

Dedicated to the memory of B. M. Levitan

The Variance of the Hyperbolic Lattice Point Counting Function

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Abstract. Let Γ be a discrete group of isometries of the d -dimensional hyperbolic space \mathcal{H} , such that $\Gamma \backslash \mathcal{H}$ has finite volume. For points $\mathbf{q}, \mathbf{p} \in \mathcal{H}$, we study the number $N_\rho(\mathbf{q}, \mathbf{p})$ of points of the lattice $\Gamma \mathbf{p}$ in a ball of radius ρ , centered at \mathbf{q} . This counting function depends only on the images of \mathbf{q} and \mathbf{p} in $\Gamma \backslash \mathcal{H}$. We shall regard $N_\rho(\mathbf{q}, \mathbf{p})$ as a function of $\mathbf{q} \in \Gamma \backslash \mathcal{H}$ and estimate its variance.

1. INTRODUCTION

The problem of estimating the number of points of a lattice that lie in a ball, is often called the *circle problem*. In the case of lattices in Euclidean space, this question goes back at least as far as Gauss. If we call N_ρ the number of points of \mathbb{Z}^2 inside the ball $B(0, \rho)$, then one easily sees that the leading term of N_ρ is the area, $\pi\rho^2$, of $B(0, \rho)$. It is not difficult to show that the error term in this estimate is bounded by circumference of $B(0, \rho)$ and is therefore $O(\rho)$. The first improvement was due to Sierpiński (1906) who used the Poisson summation formula to show that the error term is $O(\rho^{2/3})$. It is conjectured that this error term is in fact $O(\rho^{1/2+\epsilon})$. This conjecture has been extensively studied (see, for example, [18, 25, 5, 12, 13]) but is not proved. The best estimate of which we are aware is $N_\rho = \pi\rho^2 + O(\rho^{46/73})$ (see [12]).

More generally, given an arbitrary lattice L in \mathbb{R}^d and an arbitrary ball $B(v, \rho)$ in \mathbb{R}^d , we let $N_\rho(v)$ denote the number of points of L in the ball. Again if we fix v and L , then for large ρ , we have

$$N_\rho(v) \sim \text{vol}(B(0, \rho)) / \text{vol}(\mathbb{R}^d / L). \quad (1)$$

The easy bound on the error term here is $O(\rho^{d-1})$ and this has been improved by a number of authors (see [15, 3], and [7]).

It is obvious that the left-hand side of (1) depends on the center v of the ball, whereas the right-hand side depends only on its volume. The extent of this dependence is measured by the variance:

$$\text{Var}(N_\rho) = (1/\text{vol}(\mathbb{R}^d / L)) \int_{\mathbb{R}^d / L} \left(N_\rho(v) - \frac{\text{vol}(B(0, \rho))}{\text{vol}(\mathbb{R}^d / L)} \right)^2 dv.$$

In [20], this variance was estimated in connection with the Bethe–Sommerfeld Conjecture. In particular, it was shown that $\text{Var}(N_\rho) \asymp \rho^{d-1}$, $d \not\equiv 1 \pmod{4}$. However if d is congruent to 1 modulo 4, it is shown that $\text{Var}(N_\rho)$ is not comparable to ρ^{d-1} , and instead one has for positive ϵ

$$\rho^{d-1-\epsilon} \ll \text{Var}(N_\rho) \ll \rho^{d-1}, \quad d \equiv 1 \pmod{4}. \quad (2)$$

These results are saying that, after averaging over v , the size of the error term in the circle problem is $O(\rho^{(d-1)/2})$. A similar result was obtained for the L^1 -norm of $N_\rho - \text{vol}(B(0, \rho)) / \text{vol}(\mathbb{R}^d / L)$. The first estimate in (2) was later improved in [14].

Now let Γ be a discrete group of isometries of the d -dimensional real hyperbolic space \mathcal{H} . For a point $\mathbf{p} \in \mathcal{H}$, we shall write $\Gamma \mathbf{p}$ for the Γ -orbit of \mathbf{p} . Again one may estimate the number of points of $\Gamma \mathbf{p}$ in a ball $B(\mathbf{q}, \rho)$ in \mathcal{H} : $N_\rho(\mathbf{q}, \mathbf{p}) = \#(\Gamma \mathbf{p} \cap B(\mathbf{q}, \rho))$. One easily sees that $N_\rho(\mathbf{q}, \mathbf{p})$ depends only on the images of \mathbf{q} and \mathbf{p} in $\Gamma \backslash \mathcal{H}$, and we also have $N_\rho(\mathbf{q}, \mathbf{p}) = N_\rho(\mathbf{p}, \mathbf{q})$.

For $d = 2$, the numbers $N_\rho(\mathbf{q}, \mathbf{p})$ were estimated by Huber [10, 11] for the case of co-compact Γ . Huber’s results were extended by Patterson [21] to the case in which $\Gamma \backslash \mathcal{H}$ has finite hyperbolic area, but may have cusps. Patterson’s result was generalized to arbitrary dimensions by Lax and Phillips [16] and Levitan [17]. In fact, Lax and Phillips obtained results which continue to hold when

Γ is geometrically finite, but does not necessarily have finite co-volume. In this context, we remark that the circle problem has more recently been studied on other symmetric spaces, including those of higher rank (see [8, 2] and [4]).

To establish some notation, we describe the result of [16] for the case in which $\Gamma \backslash \mathcal{H}$ has finite volume. By an *eigenfunction* we shall always mean a nonzero, smooth, square-integrable function $\phi : \Gamma \backslash \mathcal{H} \rightarrow \mathbb{C}$ such that $-\Delta\phi = \lambda\phi$. Here Δ is the Laplace–Beltrami operator on \mathcal{H} (see (12) below). There are at most countable many linearly independent eigenfunctions; we shall call them ϕ_0, ϕ_1, \dots . We shall assume that these are chosen to be orthonormal, i.e.,

$$\int_{\Gamma \backslash \mathcal{H}} \phi_j(\mathbf{p}) \bar{\phi}_k(\mathbf{p}) d\mu(\mathbf{p}) = \delta_{jk},$$

where μ is the invariant measure on \mathcal{H} , see (11). The corresponding eigenvalues λ_j are all nonnegative real numbers, and we shall order them so that $0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$. The constant function has eigenvalue 0; if there are infinitely many ϕ_j , then $\lambda_j \rightarrow \infty$. For convenience, we shall often use the notation $\mathcal{D} = \frac{d-1}{2}$, where d is the dimension of \mathcal{H} . We shall also choose complex numbers s_j such that $\lambda_j = s_j(d-1-s_j)$. If $\lambda_j \geq \mathcal{D}^2$, then s_j is on the line $\text{Re } s = \mathcal{D}$; we shall refer to this line as the *critical line*. However if $\lambda_j < \mathcal{D}^2$, we have $s_i \in [0, 2\mathcal{D}]$, and we shall choose $s_j \in (\mathcal{D}, 2\mathcal{D}]$. We shall call λ an *exceptional eigenvalue* if $\lambda = s(d-1-s)$ with real $\mathcal{D} < s < 2\mathcal{D}$ (i.e., $0 < \lambda < \mathcal{D}^2$). There are only finitely many exceptional eigenvalues $0 < \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_N < \mathcal{D}^2$.

