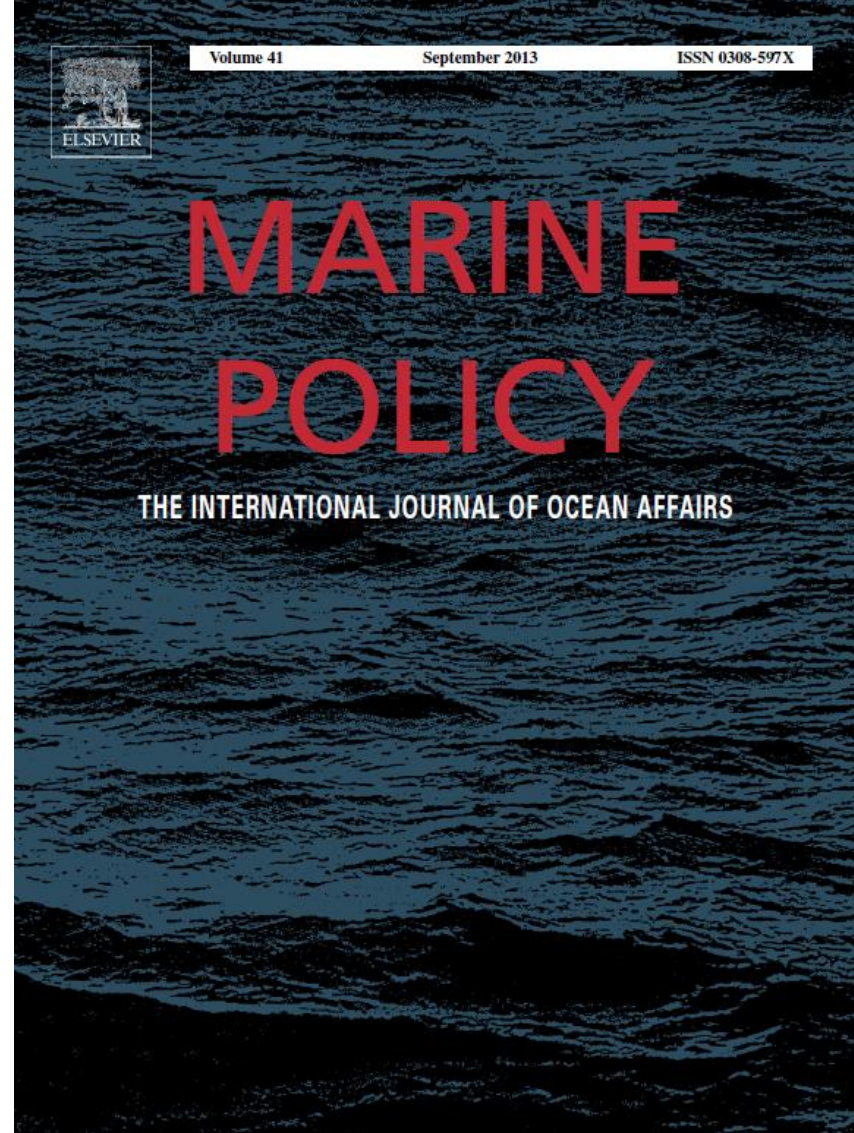
# Governing Marine Protected Areas

Getting the Balance Right

Technical Report

March 2011

[www.mpag.info](http://www.mpag.info)



Special Issue (2013)

Volume 41

<http://www.journals.elsevier.com/marine-policy>

**Discount price :  
£35 at this talk**

# Governing Marine Protected Areas

Resilience through Diversity



Peter J.S. Jones

earthscan  
from Routledge

Systematic way of '**deconstructing**' MPA governance into different categories of incentives and governance approaches

MPAG analysis framework can be applied on a **meta-analysis** basis to a larger sample of MPA case studies

