

Lecture 3 (C. Wendl)

Theorem (W. '10; Niederkrüger-W. '11)

Suppose  $(W^4, \omega)$  is a weak filling of  $(M^3, \xi)$

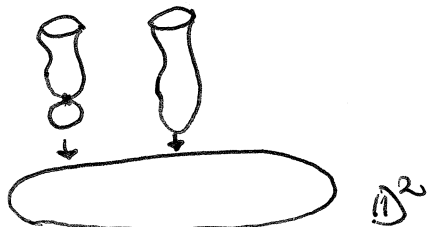
(i.e.  $\partial W = M$ ,  $\omega|_{\xi} > 0$ )

&  $(M, \xi)$  has a supporting planar open book

$$\pi : M \setminus B \rightarrow S^1$$

Then  $(W, \omega)$  admits a symplectic Lefschetz fibration

$$\Pi : W \rightarrow \mathbb{D}^2 \quad \text{that restricts to } \pi \text{ at } \partial W;$$



its isotopy class depends only on  $(\omega, \xi)$  up to deformation  $(\omega|_{\xi} > 0)$  and it is allowable

(i.e. vanishing cycles  $\neq 0 \in H_*(\text{fibre})$ ) iff

$(W, \omega)$  is minimal (i.e.  $\exists S^2 \xrightarrow{\omega} (W, \omega)$  st.  $[S^2] \cdot [S^2] = -1$ .)

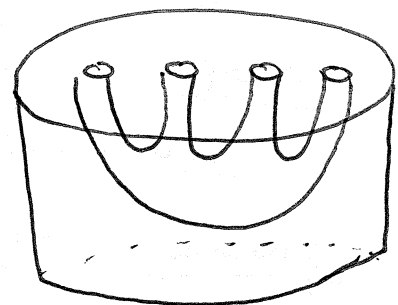
Corollary: Up to symplectic deformation  $(W, \omega)$  is a blow-up of a Stein filling of  $(M, \xi)$

Applications by V.H.M. + O. Wand, Kaloti, Stankston

## Outline of the proof:

(1)  $(M, \mathbb{F})$  with a genus  $g$ . OBD  $\pi: M \setminus B \rightarrow S^1$   
 one can deform the ckt str. to a s.t.s. with  
 a compatible  $\mathcal{J}$  s.t.  $B = \cup$  Reeb orbits, pages  
 lift to embedded  $\mathcal{J}$ -hol curves in  $\mathbb{R} \times M$ ,  
 with only positive ends.

Orbits  $\gamma \in B$  have  $\mu_{\text{EZ}}(\gamma) = 1$   
 w.r.t. the canonical framing  
 of  $\mathbb{F}$  along  $\gamma$  induced by the OBD.



Curves have index  $2-2g$ .

Get a moduli space denoted by  $\mathcal{M}^{\text{OBD}}$

! Non-generic choice of  $\mathcal{J}$  was made!

(2) Can "complete"  $W \rightsquigarrow \tilde{W} := W \cup_M ([0, \infty) \times M)$

Compatible  $\mathcal{J}$  s.t. WLOG curves in  $\mathcal{M}^{\text{OBD}}$

live in  $[0, \infty) \times M \subseteq \tilde{W}$

Let  $\mathcal{M} :=$  moduli space of  $\mathcal{J}$ -hol curves in  $\tilde{W}$   
 which are homotopic to curves in  $\mathcal{M}^{\text{OBD}}$ .

vir. dim.  $(\mathcal{M}) = 2-2g$

(3) Let  $\mathcal{M}$  "spread out" as far as possible.

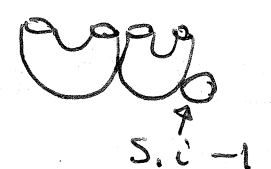


$\rightsquigarrow$



Nodal degenerations  
 happen in codimension 2

So foliations through smooth curves extend  
 throughout  $W$  w.o. fin. many sing. fibres.