With this notation, the estimate of [16] is as follows:

$$N_\rho(\mathbf{q}, \mathbf{p}) = \text{vol}(B(\mathbf{p}, \rho)) / \text{vol}(\Gamma \backslash \mathcal{H}) + \sum_{j : d-1-\frac{d-1}{d+1} < s_j < d-1} w(s_j) \phi_j(\mathbf{q}) \bar{\phi}_j(\mathbf{p}) e^{s_j \rho} + O\left(\rho^{\frac{3}{d+1}} e^{(d-1-\frac{d-1}{d+1})\rho}\right), \tag{3}$$

where the coefficients $w(s)$ are given by

$$w(s) = \pi^{\mathcal{D}} \Gamma(s - \mathcal{D}) / \Gamma(s + 1). \tag{4}$$

(We hope it will not cause too much confusion to use the symbol Γ to refer to both a discrete group and to the Euler gamma function.)

If one averages the numbers $N_\rho(\mathbf{q}, \mathbf{p})$ in some way, then one can hope to obtain a better bound on the error term in (3). For example, Phillips and Rudnick [22] have studied the average of the numbers $N_\rho(\mathbf{q}, \mathbf{p})$ as ρ ranges over an interval. In another direction, for co-compact Γ in the 2-dimensional case, Wolfe estimated the variance of $N_\rho(\mathbf{q}, \mathbf{p})$ as a function of \mathbf{q} and \mathbf{p} :

$$\int_{\Gamma \backslash \mathcal{H}} \int_{\Gamma \backslash \mathcal{H}} \left(N_\rho(\mathbf{q}, \mathbf{p}) - \frac{\text{vol}(B(\mathbf{p}, \rho))}{\text{vol}(\Gamma \backslash \mathcal{H})} \right)^2 d\mu(\mathbf{q}) d\mu(\mathbf{p}).$$

A similar result is outlined in the 3-dimensional case in [23]. As Wolfe points out, this question only makes sense for co-compact Γ , since otherwise N_ρ fails to be square-integrable on $\Gamma \backslash \mathcal{H} \times \Gamma \backslash \mathcal{H}$. One can however fix \mathbf{p} and study the variance of $N_\rho(\mathbf{q}, \mathbf{p})$ as a function of \mathbf{q} ; this is the subject of the present paper. Thus we shall study the quantity

$$\text{Var}(N_\rho(\cdot, \mathbf{p})) = \frac{1}{\text{vol}(\Gamma \backslash \mathcal{H})} \int_{\Gamma \backslash \mathcal{H}} \left(N_\rho(\mathbf{q}, \mathbf{p}) - \frac{\text{vol}(B(\mathbf{p}, \rho))}{\text{vol}(\Gamma \backslash \mathcal{H})} \right)^2 d\mu(\mathbf{q}).$$

This number describes to what extent the number of lattice points in a ball depends on the center of the ball.

1.1. Statements

To make matters simpler, we assume throughout that Γ is torsion-free. We first describe our result for the case in which Γ is co-compact. Again we shall write $\lambda_1, \dots, \lambda_N$ for the exceptional eigenvalues; ϕ_1, \dots, ϕ_N will denote the corresponding normalized eigenfunctions, and $\lambda_j = s_j(d-1-s_j)$ with $\mathcal{D} < s_j < d-1$. For an exceptional eigenvalue $\lambda = s(d-1-s)$, we define a polynomial

$$f_s(x) = w(s)(1-x)^d \sum_{0 \leq n < s-\mathcal{D}} \frac{(d-s)_n (\mathcal{D}+1)_n x^n}{(\mathcal{D}-s+1)_n n!}. \tag{5}$$

Here, $w(s)$ is the same constant (4) as in the Lax-Phillips theorem. We are using the notation $(x)_n = x(x+1)\dots(x+n-1)$. We also define a constant $c_{\log}(s)$ by

$$c_{\log}(s) = \begin{cases} \frac{2(-1)^{s-\mathcal{D}} \pi^{d-1}}{(s-\mathcal{D})\Gamma(s+1)\Gamma(d-s)} & \text{if } s-\mathcal{D} \in \mathbb{Z}, \\ 0 & \text{otherwise.} \end{cases} \tag{6}$$

Finally, we define a constant h_d , depending only on the dimension d , by

$$h_d = \begin{cases} -\sum_{k=1}^{(d-1)/2} 1/k & \text{if } d \text{ is odd,} \\ \log 4 - \sum_{k=0}^{(d-2)/2} 2/(2k+1) & \text{if } d \text{ is even.} \end{cases} \tag{7}$$

Theorem 1. *If Γ is co-compact, then for large ρ , we have*

$$\begin{aligned} \text{vol}(\Gamma \backslash \mathcal{H}) \text{Var}(N_\rho(\cdot, \mathbf{p})) &= \sum_{j:0 < \lambda_j < \mathcal{D}^2} f_{s_j} (e^{-2\rho})^2 |\phi_j(\mathbf{p})|^2 e^{2s_j \rho} + \sum_{j:0 < \lambda_j < \mathcal{D}^2} c_{\log}(s_j) |\phi_j(\mathbf{p})|^2 \rho e^{(d-1)\rho} \\ &+ \frac{4\pi^{d-1}}{\Gamma(\mathcal{D}+1)^2} \sum_{j:\lambda_j = \mathcal{D}^2} |\phi_j(\mathbf{p})|^2 (h_d + \rho)^2 e^{(d-1)\rho} + O(e^{(d-1)\rho}). \end{aligned}$$

Here f_s , c_{\log} and h_d are as defined in (5), (6), and (7). The implied constant in the error term depends on Γ .

One could obtain a result with a much weaker error term by integrating the Lax-Phillips estimate (3) with respect to \mathbf{q} . Such a result would however only involve a subset of the exceptional eigenvalues; it would only involve the constant term of each polynomial f_s , and it would not contain the c_{\log} terms. As far as we are aware, these extra terms have not been observed before.

The reader wishing for slightly less information might be happier with the constant term of f_j : $f_s(e^{-2\rho}) = w(s) + O(e^{-2\rho})$, where $w(s)$ is as in the Lax-Phillips theorem (4). Indeed, in dimensions 2 and 3, the other terms of the polynomial f_s may be absorbed into the error term, and we have the following corollary.

Corollary 1. *Let Γ be as in Theorem 1 and assume in addition that $d = 2$ or $d = 3$. Then*

$$\begin{aligned} \text{vol}(\Gamma \backslash \mathcal{H}) \text{Var}(N_\rho(\cdot, \mathbf{p})) &= \sum_{j : 0 < \lambda_j < \mathcal{D}^2} w(s_j)^2 |\phi_j(\mathbf{p})|^2 e^{2s_j \rho} \\ &+ \frac{4\pi^{d-1}}{\Gamma(\mathcal{D}+1)^2} \sum_{j : \lambda_j = \mathcal{D}^2} |\phi_j(\mathbf{p})|^2 (h_d + \rho)^2 e^{(d-1)\rho} + O(e^{(d-1)\rho}). \end{aligned} \tag{8}$$

The implied constant in the error term depends on Γ .

Remark 1. Integrating (8) with respect to \mathbf{p} , we improve Wolfe’s estimate from [26].