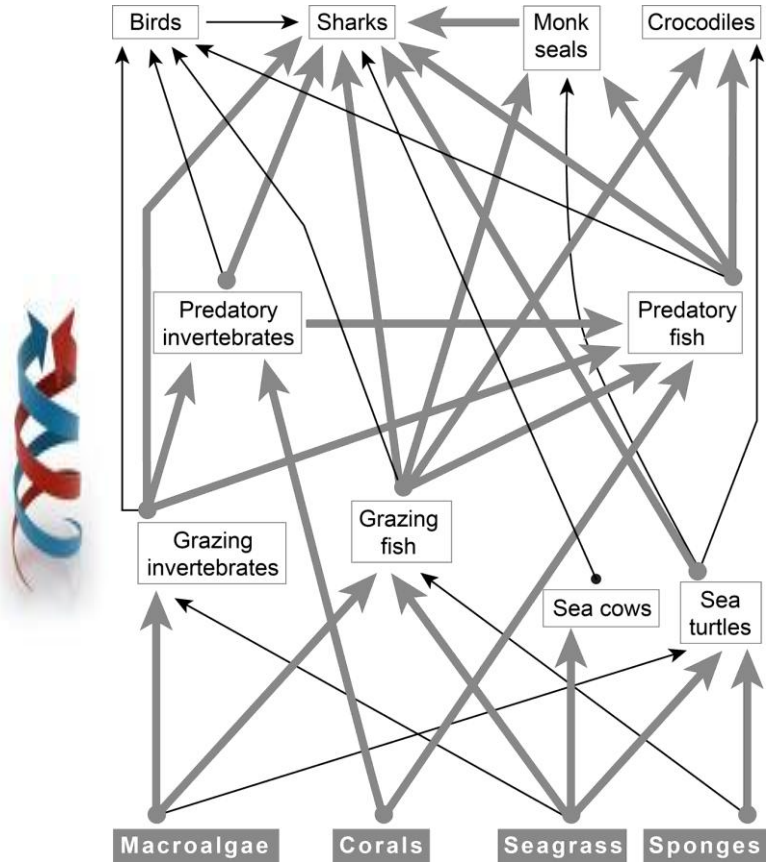
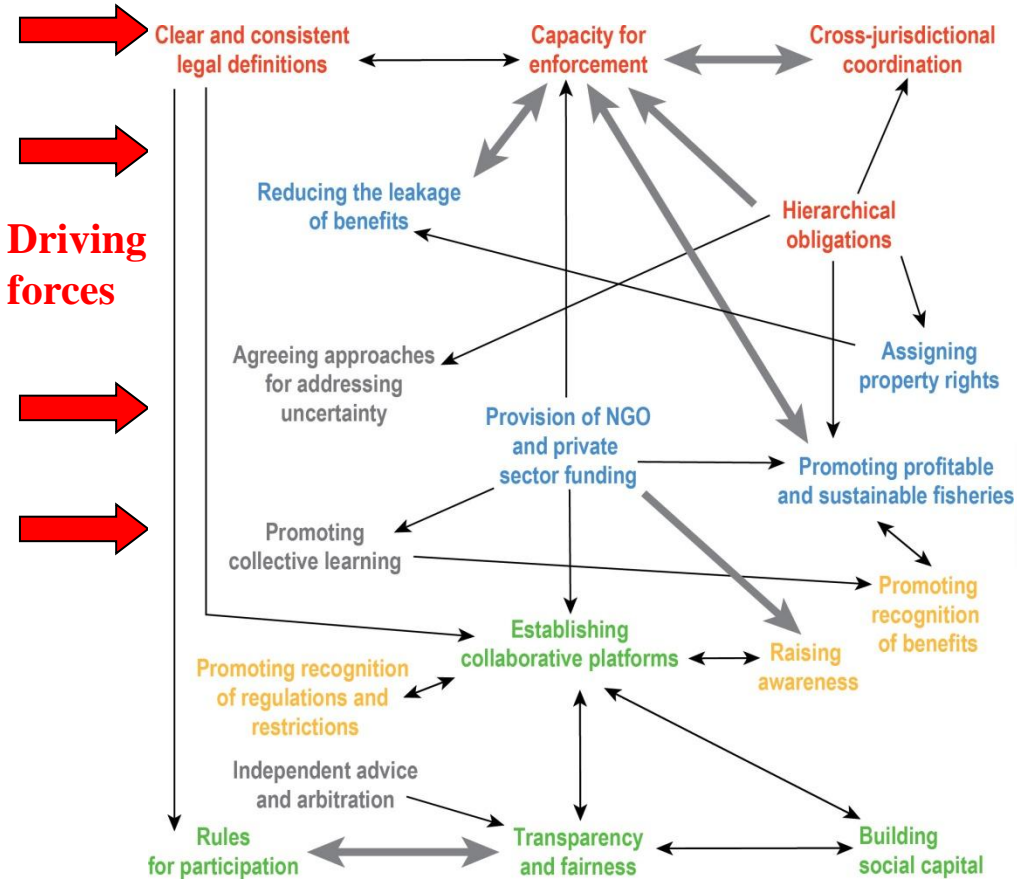
**Guidance** for assessing governance issues in any given MPA and transferring 'good practice'

**More realistic** theoretical and empirical framework for studies related to wider natural resource governance

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<http://bit.ly/GoverningMPAs>

# Diversity is the key to resilience, both of species in ecosystems and incentives in governance systems



Jackson et al (2001) *Science*, 293, 629-638

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