Degenerations into closed curves 

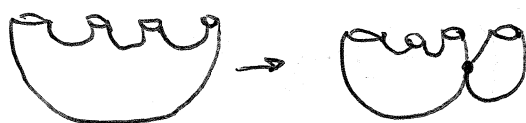
(only if  $W$  not minimal)

## Compactness

$u_k \in \mathcal{M}, u_k \rightarrow u_\infty \in \mathcal{M}$

prop 1:  $u_\infty \neq$  a building with non-trivial upper and main levels

prop 2: If  $u_\infty$  is a nodal curve, it has exactly 2 components. Both are simple & have index 0.



Follows by standard index counting

prop 3:

$u_\infty = \begin{cases} \text{smooth} \rightarrow \text{embedded} \\ \text{nodal} \rightarrow \text{both components are embedded and intersect once transversely} \end{cases}$

## Summary of Siefring intersection theory (see slides)

### Main Lemma

If  $v =$  any curve in  $\mathbb{R} \times M$  s.t. all pos ends approach simple orbits of the binding  $B$

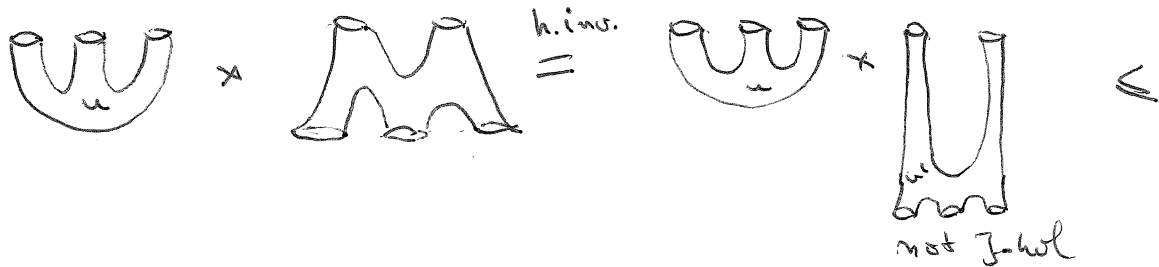
Then  $\forall u \in \mathcal{M}^{OBD}, [u] * [v] = 0$

Cor 1:  $\forall u \in \mathcal{M}^{OBD}, [u] * [v] = 0$

Cor 2:  $\exists$  other such curves.

$\Rightarrow$  Prop 1

# Proof of Lemma:



$$\leq \sum_{\gamma \in B} \underbrace{u * (R \times \gamma)}_{\text{(no intersections with B)}} = \sum_{\gamma \in B} \int_{\infty} (u, R \times \gamma) = 0$$

$= 0$  because asymp. approach of  $u$  to  $R \times \gamma$  has wind  $\geq 0 = \alpha_-(\gamma)$  since  $\mu_{\text{cr}}(\gamma) \neq 1$   
 $= 2\alpha_-(\gamma) + p(\gamma)$

## Proof of Prop 3 (nodal case)

Suppose  $u_k \rightarrow \text{nodal } (v_1, v_2)$

$$\begin{aligned} 0 &= [u_k] * [u_k] = \sum_{i=1}^2 [v_i] * [v_i] + 2 [v_1] * [v_2] \\ &= \sum_{i=1}^2 \left( 2 \underbrace{[\int (v_i) + \int_{\infty} (v_i)]}_{\geq 0} + \underbrace{c_N(v_i)}_{=-1} + \underbrace{[\sigma(v_i) - \# \Gamma_i]}_{=0} \right) \\ &\quad + 2 \underbrace{[v_1] * [v_2]}_{\geq \delta(v_1, v_2) \geq 1} \end{aligned}$$

$$\Rightarrow \int (v_i) = \int_{\infty} (v_i) = 0, \quad \delta(v_1, v_2) = 1, \quad [v_1] * [v_1] = -1. \quad \square$$