Now suppose that $\Gamma \backslash \mathcal{H}$ has finite volume, but has a finite number of cusps $\kappa_1, \dots, \kappa_M$. For each cusp κ , we let $E_\kappa(\mathbf{q}, s)$ denote the corresponding Eisenstein series; to make matters more precise, we now describe the normalization of $E_\kappa(\mathbf{q}, s)$. We represent \mathcal{H} in the usual way as the upper half-space: $\mathcal{H} = \{(\mathbf{x}, y) : \mathbf{x} \in \mathbb{R}^{d-1}, y > 0\}$. For $\mathbf{p} \in \mathcal{H}$, we shall write $y(\mathbf{p})$ for the y -coordinate of \mathbf{p} . We let N denote the group of translations of \mathcal{H} in the \mathbf{x} -coordinate. We identify N with \mathbb{R}^{d-1} and equip it with the Lebesgue measure from \mathbb{R}^{d-1} . Each cusp κ_i is a point on the boundary of \mathcal{H} , i.e., $\mathbb{R}^{d-1} \cup \{\infty\}$. We shall write Γ_κ for the stabilizer of κ in Γ . We choose an isometry g_κ of \mathcal{H} so that

- (1) $g_\kappa(\kappa) = \infty$;
- (2) $\text{vol}(N/(g_\kappa \Gamma_\kappa g_\kappa^{-1})) = 1$.

(Since we are assuming that Γ is torsion-free, it follows that $g_\kappa \Gamma_\kappa g_\kappa^{-1}$ is a lattice in N .) Then for $\text{Re } s > d - 1$, we define the *Eisenstein series* by

$$E_\kappa(\mathbf{q}, s) = \sum_{\gamma \in \Gamma_\kappa \backslash \Gamma} y(g_\kappa \gamma \mathbf{q})^s. \tag{9}$$

Each $E_\kappa(\mathbf{q}, s)$ converges absolutely and uniformly on compact subsets of the half plane $\text{Re } s > d - 1$. It is therefore analytic in s in this region. Furthermore, E_κ has a meromorphic continuation to all $s \in \mathbb{C}$. Each function $E_\kappa(\cdot, s)$ is automorphic with respect to Γ , so we may regard it as a function on $\Gamma \backslash \mathcal{H}$. For convenience, we shall write $\mathcal{E}(\mathbf{q}, s)$ for the column vector of Eisenstein series: $\mathcal{E}_j(\mathbf{q}, s) = E_{\kappa_j}(\mathbf{q}, s)$, $j = 1, \dots, M$. This vector of Eisenstein series satisfies a functional equation of the form

$$\mathcal{E}(\mathbf{q}, d - 1 - s) = \Phi(s) \mathcal{E}(\mathbf{q}, s), \tag{10}$$

where $\Phi(s)$ is an $M \times M$ matrix of meromorphic functions, called the *scattering matrix*. The scattering matrix $\Phi(s)$ is unitary for s on the critical line $\text{Re } s = \mathcal{D}$. Thus $s = \mathcal{D}$ is the center of symmetry of the functional equation.

Theorem 2. *If Γ is co-finite, then for large ρ we have*

$$\begin{aligned} \text{vol}(\Gamma \backslash \mathcal{H}) \text{Var}(N_\rho(\cdot, \mathbf{p})) &= \sum_{j:0 < \lambda_j < \mathcal{D}^2} f_{s_j} (e^{-2\rho})^2 |\phi_j(\mathbf{p})|^2 e^{2s_j\rho} + \left(\sum_{j:0 < \lambda_j < \mathcal{D}^2} c_{\log(s_j)} |\phi_j(\mathbf{p})|^2 \right. \\ &\quad \left. + \frac{\pi^{d-1}}{\Gamma(\mathcal{D} + 1)^2} |\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 \right) \rho e^{(d-1)\rho} + \frac{4\pi^{d-1}}{\Gamma(\mathcal{D} + 1)^2} \sum_{j:\lambda_j = \mathcal{D}^2} |\phi_j(\mathbf{p})|^2 (h_d + \rho)^2 e^{(d-1)\rho} + O(e^{(d-1)\rho}). \end{aligned}$$

Here, $|\mathcal{E}(\mathbf{p}, \mathcal{D})|$ is the usual Euclidean norm of the vector of Eisenstein series. The implied constant in the error term depends on both Γ and \mathbf{p} .

Suppose that ϕ is one of the exceptional eigenfunctions. After multiplying ϕ by a constant of absolute value 1 if necessary, we may assume that ϕ is real valued. Since ϕ is orthogonal to the constant function, we know that its integral is zero. Hence ϕ takes both positive and negative values, so it follows that ϕ vanishes on a subset of \mathcal{H} of codimension 1. Similarly, one can show that $E_\kappa(\mathcal{D}, \mathbf{p})$ is zero on such a subset. Thus there are points $\mathbf{p} \in \mathcal{H}$ for which some of the terms of the estimates of Theorems 1 and 2 vanish. For these points \mathbf{p} , the variance of $N_\rho(\cdot, \mathbf{p})$ is significantly smaller than for other points, so the corresponding lattices $\Gamma\mathbf{p}$ are more evenly distributed in balls.

2. BACKGROUND MATERIAL

2.1. The Plancherel Formula for Γ

We recall that the standard metric on the upper half space model of \mathcal{H} is given by

$$y^{-2} (dx_1^2 + \dots + dx_{d-1}^2 + dy^2).$$

The measure invariant under isometries is

$$d\mu(\mathbf{x}, y) = y^{-d} dx_1 \dots dx_{d-1} dy, \tag{11}$$

and the positive Laplace–Beltrami operator is

$$-\Delta = -y^2 \left(\frac{\partial^2}{\partial x_1^2} + \dots + \frac{\partial^2}{\partial x_{d-1}^2} + \frac{\partial^2}{\partial y^2} \right) + (d-2)y \frac{\partial}{\partial y}. \tag{12}$$

Our method for calculating the variance of $N_\rho(\cdot, \mathbf{p})$ is to find its Fourier transform and then apply the Plancherel formula, which we now recall.

The space $L^2(\Gamma \backslash \mathcal{H})$ is a Hilbert space with the following inner product:

$$\langle f, g \rangle = \int_{\Gamma \backslash \mathcal{H}} f(\mathbf{p}) \bar{g}(\mathbf{p}) d\mu(\mathbf{p}).$$

Suppose first that $\Gamma \backslash \mathcal{H}$ is compact. In this case, the orthonormal eigenfunctions $\phi_0, \phi_1, \phi_2, \dots$ span a dense subspace of $L^2(\Gamma \backslash \mathcal{H})$. The discrete Fourier transform of a function $f \in L^2(\Gamma \backslash \mathcal{H})$ is defined by $\hat{f}_j^{(\text{disc})} := \langle f, \phi_j \rangle$. Thus we have (with convergence in $L^2(\Gamma \backslash \mathcal{H})$)

$$f = \sum_{j=0}^{\infty} \hat{f}_j^{(\text{disc})} \cdot \phi_j.$$

From this, we deduce the Plancherel formula in this case,

$$\|f\|^2 = \sum_{j=0}^{\infty} \left| \hat{f}_j^{(\text{disc})} \right|^2.$$

In particular, the variance of f is simply this sum without the coefficient of ϕ_0 ,

$$\text{Var}(f) = \frac{1}{\text{vol}(\Gamma \backslash \mathcal{H})} \sum_{j=1}^{\infty} \left| \hat{f}_j^{(\text{disc})} \right|^2. \tag{13}$$

Now suppose that Γ has cusps $\kappa_1, \dots, \kappa_M$. In this case, the closed span of the eigenfunctions ϕ_j is a proper subspace of $L^2(\Gamma \backslash \mathcal{H})$. The orthogonal complement of this subspace consists of integrals of Eisenstein series over the critical line $\text{Re } s = \mathcal{D}$. More precisely, recall that, for each cusp κ , we have an Eisenstein series $E_\kappa(\mathbf{p}, s)$. For each s which is not one of the poles of E_κ , the function $E_\kappa(\cdot, s)$ is the solution of the eigenfunction equation for $-\Delta$,

$$-\Delta E_\kappa(\cdot, s) = s(d-1-s)E_\kappa(\cdot, s). \tag{14}$$

Since $E_\kappa(\mathbf{p}, \bar{s}) = \overline{E_\kappa(\mathbf{p}, s)}$, it follows that $E_\kappa(\cdot, s)$ is real valued for real s . Furthermore, by the Fourier expansion of E_κ around κ , it follows that $E_\kappa(\mathbf{p}, \mathcal{D})$ is bounded below and tends to infinity as \mathbf{p} moves into the κ . For fixed s on the critical line (i.e., $\text{Re } s = \mathcal{D}$), the function $E_\kappa(\mathbf{p}, s)$ is not square-integrable; it is in fact in $L^p(\Gamma \backslash \mathcal{H})$ if and only if $p < 2$. However, for $a \in C_0^\infty(\mathbb{R})$, the function

$$\hat{a}_\kappa(\mathbf{p}) = \int_{\mathbb{R}} a(t) E_\kappa(\mathcal{D} + it, \mathbf{p}) dt$$

is square-integrable on $\Gamma \backslash \mathcal{H}$. The orthogonal complement of the eigenfunctions is the closed span of the functions \hat{a}_κ for the various cusps κ and $a \in C_0^\infty(\mathbb{R})$.

We therefore define the continuous part of the Fourier transform of f by

$$\hat{f}_\kappa^{(\text{cts})}(s) = \int_{\Gamma \backslash \mathcal{H}} f(\mathbf{p}) \bar{E}_\kappa(\mathbf{p}, s) d\mu(\mathbf{p}), \quad \text{Re } s = \mathcal{D}.$$

In this case, the Plancherel formula states that

$$\|f\|^2 = \sum_{j=0}^\infty \left| \hat{f}_j^{(\text{disc})} \right|^2 + \frac{1}{4\pi} \sum_\kappa \int_{\mathbb{R}} \left| \hat{f}_\kappa^{(\text{cts})}(\mathcal{D} + it) \right|^2 dt.$$

The constant $1/4\pi$ depends on the normalization of the Eisenstein series chosen in the introduction. Again we obtain the variance by simply missing out the constant term:

$$\text{Var}(f) = \frac{1}{\text{vol}(\Gamma \backslash \mathcal{H})} \left(\sum_{j=1}^\infty \left| \hat{f}_j^{(\text{disc})} \right|^2 + \frac{1}{4\pi} \sum_\kappa \int_{\mathbb{R}} \left| \hat{f}_\kappa^{(\text{cts})}(\mathcal{D} + it) \right|^2 dt \right). \tag{15}$$

2.2. Hypergeometric Functions

We collect here the facts which we shall need about the hypergeometric function ${}_2F_1$. First, for $|x| < 1$ and for $c \notin \mathbb{Z}_{\leq 0}$, the function is defined by

$${}_2F_1(a, b; c; x) = \sum_{n=0}^\infty \frac{(a)_n (b)_n x^n}{(c)_n n!}.$$

Here we are using the standard notation $(a)_n := \Gamma(a + n)/\Gamma(a) = a(a + 1) \cdots (a + n - 1)$, $(a)_0 = 1$. For the case in which $c \in \mathbb{Z}_{\leq 0}$, we define

$${}_2\mathbf{F}_1(a, b; c; x) = \sum_{n=0}^\infty \frac{(a)_n (b)_n x^n}{\Gamma(n + c) n!}.$$

Here we are using the convention $1/\Gamma(n + c) = 0$ for $n + c = -1, -2, -3, \dots$. Note that generically we have ${}_2F_1(a, b; c; z) = \Gamma(c) {}_2\mathbf{F}_1(a, b; c; z)$. If $\text{Re } c > \text{Re } b > 0$, then we have an integral representation (Theorem 2.2.1 of [1])

$${}_2F_1(a, b; c; x) = \frac{\Gamma(c)}{\Gamma(b)\Gamma(c-b)} \int_0^1 t^{b-1} (1-t)^{c-b-1} (1-xt)^{-a} dt. \tag{16}$$

Using the analytic continuation of $(1-x)^{-a}$ to the cut plane $\mathbb{C} \setminus [1, \infty)$, the identity (16) gives an analytic continuation of ${}_2\mathbf{F}_1$ to the same cut plane, as long as $\text{Re } c > \text{Re } b > 0$, and in fact ${}_2\mathbf{F}_1$ has an analytic continuation to this cut plane for all $a, b, c \in \mathbb{C}$. When we refer to ${}_2F_1(a, b; c; x)$ with $|x| \geq 1$, we shall always mean this continuation.

From (16), one easily obtains Pfaff's identity (Theorem 2.2.5 of [1]):

$${}_2\mathbf{F}_1(a, b; c; x) = (1-x)^{-a} {}_2\mathbf{F}_1(a, c-b; c; x/(x-1)). \tag{17}$$

The hypergeometric function ${}_2\mathbf{F}_1(a, b; c; \cdot)$ is a solution to the following differential equation (see section 2.3 of [1]):

$$(1-x)d^2f/dx^2 + (c - (a+b+1)x)df/dx - abf = 0. \tag{18}$$

This equation has two linearly independent solutions on the interval $(0, 1)$; the form of the second solution depends on which of the numbers $c, a-b, c-a-b$ are integers. If c is not an integer, then a second solution is given by $x^{1-c} {}_2F_1(a+1-c, b+1-c; 2-c; x)$. Now consider the function ${}_2F_1(a, b; a+b+1-c; 1-x)$ for $x \in (0, 1)$. It follows that this is also a solution to (18), so we may write ${}_2F_1(a, b; a+b+1-c; 1-x)$ as a linear combination of the two linearly independent solutions. If c is not an integer, then this expansion is:

$${}_2F_1(a, b; a+b+1-c; 1-x) = A {}_2F_1(a, b; c; x) + B x^{1-c} {}_2F_1(a+1-c, b+1-c; 2-c; x), \tag{19}$$

where

$A = \Gamma(1 - c)\Gamma(a + b + 1 - c)/\Gamma(a + 1 - c)\Gamma(b + 1 - c)$, $B = \Gamma(a + b - c)\Gamma(a + b + 1 - c)/\Gamma(a)\Gamma(b)$. Formula (19) gives us a good understanding of ${}_2F_1(a, b; a + b + 1 - c; 1 - x)$ when x is small and $c \notin \mathbb{Z}$. We shall need a formula of this form valid for $c \in \mathbb{Z}$: if $c = 1 - m$ with $m = 1, 2, \dots$, then

$${}_2F_1(a, b; a + b + m; 1 - x) = \frac{\Gamma(m)\Gamma(a + b + m)}{\Gamma(a + m)\Gamma(b + m)} \sum_{n=0}^{m-1} \frac{(a)_n(b)_n}{(1 - m)_n} \frac{x^n}{n!} + \frac{\Gamma(a + b + m)}{\Gamma(a)\Gamma(b)} (-x)^m \sum_{n=0}^{\infty} \frac{(a + m)_n(b + m)_n}{(n + m)!} (\log(x) + r_n) \frac{x^n}{n!}, \tag{20}$$

where $r_n = \psi(a + m + n) + \psi(b + m + n) - \psi(1 + m + n) - \psi(n + 1)$, $\psi(x) = \Gamma'(x)/\Gamma(x)$. This may be obtained by taking the limit of (19) as $c \rightarrow 1 - m$; it can be found in [19, Sec. 2.4.1].

3. PROOFS OF THE MAIN RESULTS

Theorems 1 and 2 are proved by calculating the Fourier transform of the counting function $N_\rho(\cdot, \mathbf{p})$ and applying the Plancherel formula (13) or (15). We shall make brief use of the following function on $\mathcal{H} \times \mathcal{H}$: $\chi_\rho(\mathbf{p}, \mathbf{q}) = 1$ if $\text{dist}(\mathbf{p}, \mathbf{q}) < \rho$, $\chi_\rho(\mathbf{p}, \mathbf{q}) = 0$ if $\text{dist}(\mathbf{p}, \mathbf{q}) \geq \rho$. Obviously, we have $\chi_\rho(g\mathbf{p}, g\mathbf{q}) = \chi_\rho(\mathbf{p}, \mathbf{q})$ for any isometry g of \mathcal{H} , so χ_ρ is a point-pair invariant in the sense of [24] (the fact that χ_ρ is not continuous will play no role here). To calculate the Fourier transform \hat{N}_ρ , we need to integrate N_ρ against eigenfunctions and Eisenstein series. As a first step in this, we note the following lemma.

Lemma 1. *Let $\rho > 0$. For any automorphic function g on \mathcal{H} , we have*

$$\int_{\Gamma \backslash \mathcal{H}} N_\rho(\mathbf{q}, \mathbf{p})g(\mathbf{q})d\mu(\mathbf{q}) = \int_{\mathcal{H}} \chi_\rho(\mathbf{p}, \mathbf{q})g(\mathbf{q})d\mu(\mathbf{q}).$$

Proof. As Γ is torsion-free, we may express N_ρ as $N_\rho(\mathbf{q}, \mathbf{p}) = \sum_{\gamma \in \Gamma} \chi_\rho(\gamma\mathbf{q}, \mathbf{p})$. We may unfold the integral as follows:

$$\int_{\Gamma \backslash \mathcal{H}} N_\rho(\mathbf{q}, \mathbf{p})g(\mathbf{q})d\mu(\mathbf{q}) = \int_{\Gamma \backslash \mathcal{H}} \sum_{\gamma} \chi_\rho(\gamma\mathbf{q}, \mathbf{p})g(\mathbf{q})d\mu(\mathbf{q}) = \int_{\mathcal{H}} \chi_\rho(\mathbf{q}, \mathbf{p})g(\mathbf{q})d\mu(\mathbf{q}). \quad \square$$

Thus to calculate $\widehat{N}_\rho(\cdot, \mathbf{p})$, we will need to integrate eigenfunctions against the point-pair invariant χ_ρ . To do this, we recall the following proposition.

Proposition 1. *Fix $\rho > 0$ and $s \in \mathbb{C}$. There is a number $I(\rho, s)$ with the following property: for any smooth function $\phi: \mathcal{H} \rightarrow \mathbb{C}$ satisfying*

$$-\Delta\phi = s(d - 1 - s)\phi, \tag{21}$$

we always have

$$\int_{\mathcal{H}} \chi_\rho(\mathbf{p}, \mathbf{q})\phi(\mathbf{q})d\mu(\mathbf{q}) = I(\rho, s)\phi(\mathbf{p}). \tag{22}$$

The function I does not depend on ϕ or \mathbf{p} .

Proof. This is true for any point-pair invariant (see, for example, Theorem 1 of [23]). \square

Putting the last two results together, we have

$$\widehat{N}_\rho(\cdot, \mathbf{p})_j^{(\text{disc})} = I(\rho, s_j)\bar{\phi}_j(\mathbf{p}), \quad \widehat{N}_\rho(\cdot, \mathbf{p})^{(\text{cts})}(s) = I(\rho, s)\bar{E}(s, \mathbf{p}). \tag{23}$$

It is therefore important for us to understand $I(\rho, s)$. Before going on, we note the following obvious properties of I :

- (1) for all $\rho > 0$ and all $s \in \mathbb{C}$,

$$I(\rho, s) = \int_{B(\mathcal{O}, \rho)} y(\mathbf{q})^s d\mu(\mathbf{q}), \tag{24}$$

- where \mathcal{O} is the point $(0, \dots, 0, 1)$ of the upper half-space \mathcal{H} ;
- (2) for fixed $\rho > 0$, the function $I(\rho, \cdot)$ is entire, and satisfies the functional equation $I(\rho, s) = I(\rho, d - 1 - s)$. In particular, $I(\rho, s)$ is real for s real or s on the critical line $\text{Re } s = \mathcal{D}$;
- (3) For fixed $\rho > 0$, the function $I(\rho, s)$ is bounded in vertical strips.

The identity (24) follows by substituting $\phi(\mathbf{q}) = y(\mathbf{q})^s$ and $\mathbf{p} = \mathcal{O}$ into (22). The functional equation is immediate from the defining property (22) of I . The analytic properties of I follow from (24).

We need to investigate the behavior of $I(\rho, s)$ as $\rho \rightarrow \infty$. We begin with the following result.

Proposition 2. *For all $\rho > 0$ and all $s \in \mathbb{C}$, we have*

$$I(\rho, s) = \frac{\pi^{\mathcal{D}}\Gamma(\mathcal{D} + 1)}{\Gamma(d + 1)}(2 \sinh(\rho))^d e^{(s-d)\rho} {}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - e^{-2\rho}).$$

Proof. After substituting the definition (11) of the invariant measure μ into the expression (24), we have

$$I(\rho, s) = \int_{B(\mathcal{O}, \rho)} y^{s-d} dx_1 \dots dx_n dy.$$

To calculate the integral, we shall express $B(\mathcal{O}, \rho)$ as a Euclidean ball. The lowest point of this ball has y -coordinate $e^{-\rho}$ and the highest point e^ρ . Thus the Euclidean radius is $\sinh(\rho)$ and the Euclidean center is $(0, \cosh(\rho))$. In the integral, the y coordinate runs from $e^{-\rho}$ to e^ρ . For a fixed value of y , the \mathbf{x} -coordinate runs over a $(d - 1)$ -dimensional Euclidean ball of radius $\sqrt{(e^\rho - y)(y - e^{-\rho})}$. We therefore have

$$I(\rho, s) = \int_{e^{-\rho}}^{e^\rho} \int_{B(0, \sqrt{(e^\rho - y)(y - e^{-\rho})})} y^{s-d} d\mathbf{x} dy.$$

Since the Euclidean ball $B(0, r)$ in \mathbb{R}^{d-1} has volume $\frac{\pi^{\mathcal{D}}}{\Gamma(\mathcal{D}+1)}r^{d-1}$, we have

$$I(\rho, s) = \frac{\pi^{\mathcal{D}}}{\Gamma(\mathcal{D} + 1)} \int_{e^{-\rho}}^{e^\rho} (e^\rho - y)^{\mathcal{D}} (y - e^{-\rho})^{\mathcal{D}} y^{s-d} dy.$$

Now, making the change of variable $2 \sinh(\rho)\eta = y - e^{-\rho}$, we have

$$I(\rho, s) = \frac{\pi^{\mathcal{D}}}{\Gamma(\mathcal{D} + 1)}(2 \sinh(\rho))^d e^{(d-s)\rho} \int_0^1 (1 - \eta)^{\mathcal{D}} \eta^{\mathcal{D}} (1 - (1 - e^{2\rho})\eta)^{s-d} d\eta.$$

By Euler’s integral formula (16), this is equal to

$$I(\rho, s) = \frac{\pi^{\mathcal{D}}\Gamma(\mathcal{D} + 1)}{\Gamma(d + 1)}(2 \sinh(\rho))^d e^{(d-s)\rho} {}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - e^{2\rho}).$$

Finally, by Pfaff’s identity (17), we have

$$I(\rho, s) = \frac{\pi^{\mathcal{D}}\Gamma(\mathcal{D} + 1)}{\Gamma(d + 1)}(2 \sinh(\rho))^d e^{(s-d)\rho} {}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - e^{-2\rho}). \quad \square$$

In passing, we note the following corollary.

Corollary 2. *The volume of a ball in \mathcal{H} of radius ρ is given by:*

$$\text{vol}(B(\mathbf{p}, \rho)) = (\pi^{\mathcal{D}}\Gamma(\mathcal{D} + 1)/\Gamma(d + 1))(2 \sinh(\rho))^d e^{-\rho} {}_2F_1(1, \mathcal{D} + 1; d + 1; 1 - e^{-2\rho}).$$

Proof. We simply note that $\text{vol}(B(\mathbf{p}, \rho)) = I(\rho, d - 1)$. \square

3.1. Leading Terms from the Discrete Spectrum

Recall that, for a real $s > \mathcal{D}$, we defined: $w(s) = \pi^{\mathcal{D}}\Gamma(s - \mathcal{D})/\Gamma(s + 1)$,

$$f_s(x) = w(s)(1 - x)^d \sum_{0 \leq n < s - \mathcal{D}} \frac{(d - s)_n (\mathcal{D} + 1)_n x^n}{(\mathcal{D} - s + 1)_n n!},$$

$$h_d = \begin{cases} -\sum_{k=1}^{(d-1)/2} 1/k & \text{if } d \text{ is odd,} \\ \log(4) - \sum_{k=0}^{(d-2)/2} 2/(2k + 1) & \text{if } d \text{ is even.} \end{cases}$$

Proposition 3. Fix a real $s \in [\mathcal{D}, d - 1]$.

- (i) If $s - \mathcal{D} \notin \mathbb{Z}$, then $I(\rho, s) = f_s(e^{-2\rho})e^{s\rho} + O(e^{(d-1-s)\rho})$.
- (ii) If $s - \mathcal{D}$ is a positive integer, then

$$I(\rho, s) = f_s(e^{-2\rho})e^{s\rho} + \frac{2(-1)^{s-\mathcal{D}}\pi^{\mathcal{D}}}{(s-\mathcal{D})!\Gamma(d-s)}e^{(d-1-s)\rho}\rho + O(e^{(d-1-s)\rho}).$$

- (iii) In the case $s = \mathcal{D}$, we have $I(\rho, \mathcal{D}) = (2\pi^{\mathcal{D}}/\Gamma(\mathcal{D} + 1))(h_d + \rho)e^{\mathcal{D}\rho} + O(\rho e^{\rho(\mathcal{D}-2)})$.

The implied constant in case (i) depends on s .

Proof. In case (i), we use the expansion (19) to show that for $x \in (0, 1)$:

${}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - x) = A{}_2F_1(d - s, \mathcal{D} + 1; \mathcal{D} + 1 - s; x) + Bx^{s-\mathcal{D}}{}_2F_1(d - \mathcal{D}, s + 1; 1; x)$, where $A = \Gamma(d + 1)\Gamma(s - \mathcal{D})/\Gamma(\mathcal{D} + 1)\Gamma(s + 1)$. Bounding the second term above by $x^{s-\mathcal{D}}$, we have

$${}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - x) = \frac{\Gamma(d + 1)\Gamma(s - \mathcal{D})}{\Gamma(\mathcal{D} + 1)\Gamma(s + 1)}{}_2F_1(d - s, \mathcal{D} + 1; \mathcal{D} + 1 - s; x) + O(x^{s-\mathcal{D}}).$$

Truncating the hypergeometric series at the error term, we obtain

$${}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - x) = \frac{\Gamma(d + 1)\Gamma(s - \mathcal{D})}{\Gamma(\mathcal{D} + 1)\Gamma(s + 1)} \sum_{0 \leq n < s - \mathcal{D}} \frac{(d - s)_n(\mathcal{D} + 1)_n}{(\mathcal{D} + 1 - s)_n} \frac{x^n}{n!} + O(x^{s-\mathcal{D}}).$$

Substituting this into Proposition 2, we can write

$$I(\rho, s) = w(s)(2 \sinh(\rho))^d e^{(s-d)\rho} \sum_{0 \leq n < s - \mathcal{D}} \frac{(d - s)_n(\mathcal{D} + 1)_n}{(\mathcal{D} + 1 - s)_n} \frac{e^{-2n\rho}}{n!} + O(e^{(d-1-s)\rho}).$$

Replacing $2 \sinh(\rho)$ by $e^\rho(1 - e^{-2\rho})$, we obtain $I(\rho, s) = f_s(e^{-2\rho})e^{s\rho} + O(e^{(d-1-s)\rho})$. This gives (i). For (ii), we use the expansion (20) instead of (19). To prove (iii), we first use (20) as well, holding on to one extra term. This gives $I(\rho, \mathcal{D}) = (2\pi^{\mathcal{D}}/\Gamma(\mathcal{D} + 1))e^{\mathcal{D}\rho}(\psi(1) - \psi(\mathcal{D} + 1) + \rho) + O(\rho e^{\rho(\mathcal{D}-2)})$, where $\psi = \Gamma'/\Gamma$. By Theorem 1.2.7 of [1], we have $\psi(1) - \psi(\mathcal{D} + 1) = h_d$. \square

Theorem 3.

- For an exceptional eigenvalue $0 < \lambda_j < \mathcal{D}^2$,

$$\left| \widehat{N_\rho(\cdot, \mathbf{p})}_j^{(\text{disc})} \right|^2 = f_{s_j}(e^{-2\rho})^2 |\phi_j(\mathbf{p})|^2 e^{2s_j\rho} + c_{\log}(s_j)\rho e^{(d-1)\rho} + O(e^{(d-1)\rho}),$$

where c_{\log} is as defined in (6).

- For $\lambda_j = \mathcal{D}^2$,

$$\left| \widehat{N_\rho(\cdot, \mathbf{p})}_j^{(\text{disc})} \right|^2 = (4\pi^{d-1}/\Gamma(\mathcal{D} + 1)^2)(h_d + \rho)^2 |\phi_j(\mathbf{p})|^2 e^{2s_j\rho} + O(e^{(d-1)\rho}).$$

The implied constants depend on Γ .

Proof. This follows from (23) and Proposition 3. \square

3.2. The Leading Term from the Continuous Spectrum

Theorem 4. For sufficiently large ρ ,

$$\frac{1}{4\pi} \sum_{\kappa} \int_{-1}^1 \left| \widehat{N_\rho(\cdot, \mathbf{p})}_\kappa^{(\text{cts})}(\mathcal{D} + it) \right|^2 dt = \frac{\pi^{d-1}}{\Gamma(\mathcal{D} + 1)^2} |\mathcal{E}(\mathcal{D}, \mathbf{p})|^2 \rho e^{(d-1)\rho} + O(e^{(d-1)\rho}).$$

The implied constant depends on \mathbf{p} and Γ .

Proof. Let $s = \mathcal{D} + it$ be on the critical line, with $t \in [-1, 1]$ and $t \neq 0$. By (19), we have

$$\begin{aligned} {}_2F_1(d - s, \mathcal{D} + 1; d + 1; 1 - e^{-2\rho}) &= \frac{\Gamma(d + 1)\Gamma(it)}{\Gamma(\mathcal{D} + 1)\Gamma(\mathcal{D} + 1 + it)} {}_2F_1(\mathcal{D} + 1 - it, \mathcal{D} + 1; 1 - it; e^{-2\rho}) \\ &\quad + \frac{\Gamma(d + 1)\Gamma(-it)e^{-2it\rho}}{\Gamma(\mathcal{D} + 1)\Gamma(\mathcal{D} + 1 - it)} {}_2F_1(\mathcal{D} + 1 + it, \mathcal{D} + 1; 1 + it; e^{-2\rho}). \end{aligned}$$

Substituting this into Proposition 2, we obtain

$$I(\rho, s) = \pi^{\mathcal{D}}(2 \sinh(\rho))^d e^{-(\mathcal{D}+1)\rho} \left(\frac{\Gamma(it)}{\Gamma(\mathcal{D}+1+it)} e^{it\rho} {}_2F_1(\mathcal{D}+1-it, \mathcal{D}+1; 1-it; e^{-2\rho}) + \frac{\Gamma(-it)}{\Gamma(\mathcal{D}+1-it)} e^{-it\rho} {}_2F_1(\mathcal{D}+1+it, \mathcal{D}+1; 1+it; e^{-2\rho}) \right).$$

Replacing $\Gamma(it)$ by $\frac{\Gamma(1+it)}{it}$, we can write this as

$$I(\rho, s) = 2\pi^{\mathcal{D}}(2 \sinh(\rho))^d e^{-(\mathcal{D}+1)\rho} \operatorname{Im} \left(\frac{e^{it\rho}}{t} \frac{-\Gamma(1+it)}{\Gamma(\mathcal{D}+1+it)} {}_2F_1(\mathcal{D}+1-it, \mathcal{D}+1; 1-it; e^{-2\rho}) \right).$$

Hence by (23), we have

$$\frac{1}{4\pi} \sum_{\kappa} \int_{-1}^1 \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(\text{cts})}(\mathcal{D}+it) \right|^2 dt = \pi^{d-2} (2 \sinh(\rho))^{2d} e^{-(d+1)\rho} \int_{-1}^1 h(t, \rho)^2 |\mathcal{E}(\mathbf{p}, \mathcal{D}+it)|^2 dt, \tag{25}$$

where $h(t, \rho) = \operatorname{Im} \left((e^{it\rho}/t) (\Gamma(1+it)/\Gamma(\mathcal{D}+1+it)) {}_2F_1(\mathcal{D}+1-it, \mathcal{D}+1; 1-it; e^{-2\rho}) \right)$. We shall estimate the integral on the right-hand side of (25). To this end, we first break h up into more manageable pieces as follows: $h(t, \rho) = \operatorname{Im} (e^{it\rho}/t) \operatorname{Re} (g(e^{-2\rho}, t)) + \operatorname{Re} (e^{it\rho}/t) \operatorname{Im} (g(e^{-2\rho}, t))$, where $g(x, t) = (\Gamma(1+it)/\Gamma(\mathcal{D}+1+it)) {}_2F_1(\mathcal{D}+1-it, \mathcal{D}+1; 1-it; x)$. We note that $g(x, t)$ is real analytic for $|x| < \frac{1}{2}$ and $t \in [-1, 1]$. We also have $g(x, -t) = \overline{g(x, t)}$. The latter observations show that $\operatorname{Re} g(x, t)$ is an even function of t , whereas $\operatorname{Im} g(x, t) = t f(x, t)$ for some analytic function f . Hence

$$h(t, \rho) = \operatorname{Im} \left(\frac{e^{it\rho}}{t} \right) \left(\frac{{}_2F_1(\mathcal{D}+1, \mathcal{D}+1; 1; e^{-2\rho})}{\Gamma(\mathcal{D}+1)} + O(t^2) \right) + \operatorname{Re} (e^{it\rho}) f(e^{-2\rho}, t),$$

or, more simply, $h(t, \rho) = ({}_2F_1(\mathcal{D}+1, \mathcal{D}+1; 1; e^{-2\rho})/\Gamma(\mathcal{D}+1))(\sin(t\rho)/t) + \cos(t\rho)f(e^{-2\rho}, 0) + O(|t|)$. Squaring this, we obtain

$$h(t, \rho)^2 = \frac{{}_2F_1(\mathcal{D}+1, \mathcal{D}+1; 1; e^{-2\rho})^2}{\Gamma(\mathcal{D}+1)^2} \left(\frac{\sin(t\rho)}{t} \right)^2 + \frac{{}_2F_1(\mathcal{D}+1, \mathcal{D}+1; 1; e^{-2\rho})f(e^{-2\rho}, 0)}{\Gamma(\mathcal{D}+1)} \frac{\sin(2t\rho)}{t} + O(1).$$

Estimating the hypergeometric function by $1 + O(e^{-2\rho})$, we have

$$h(t, \rho)^2 = \frac{1}{\Gamma(\mathcal{D}+1)^2} \left(\frac{\sin(t\rho)}{t} \right)^2 + O(\rho^2 e^{-2\rho}) + \frac{f(e^{-2\rho}, 0)}{\Gamma(\mathcal{D}+1)} \frac{\sin(2t\rho)}{t} + O(\rho e^{-2\rho}) + O(1).$$

Since $\rho e^{-2\rho}$ and $\rho^2 e^{-2\rho}$ are bounded, we obtain

$$h(t, \rho)^2 = \frac{1}{\Gamma(\mathcal{D}+1)^2} \left(\frac{\sin(t\rho)}{t} \right)^2 + \frac{f(e^{-2\rho}, 0)}{\Gamma(\mathcal{D}+1)} \frac{\sin(2t\rho)}{t} + O(1). \tag{26}$$

On the other hand, by the functional equation (10) for $\mathcal{E}(\mathbf{p}, s)$, we have

$$|\mathcal{E}(\mathbf{p}, \mathcal{D}+it)|^2 = |\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 + O(t^2). \tag{27}$$

Putting the estimates (26) and (27) together, we find that

$$h(t, \rho)^2 |\mathcal{E}(\mathbf{p}, s)|^2 = \frac{|\mathcal{E}(\mathbf{p}, \mathcal{D})|^2}{\Gamma(\mathcal{D}+1)^2} \left(\frac{\sin(t\rho)}{t} \right)^2 + \frac{|\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 f(e^{-2\rho}, 0)}{\Gamma(\mathcal{D}+1)} \frac{\sin(2t\rho)}{t} + O(1).$$

Integrating this, we obtain

$$\int_{-1}^1 h(t, \rho)^2 |\mathcal{E}(\mathbf{p}, s)|^2 dt = \frac{|\mathcal{E}(\mathbf{p}, \mathcal{D})|^2}{\Gamma(\mathcal{D}+1)^2} \int_{-1}^1 \frac{\sin^2(t\rho)}{t^2} dt + \frac{|\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 f(e^{-2\rho}, 0)}{\Gamma(\mathcal{D}+1)} \int_{-1}^1 \frac{\sin(2t\rho)}{t} dt + O(1).$$

The change of variable $u = \rho t$ gives

$$\int_{-1}^1 h(t, \rho)^2 |\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 dt = \frac{|\mathcal{E}(\mathbf{p}, \mathcal{D})|^2}{\Gamma(\mathcal{D}+1)^2} \rho \int_{-\rho}^{\rho} \frac{\sin^2(u)}{u^2} du + \frac{|\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 f(e^{-2\rho}, 0)}{\Gamma(\mathcal{D}+1)} \int_{-\rho}^{\rho} \frac{\sin(2u)}{u} du + O(1).$$

Integrating by parts, we note that the second term on the right-hand side above is bounded; it may therefore be absorbed into the error term. The other integral may be replaced by $\int_{\mathbb{R}} \frac{\sin^2(u)}{u^2} du + O(\rho^{-1}) = \pi + O(\rho^{-1})$. This gives us

$$\int_{-1}^1 h(t, \rho)^2 |\mathcal{E}(\mathbf{p}, \mathcal{D})|^2 dt = \frac{\pi |\mathcal{E}(\mathbf{p}, \mathcal{D})|^2}{\Gamma(\mathcal{D} + 1)^2} \rho + O(1).$$

Substituting this into (25), we have

$$\frac{1}{4\pi} \sum_{\kappa} \int_{-1}^1 \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) \right|^2 dt = \frac{\pi^{d-1} |\mathcal{E}(\mathbf{p}, \mathcal{D})|^2}{\Gamma(\mathcal{D} + 1)^2} \rho e^{(d-1)\rho} + O(e^{(d-1)\rho}). \quad \square$$

3.3. The End of the Critical Line

Theorem 5.

$$\sum_{\kappa} \int_1^{\infty} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) \right|^2 dt + \sum_{j : \lambda_j > \mathcal{D}^2} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_j^{(disc)} \right|^2 \ll e^{(d-1)\rho}.$$

The implied constant depends on Γ and \mathbf{p} .

To prove this, we require the following lemma.

Lemma 2. *Let $\delta > 0$. For $s = \mathcal{D} + it$ with $|t| > \delta$ and $\rho > 1$, we have $|I(\rho, s)| \ll e^{\mathcal{D}\rho} |t|^{-\mathcal{D}-1}$. Moreover, there exists a constant $C_1 > 0$ such that for $|t| > C_1$ and $\rho > 1$, we have $|I(\rho, s)| \asymp e^{\mathcal{D}\rho} |t|^{-\mathcal{D}-1}$.*

Proof. Let $s = \mathcal{D} + it$. By formula (c2) of section II.2.6 of [19], we have for large t

$$\begin{aligned} {}_2F_1(d-s, \mathcal{D} + 1; d + 1; 1 - e^{-2\rho}) &= \frac{\Gamma(d+1)}{\Gamma(\mathcal{D} + 1)} ((d-s)(1 - e^{-2\rho}))^{-\mathcal{D}-1} \\ &\quad \times \left(e^{i\pi(\mathcal{D}+1)} + e^{(d-s)(1-e^{-2\rho})} \right) (1 + O(|(d-s)(1 - e^{-2\rho})|^{-1})). \end{aligned}$$

For large t , the term $(1 + O(|(d-s)(1 - e^{-2\rho})|^{-1}))$ is in fact $1 + O(|t|^{-1})$ and, therefore, is bounded away from zero and infinity. Hence, for large $|t|$, we have $|{}_2F_1(d-s, \mathcal{D} + 1; d + 1; 1 - e^{-2\rho})| \asymp t^{-\mathcal{D}-1}$. The result follows from this estimate together with Proposition 2. \square

Proof of the theorem. We have by (23)

$$\widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) = I(\rho, \mathcal{D} + it) E_{\kappa}(\mathcal{D} + it, \mathbf{p}), \quad \widehat{N_{\rho}(\cdot, \mathbf{p})}_j^{(disc)} = I(\rho, s_j) \phi_j(\mathbf{p}).$$

Let $|t| > C_1$. Then by the previous lemma, we have

$$\left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) \right| \asymp e^{\mathcal{D}\rho} |t|^{-\frac{d+1}{2}} |E_{\kappa}(\mathcal{D} + it, \mathbf{p})|, \quad \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_j^{(disc)} \right| \asymp e^{\mathcal{D}\rho} |t|^{-\frac{d+1}{2}} |\phi_j(\mathbf{p})|$$

by the previous lemma. Hence

$$\begin{aligned} \sum_{\kappa} \int_{C_1}^{\infty} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) \right|^2 dt + \sum_{j : \text{Im } s_j > C_1} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_j^{(disc)} \right|^2 \\ \asymp e^{(d-1)\rho} \left(\int_{C_1}^{\infty} |\mathcal{E}(\mathcal{D} + it, \mathbf{p})|^2 t^{-d-1} dt + \sum_{j : \text{Im } s_j > C_1} |\phi_j(\mathbf{p})|^2 t^{-d-1} \right). \quad (28) \end{aligned}$$

The left-hand side of the above formula is finite by the Plancherel formula. Therefore the right-hand side is finite, so the sum and the integral on the right-hand side both converge; this convergence also follows from Chapter 29 of [9]. As the expression in the brackets in the right-hand side of (28) does not depend on ρ , we have

$$\sum_{\kappa} \int_{C_1}^{\infty} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) \right|^2 dt + \sum_{j : \text{Im } s_j > C_1} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_j^{(disc)} \right|^2 \asymp e^{(d-1)\rho}.$$

A similar argument, using the first part of Lemma 2, shows that we can extend this bound to

$$\sum_{\kappa} \int_1^{\infty} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_{\kappa}^{(cts)}(\mathcal{D} + it) \right|^2 dt + \sum_{j : \lambda_j > \mathcal{D}^2} \left| \widehat{N_{\rho}(\cdot, \mathbf{p})}_j^{(disc)} \right|^2 \asymp e^{(d-1)\rho}. \quad \square$$

3.4. *The Main Theorems*

To prove Theorem 1, we simply add up the estimates given in Theorems 3 and 5 and apply the Plancherel formula (13). Similarly, to obtain Theorem 2, we simply add up the estimates given in Theorems 3, 4, and 5 and apply (15).

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